AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Randolph, Arthur R.	U.S. Court of Appeals for the District of Columbia Circuit	07/27/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Court of Appeals for the District of Columbia Circuit 333 Constitution Avenue, N.W. Room 5010 Washington, D.C. 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Practice of Law
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Randolph, Arthur R.	07/27/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Money Market at Morgan Stanley Smith Barney	A	Interest	M	T					
2.	401(K) - World Bond Fund - American Funds Symbol CWBFX	A	Dividend			Sold	12/10/21	K	A	
3.	401(K) - Pimco High Income	A	Dividend			Sold	12/10/21	J	A	
4.	401(K) - Dur. Inc. Fund - Eaton Vance	C	Dividend			Sold	12/10/21	L	E	
5.	401(K) - Pimco Real Ret. Symbol PRTNX	A	Dividend	L	T					
6.	Pillsbury Retirement Savings Plan - Fidelity PYR INX LFC	E	Dividend	P1	T					
7.	ML & Co. Str. ACC - Am Cap. Growth Symbol CWBFX	A	Dividend	K	T					
8.	Blackrock Gov't & Cap. Symbol MDFGX	A	Dividend	L	T					
9.	Citibank - Savings	A	Interest	M	T					
10.	401(K) - U.S. Gov't - American Symbol AMUSX	A	Dividend	J	T					
11.	401(k) - Eaton Vance - Floating Symbol EVV	A	Dividend	J	T					
12.	401(K) Fidelity - Floating Symbol FFRTX	A	Dividend	K	T					
13.	401(K) - Gov't Goldman Sachs Symbol GSGOX	A	Dividend	K	T					
14.	401(K) - Adv. Fidelity Int Symbol FDIAX	A	Dividend	J	T					
15.	Mutual Fund - Putnam Symbol PDINX	B	Dividend	K	T					
16.	Mutual Fund - AIG Senior Floating Rate - Renamed; See Line 50	A	Dividend			Merged (with line 50)	07/20/21			
17.	401(K) Mutual Fund - ClearBridge Large Cap Growth Symbol SLCCX	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. 401(K) - Tortoise MLP Symbol NTG	B	Dividend			Sold	12/10/21	J	A	
19. 401(K) - Bank of Am. - Withdraw	A	Dividend			Closed	10/25/21	L	A	
20. 401(K) - John Hancock Rainier Growth Symbol JSGAX	A	Dividend	K	T					
21. 401(K) - Gabelli Gold Gamco Symbol GGN	A	Dividend			Sold	12/10/21	J	A	
22. 401(K) - Am Global Bal. Symbol GBLAX	A	Dividend			Sold	12/10/21	K	D	
23. 401(K) - Goldman Equity Inc. Symbol GSBFX	A	Dividend	K	T					
24. 1st Eagle Global Symbol SGENX	A	Dividend	K	T					
25. Am Infl. Bond Symbol AIAVX	A	Dividend	K	T					
26. IShares Mun Symbol MUB	A	Dividend	K	T					
27. 401(K) - Am. High Inc. Symbol AHITX	B	Dividend	K	T					
28. 401(K) - Gold Am. Eagle Precious Metal		None			Sold	11/09/21	K	A	
29. 401(K) - Silver Am. Eagle Precious Metal		None			Sold	11/09/21	K	A	
30. AIG Senior Floating Rate - Renamed; See Line 49	A	Dividend			Merged (with line 49)	07/20/21			
31. Pimco Commodity Symbol PCRAX	A	Dividend	J	T					
32. Blackrock Global Sm. C Symbol MDGCX	A	Dividend	J	T					
33. Del. Small Cap. Diversified Symbol DPDFX	A	Dividend	K	T					
34. I Shares High Div. Symbol HDV	B	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35. Ivy Sc. & Tech Symbol WSTAX	A	Dividend	K	T					
36. Capital One-Savings	A	Interest	M	T					
37. Goldman US Eq Symbol GSPAX	A	Dividend	M	T					
38. Lord Ab. Flt Symbol LARCX	B	Dividend	K	T					
39. Columbia Infl, Pro Symbol RIPCX	A	Dividend			Sold	04/23/20	K	B	
40. Ivy Em. Mkt Symbol IPOCX	A	Dividend	L	T					
41. Ab High Inc. Symbol AGDCX	A	Dividend	K	T					
42. Legg Mason Core Symbol WABCX	A	Dividend	K	T					
43. Am Cent. Altem. Symbol ALNHX	A	Dividend			Sold	02/25/21	K	A	
44. Angel Oak Mult. Symbol ANGCX	B	Dividend	K	T					
45. Tortoise Pipe. Midstream Symbol NTG	A	Dividend			Sold	12/10/21	J	A	
46. Columbia St. Inc. Symbol CLSCX	B	Dividend	L	T					
47. Oppenheimer (Invesco) Eng Mk Symbol OEMCX	C	Dividend	K	T					
48. Federated Prime Symbol PCOXX	A	Dividend	L	T					
49. Touchstone Credit Symbol TMARX	A	Dividend	K	T					
50. Touchstoine Div. Symbol TOCAX	A	Dividend	K	T					
51.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Randolph, Arthur R.	07/27/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Arthur R. Randolph

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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