AO 10*
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rainey, John D.	U. S. District Court, Texas	03/20/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge-Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
312 S. Main Room 406 Victoria, TX 77901		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rainey, John D.	03/20/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Prosperity Bank (IRA) -cash equivalent	B	Distribution	K	T	Distributed (part)	12/15/23	J		
2. State Farm Insurance-whole	C	Interest	L	T	Distributed (part)	12/01/23	J		
3. Wells Fargo, -cash accounts	D	Interest	O	T					
4. Land, Edwards County, TX		None	P1	W					
5. Fidelity Money Market Account	A	Interest	J	T					
6. Twenty Express, Limited	A	Distribution	J	U					
7. San Antonio Tex Wtr Rev		None			Redeemed	11/15/23	K		
8. Grand Prairie Tex ISD Ultd		None			Redeemed	02/15/23	K		
9. BrainDead Brewing Deep Ellum, LLC (Y)									See Part VIII
10. Juniper Sharyland Ltd.	B	Distribution			Sold	09/29/23	K	E	
11. Prosperity Bank-cash account	A	Interest	M	T					
12. Ishares Edge MSCI USA Quality	A	Dividend	K	T					
13. Vanguard Group Div	B	Dividend	M	T					
14. Blackrock Health Sciences Opp PRTI	A	Dividend			Sold	11/20/23	J	B	
15. Fuller &Thaler Behavorial Small Cap Equity Instl	A	Dividend	K	T					
16. Schwab Fundamntal US Large Company Index ETF	A	Distribution	K	T					
17. Select Sector SPDR TR Financial	A	Dividend			Sold	05/11/23	J		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Rainey, John D.	03/20/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Causeway International Opportunities Inst		None			Sold	05/12/23	K		
19. Ishares MSCI EMR Mrk EX Chna	A	Dividend			Sold	11/17/23	J	A	
20. Ishares Trust 1-3 Yr. Treasurey Bond		None			Sold	02/16/23	K		
21.	A	Dividend	J	T	Buy	11/17/23	J		
22. Guggenheim Total Return Bond	A	Dividend			Sold	02/17/23	K		
23.	B	Dividend	L	T	Buy	11/17/23	K		
24. Ishares Tr 7-10 Treasury Bond		None			Sold	02/21/23	K		
25.	A	Dividend	K	T	Buy	11/17/23	K		
26. Select SPDR Trust Technology	A	Dividend	J	T					
27. Vanguard Index FDS	A	Dividend	K	T	Sold (part)	11/17/23	J		
28.					Sold (part)	11/17/23	J		
29. Baird Inter Bond Fd	A	Dividend	L	T					
30. Doubleline Low Duration Bond	A	Dividend	K	T	Buy (add'l)	11/20/23	J		
31. Pimco Income Fund Institutional Fd	A	Dividend	K	T	Buy (add'l)	02/16/23	J		
32. FID Intermediate Bond Fd	A	Dividend			Sold	02/16/23	K		
33. Ishares Tr MSCI USA Min Vol	A	Dividend	K	T					
34. JP Morgan Large Cap Growth	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Rainey, John D.	03/20/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Ishares Tr Ibonds 25 TRN TS (X)	B	Dividend	K	T	Buy	02/16/23	K		
36. Ishares Tr Ibonds 24 TRM TS (X)	B	Dividend	K	T	Buy	02/16/23	K		
37. Baird Inter Bond FD Instl Cl (X)	B	Dividend	L	T	Buy	02/16/23	J		
38. Causeway International Value Instl (X)	A	Interest			Buy	05/12/23	K		
39.					Sold	11/17/23	K	A	
40. MFS New Discovery Value Fund Cl 1 (X)	A	Dividend	J	T	Buy	11/17/23	J		
41. GMO Resources Fund (X)	A	Dividend	J	T	Buy	11/17/23	J		
42. Ishares Tr 20 Yrr Tr Bd ETF (X)	A	Dividend			Buy	02/16/23	K		
43.					Sold	11/17/23	J		
44. Ishares Tr Ibonds 23 TRM TS (X)	B	Dividend			Buy	02/16/23	K		
45.					Sold	11/17/23	K	A	
46. Ishares MSCI Emr Mrk Ex Chna (X)	A	Dividend			Buy	05/11/23	K		
47.					Sold	11/17/23	J	A	
48. Walmart Inc Com (X)		None	K	T	Buy	11/17/23	K		
49. Dodge & Cox Internatl Stock Cl 1 (X)	A	Dividend	K	T	Buy	11/17/23	K		
50. American Europacific Growth Fund F3 (X)	A	Dividend	K	T	Buy	11/17/23	K		
51.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Rainey, John D.	03/20/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 9, Braindead Brewing closed at some time in early 2023 but we did not receive notice of the exact date. This investment became worthless.

Name of Person Reporting	Date of Report
Rainey, John D.	03/20/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John D. Rainey

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544