AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ramos, Nelva G.	U.S. District Court, Southern District of Texas	04/25/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
1133 North Shoreline Blvd. Corpus Christi, Texas 78401		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2001	State of Texas Judicial Retirement Plan (reportable assets are listed in Part VII)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Onyx Engineering--Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ramos, Nelva G.	04/25/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Wells Fargo Bank (cash)	A	Interest	K	T					
3.	Plains Capital (cash)	A	Interest	K	T					
4.	New York Life Insurance Company - Whole Life		None	K	T					
5.	Account #1 (H)									
6.	Franklin Equity Income Fund CL A (FISEX)	A	Dividend	K	T					
7.	Franklin Growth Fund CL A (FKGRX)	B	Dividend	K	T					
8.	Franklin Growth Opptys Fd Cl A (FGRAX)	B	Dividend	K	T					
9.	Franklin High Income Fund A (FCHIX)	A	Dividend	J	T					
10.	Franklin Managed Income CL A (FBLAX)	B	Dividend	K	T					
11.	Franklin Mutual Global Discovery Fund CL A (TEDIX)	B	Dividend	K	T					
12.	Franklin Mutual Shares Fund CL A (TESIX)	A	Dividend	J	T					
13.	Franklin Rising Dividends Fund CL A (FRDPX)	A	Dividend	K	T					
14.	Franklin Small-Mid Cap Grwth A (FRSGX)		None	K	T					
15.	Templeton Growth Fund CL A (TEPLX)	A	Dividend	K	T					
16.	Franklin Total Return Fund CL A (FKBAX)	A	Dividend	K	T					
17.	Franklin U S Government Securities Fund CL A (FKUSX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Ramos, Nelva G.	04/25/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.	Account #2 (H)									
19.	Franklin Growth Fund CL A (FGRAX)		None	K	T					
20.	Franklin Rising Dividends Fund CL A (FRDPX)	A	Dividend	J	T	Buy	02/15/23	J		
21.	Templeton Foreign Fund CL A (TFFAX)		None			Sold	02/15/23	J		
22.	Templeton Global Smaller Companies Fund CL A (TEMGX)	A	Dividend	J	T					
23.	Templeton Growth Fund CL A (TEPLX)	A	Dividend	J	T					
24.	Templeton World Fund CL A (TEMWX)	A	Dividend			Sold	02/15/23	J		
25.	Franklin Total Return A (FKBAX)	A	Dividend	J	T					
26.	Franklin US Govt Secs A (FKUSX)	A	Dividend	J	T					
27.	Account #3 (H)									
28.	Merrill Lynch Sweep Account (cash) (Y)									
29.	U.S. Treasury Bills (Y)									
30.	Invesco QQQ Trust (QQQ)	A	Dividend	L	T	Buy	06/07/23	K		
31.						Buy (add'l)	07/26/23	K		
32.						Buy (add'l)	09/14/23	J		
33.	Ishares S&P 100 (OEF)	A	Dividend	L	T	Buy	10/20/23	L		
34.	SPDR Portfolio S&P 500 ETF (SPLG)	A	Dividend	L	T	Buy	06/07/23	K		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35.					Buy (add'l)	07/26/23	K		
36.					Buy (add'l)	09/14/23	J		
37. VanEck Morningstar Wide Moat ETF (MOAT)		None			Buy	06/07/23	K		
38.					Buy (add'l)	07/26/23	K		
39.					Sold	09/14/23	K	A	
40. Vanguard Value ETF (VTV)	A	Dividend			Sold (part)	01/11/23	J		
41.					Buy (add'l)	01/19/23	J		
42.					Sold (part)	06/07/23	K		
43.					Buy (add'l)	07/26/23	K		
44.					Buy (add'l)	09/14/23	J		
45.					Sold	10/20/23	L		
46. Vanguard Growth Index Fund (VUG)	A	Dividend	L	T	Sold (part)	01/11/23	J		
47.					Sold (part)	06/07/23	K		
48.					Buy (add'l)	07/26/23	K		
49.					Buy (add'l)	09/14/23	J		
50. Invesco Emerging Markets Sovereign Debt ETF (PCY)	A	Dividend			Buy	01/11/23	J		
51.					Sold	06/07/23	J		

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52. Invesco Preferred ETF (PGX)	A	Dividend			Buy	01/11/23	J		
53.					Sold	06/07/23	J		
54. iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)	A	Dividend			Buy	01/11/23	J		
55.					Sold (part)	01/19/23	J	A	
56.					Sold	06/07/23	J		
57. iShares 20+ Year Treasury Bond ETF (TLT)	A	Dividend			Buy	01/11/23	J		
58.					Sold	06/07/23	J		
59. iShares TIPS Bond ETF (TIP)	A	Dividend			Buy	01/11/23	J		
60.					Sold	06/07/23	J		
61. iShares MBS ETF (MBB)	A	Dividend			Buy	01/11/23	K		
62.					Sold	06/07/23	K		
63. iShares Core MSCI Emerging Markets ETF (IEMG)	A	Dividend			Buy	01/11/23	J		
64.					Sold	06/07/23	J		
65. iShares Core MSCI EAFE ETF (IEFA)	A	Dividend			Buy	01/11/23	K		
66.					Sold (part)	01/19/23	J	A	
67.					Sold	06/07/23	K	A	
68. Schwab Short-Term U.S. Treasury ETF (SCHO)	A	Dividend			Buy	01/11/23	K		

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69.					Buy (add'l)	01/19/23	J		
70.					Sold	06/07/23	K		
71. Vanguard Small Cap Value (VBR)	A	Dividend			Sold (part)	01/11/23	J	A	
72.					Sold	06/07/23	J	A	
73. Vanguard Small Cap Growth (VBK)	A	Dividend			Sold	06/07/23	J		
74. Vanguard Short-Term Corporate Bond Index Fund (VCSH)	A	Dividend			Buy	01/11/23	J		
75.					Sold	06/07/23	J		
76. Vanguard Intermediate-Term Corporate Bond Index Fund (VCIT)	A	Dividend			Buy	01/11/23	J		
77.					Sold (part)	01/19/23	J	A	
78.					Sold	06/07/23	J		
79. Vanguard Total International Bond Index Fund (BNDX)	A	Dividend			Buy	01/11/23	J		
80.					Buy (add'l)	01/19/23	J		
81.					Sold	06/07/23	J		
82. VanEck Fallen Angel High Yield Bond ETF (ANGL)		None			Buy	01/11/23	J		
83.					Sold	01/19/23	J	A	
84. Xtrackers USD High Yield Corporate Bond ETF (HYLB)		None			Buy	01/11/23	J		
85.					Sold	01/19/23	J		

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86. Account #4 (H)									
87. Edward Jones Money Market (JRSXX) (cash eq.)	B	Dividend	K	T					
88. FT Bld Amer Bds 10-20 Yr #11 (VKBTHX)	A	Interest	J	T					
89. Franklin Fed Tax - Free Income A (FKTIX)	B	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nelva G. Ramos

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544