AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Reeves, Carlton W. | Southern District of Mississippi | 8/5/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| District Judge- - Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2023<br>**to**<br>12/31/2023 |
| **7. Chambers or Office Address** | | |
| 501 E. Court Street<br>Suite 5.550<br>Jackson, MS 39201 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| DATE | PARTIES AND TERMS |
|---|---|
| 1. | |
| 2. | |
| 3. | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | UC Berkeley School of Law | April 13-15, 2023 | Berkeley, CA | Berkeley Law Symposium | Travel, Meals amd Lodging |
| 2. | Howard University University School of Law | October 4-5, 2023 | Washington, D.C. | Wiley Branton Symposium (Howard Law Journal) | Travel, Meals and Lodging |
| 3. | Princeton Progressive Law Society | October 8-10, 2023 | Princeton, NJ | Symposium | Travel, Meals and Lodging |
| 4. | Federal Bar Association (S.D. Tex.) | October 24-25, 2023 | Houston, TX | Annual Dinner (Speaker) | Travel, Meals and Lodging |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Reeves, Carlton W.** | 8/5/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | AT&T (T), Common | A | Dividend | J | T | | | | | |
| 2. | Alphabet Inc. (GOOGL), Common | | None | K | T | | | | | |
| 3. | Ameriprise Finan. Inc. (AMP), Common | A | Dividend | J | T | | | | | |
| 4. | Analog Device Inc. (ADI), Common | A | Dividend | K | T | | | | | |
| 5. | Apple Inc. (AAPL), Common | A | Dividend | K | T | | | | | |
| 6. | Automatic Data Processing Inc. (ADP), Common | A | Dividend | J | T | | | | | |
| 7. | Blackstone Group Inc. CLA (BX), Common | A | Dividend | | | Sold | 12/11/23 | J | A | |
| 8. | BP PLC (BP), Common | A | Dividend | J | T | | | | | |
| 9. | CH Robinson Worldwide (CHRW), Common | A | Dividend | J | T | | | | | |
| 10. | Caterpillar (CAT), Common | A | Dividend | K | T | | | | | |
| 11. | Chevron (CVX), Common | A | Dividend | K | T | | | | | |
| 12. | Cisco Systems (CSCO), Common | A | Dividend | J | T | | | | | |
| 13. | Crown Castle (CCI), Common | A | Dividend | J | T | | | | | |
| 14. | Discover Financial Svcs (DFS), Common | A | Dividend | J | T | | | | | |
| 15. | DuPont De Nemours Inc. (DD), Common | A | Dividend | J | T | | | | | |
| 16. | Exxon Mobil, (XOM), Common | A | Dividend | J | T | | | | | |
| 17. | Fedex Corp. (FDX), Common | A | Dividend | J | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Reeves, Carlton W.** | 8/5/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. First Commerical Bank, Common | A | Dividend | L | T | Buy (add'l) | 8/07/23 | K | | |
| 19. First Trust (FDL), Common | A | Dividend | J | T | | | | | |
| 20. Gilead Sciences (GILD), Common | A | Dividend | J | T | | | | | |
| 21. Intel Corp. (INTC), Common | A | Dividend | J | T | | | | | |
| 22. INTL Business Machines Corp. (IBM), Common | A | Dividend | J | T | | | | | |
| 23. Iron Mountain (IRM), Common | A | Dividend | | | Sold | 12/11/23 | J | A | |
| 24. Johnson & Johnson (JNJ), Common | A | Dividend | K | T | | | | | |
| 25. Kinder Morgan (KMI), Common | A | Dividend | J | T | | | | | |
| 26. Kraft Heinz Co. (KHC), Common | A | Dividend | K | T | | | | | |
| 27. Kroger (KR), Common | A | Dividend | J | T | | | | | |
| 28. Kyndryl Hldgs Inc. (KD), Common | | None | J | T | | | | | |
| 29. Lockheed Martin (LMT), Common | A | Dividend | J | T | | | | | |
| 30. McDonalds Corp. (MCD), Common | A | Dividend | K | T | | | | | |
| 31. Merck (MRK), Common | A | Dividend | J | T | | | | | |
| 32. MetLife Inc. (MET), Common | A | Dividend | J | T | | | | | |
| 33. Microsoft (MSFT), Common | A | Dividend | L | T | | | | | |
| 34. Morgan Stanley (MS), Common | A | Dividend | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 35. Motorola Solutions Inc. (MSI), Common | A | Dividend | K | T | | | | | |
| 36. Norfolk Southern (NSC), Common | A | Dividend | J | T | | | | | |
| 37. Organon & Co. (OGN), Common | A | Dividend | J | T | | | | | |
| 38. Pepsico (PEP), Common | A | Dividend | K | T | | | | | |
| 39. Procter & Gamble (PG), Common | A | Dividend | K | T | | | | | |
| 40. Qualcomm Inc. (QCOM), Common | A | Dividend | J | T | | | | | |
| 41. Rockwell Auto (ROK), Common | A | Dividend | J | T | | | | | |
| 42. Shell (Shel) f/k/al Royal Dutch (RDS/A), Common | A | Dividend | J | T | | | | | |
| 43. 3M Company (MMM), Common | A | Dividend | J | T | | | | | |
| 44. Target (TGT), Common | A | Dividend | J | T | | | | | |
| 45. Toronto Dominion Bank (TD), Common | A | Dividend | J | T | | | | | |
| 46. United Parcel Serv. (UPS), Common | A | Dividend | | | Sold | 12/27/23 | J | A | |
| 47. Victory Shares US (CDC), ETF | A | Dividend | J | T | | | | | |
| 48. YUM Brands, Inc. (YUM), Common | A | Dividend | K | T | | | | | |
| 49. YUM China Holdings, Inc (YUMC), Common | A | Dividend | J | T | | | | | |
| 50. Columbia Acorn Themorstat (CTFAX), Mutual Fund | C | Dividend | M | T | | | | | |
| 51. Mutual of America 2030 Retirement Fund | A | Int./Div. | K | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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|---|---|---|---|---|---|---|---|---|---|
| 52. Pruco Life Ins. Co. Prudential Premier RetirementX, Var Ann (H) | | | | | | | | | |
| 53. --------AST Academic Strategies Asset Allocation Portfolio | | None | J | T | | | | | |
| 54. -------AST Core Fixed Income Portfolio | | None | N | T | | | | | |
| 55. --------AST Larg-Cap Growth Portfolio | | None | J | T | | | | | |
| 56. ------- AST Prudential Growth Allocation (X) | | None | J | T | | | | | |
| 57. AST Large-Cap Core Portfolio | | None | J | T | | | | | |
| 58. -------AST T. Rowe Price Natural Resources | | None | J | T | | | | | |
| 59. College Savings Plan (H) | | | | | | | | | |
| 60. --Scholars Edge 529 Plan (H) | | | | | | | | | |
| 61. ------ Scholar's Edge Today Portfolio A | | None | J | T | | | | | |
| 62. ------Principal Equity Income Portfolio A | | None | J | T | | | | | |
| 63. ------Principal Diversified International Portfolio A | | None | J | T | | | | | |
| 64. -----Scholar's Edge Aggressive Portfolio A | | None | J | T | | | | | |
| 65. ----- Principal Blue Chip Portfolio A | | None | J | T | | | | | |
| 66. United States Savings Bond | A | Interest | J | T | | | | | |
| 67. LPL Financial (H) (X) | A | Interest | M | T | | | | | |
| 68. ------US Bank Natiional Association | A | Interest | N | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 69. ------ Citi Bank NA | A | Interest | K | T | | | | | |
| 70. First Commercial Bank, Money Market Acct, Cash Account | A | Interest | K | T | | | | | |
| 71. Statewide Federal Credit Union, Cash Account | A | Interest | J | T | | | | | |
| 72. BankPlus, Cash Account | A | Interest | J | T | | | | | |
| 73. Liberty Bank, Cash Account | A | Interest | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
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| | U =Book Value | V =Other | W =Estimated | | |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

Organon (Y) which appears at Line 44 on my 2022 Report is not on this report because its fair market value does not exceed $1,000.00.
56. AST Prudential Growth Allocation appears for the first time as this fund was substituted on February 24, 2023 for AST Wellington Mgmt Hedged Equity Port which appeared at Line 66 of my 2022 Report.
Warner Bros (WBD) (Y) which appears at Line 57 on my 2022 Report is not on this report because its fair market value does not exceed $1,000.00.
69. LPL Financial appears for the first time as this is a new brokerage firm the cash assets held with Wells Fargo and which appeared at Line 77 of my 2022 Report were transferred to LPL, which in turn placed the cash assets in U.S. Bank National Association and Citi Bank NA. That transfer occurred on or about September 26, 2023.
70. See explanation at Line 69.
71. See explanation at Line 69.

| Name of Person Reporting | Date of Report |
|---|---|
| **Reeves, Carlton W.** | 8/5/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Carlton W. Reeves**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544