AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
REINHARD, PHILIP G.	NDIL - WESTERN DIVISION	05/10/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE - SENIOR STATUS	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
327 SOUTH CHURCH STREET ROOM 6100 ROCKFORD, ILLINOIS 61101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	TRUSTEE	TRUST #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2021	Illinois Judges' Retirement System & Illinois Municipal Retirement Fund - Vested. Benefits began 1/12/1996.
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2021	Illinois Judges' Retirement System	$91,464.60
2. 2021	Illinois Municipal Retirement Fund	$17,001.08
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2021	Teachers Retirement System of the State of Illinois
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
REINHARD, PHILIP G.	05/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Regal Beloit Corporation Common Stock - Trust #1	D	Dividend	N	T					
2.	EQTCorp.formerly listed as Equitable Resources Common Stock - Trust #1		None	M	T					
3.	Equitrans Midstream Corp. (spinoff from EQT Corp.)-Trust #1	B	Dividend	K	T					
4.	Vanguard - Index Total Stock Market Fund	D	Dividend	O	T					
5.	Vanguard - Index Total Stock Market Fund - Trust #1	A	Dividend	L	T					
6.	E-Trade Ext.Ins.Sweep Dep.-Trust #1 (formerly E-Trade ClearingLLCBrok)	A	Interest	K	T					
7.	Vanguard Prime Money Market Fund (Now Vanguard Cash Reserves Fed MM)	A	Dividend	N	T	Buy (add'l)	12/20/21	M		
8.	Vanguard Prime Money Market Fund - Trust#1 (Now Vanguard Cash Reserve)	A	Dividend			Sold	01/11/21	J		
9.	Gold - Krugerrands		None	N	T					
10.	Johnson & Johnson Corp. Common Stock - Trust #1	C	Dividend	M	T					
11.	MGE Energy Inc. Common Stock - Trust #1	B	Dividend	M	T					
12.	3M Corp. Common Stock - Trust #1	C	Dividend	L	T					
13.	Vanguard Intermediate-term Tx. Muni bonds - Admiral	D	Int./Div.	N	T					
14.	Midland Bank Financial Accounts	A	Interest	K	T					
15.	Midland Bank Financial Account - Trust #1	A	Interest	J	T					
16.	Vanguard Intermediate-term Tx. Muni bonds - Inv - Trust #1	C	Int./Div.	M	T					
17.	Devon Energy Corp. Common Stock - Trust #1	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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18. Ally Bank - Trust #1	B	Interest	M	T					
19. Ally Bank	B	Interest	M	T					
20. Energy Transfer LP - Trust #1	B	Dividend	K	T					
21. Apple Inc., Common Stock - Trust #1	B	Dividend	N	T					
22. Novartis AG Common Stock - Trust #1	A	Dividend	K	T	Buy (add'l)	11/29/21	J		
23. Vanguard ST Invest. Grade Fund	A	Dividend	K	T					
24. Vanguard ST Invest. Grade Fund - Trust #1	A	Dividend	K	T					
25. T.Rowe Price Health Sciences Fund	D	Dividend	M	T	Buy (add'l)	04/06/21	J		
26. Vanguard Capital Opportunity Fund	D	Dividend	M	T	Buy (add'l)	04/13/21	J		
27. Market Vectors ETF Tr. Jr Gold Miners ETF Trust #1	A	Dividend	L	T					
28. Penumbra Inc., Common Stock Trust #1		None	M	T					
29. Gold Mining Inc. - Trust #1		None	J	T	Buy (add'l)	06/30/21	J		
30.					Buy (add'l)	12/14/21	J		
31. Vanguard Federal Money Market Fund - Trust #1	A	Dividend	N	T	Sold (part)	01/11/21	J		
32.					Buy (add'l)	01/12/21	L		
33.					Sold (part)	02/17/21	J		
34.					Sold (part)	02/18/21	K		

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35.						Sold (part)	03/24/21	K		
36.						Sold (part)	04/06/21	J		
37.						Sold (part)	06/08/21	J		
38.						Buy (add'l)	12/20/21	N		
39.	Vanguard Dividend Growth Fund Investors Shares	A	Dividend			Sold	12/03/21	L	D	
40.	Vanguard Prime Money Market Fund (Now Vanguard Cash Reserves Fed MM)	A	Dividend			Sold (part)	04/13/21	J		
41.						Sold	07/09/21	N	A	
42.	Ishares Nasdaq Biotechnology ETF	A	Dividend			Sold	12/17/21	L	D	
43.	Vaneck Vectors Gold Miners ETF	A	Dividend			Sold	12/09/21	J	A	
44.	Apple Inc., Common Stock	B	Dividend	M	T					
45.	Meta Platforms, Inc. (formerly Facebook Inc., Class A Common Stock)		None	L	T					
46.	Vanguard Federal Money Market Fund (3)	A	Dividend			Buy (add'l)	11/23/21	L		
47.						Buy (add'l)	12/03/21	M		
48.						Buy (add'l)	12/09/21	M		
49.						Buy (add'l)	12/17/21	M		
50.						Sold	12/20/21	N	A	
51.	Meta Platforms, Inc. (formerly Facebook Inc.,Class A Common Stock (2))		None			Sold	12/17/21	L	C	

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52. E-Trade Extended Insurance Sweep Deposit Acct. (2)	A	Dividend	K	T					
53. Apple, Inc., Common Stock (3)	B	Dividend			Sold (part)	11/19/21	L	E	
54.					Sold (part)	11/30/21	L	E	
55.					Sold	12/07/21	M	E	
56. E-Trade Fin. Retire Sweep Dep.(formerlyE-TradeExt. Ins.SweepAcct. (3))	A	Dividend			Redeemed	01/28/21	J		
57. Vanguard High Yield Tax Exempt Fund - Trust #1	A	Dividend	K	T	Buy (add'l)	06/21/21	J		
58. Vanguard International Growth Fund - Trust #1	E	Dividend	M	T	Buy (add'l)	01/11/21	J		
59.					Buy (add'l)	02/17/21	J		
60.					Buy (add'l)	04/06/21	J		
61. Vanguard Federal Money Market Fund	A	Dividend	L	T	Sold (part)	04/06/21	J		
62.					Sold (part)	04/20/21	K		
63.					Sold (part)	06/01/21	J		
64.					Buy (add'l)	07/29/21	K		
65.					Buy (add'l)	12/21/21	K		
66. KraneShares MSCI One ETF		None			Sold	07/27/21	K		
67. American Silver Eagle Coins		None	K	T					
68. China Mobile ADR - Trust #1		None			Sold	01/04/21	J		

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69.	Ping An Ins. Group ADR - Trust #1	A	Dividend	J	T	Buy (add'l)	04/28/21	J		
70.	Vanguard Core Bond Fund - Trust #1	A	Dividend	K	T					
71.	Uranium Energy Corp. Commons Stock-Trust #1		None	J	T	Buy (add'l)	07/08/21	J		
72.	Illinois Bank & Trust C.D.	A	Int./Div.	L	T					
73.	Sandstorm Gold Ltd. Common Stock - Trust #1		None	J	T	Buy (add'l)	06/28/21	J		
74.						Buy (add'l)	11/02/21	J		
75.	Paycheck Inc. Common Stock - Trust #1	A	Dividend	K	T					
76.	Barrick Gold Corp. Common Stock - Trust #1	A	Dividend	J	T	Buy (add'l)	02/04/21	J		
77.						Buy (add'l)	04/15/21	J		
78.						Buy (add'l)	08/30/21	J		
79.						Buy (add'l)	10/20/21	J		
80.	Advanced Micro Devices Inc. Common Stock - Trust #1		None	K	T	Buy (add'l)	05/03/21	J		
81.	Vanguard Growth Index Fund	A	Dividend	L	T					
82.	Inari Medical Inc. Common Stock - Trust #1		None	K	T	Buy	06/01/21	K		
83.						Buy (add'l)	06/03/21	J		
84.	Pan American Silver Corp. Common Stock - Trust #1	A	Dividend	K	T	Buy	06/17/21	J		
85.						Buy (add'l)	08/09/21	J		

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86.					Buy (add'l)	10/27/21	J		
87.					Buy (add'l)	11/04/21	J		
88. C3.ai, Inc. Class A Common Stock - Trust #1		None	J	T	Buy	09/23/21	J		
89.					Buy (add'l)	10/07/21	J		
90.					Buy (add'l)	10/27/21	J		
91.					Buy (add'l)	11/19/21	J		
92. Vanguard S&P Small-Cap 600 Value ETF - Trust #1	A	Dividend	K	T	Buy	03/24/21	J		
93. Vanguard FTSE Emerging Markets ETF - Trust #1	A	Dividend	K	T	Buy	02/18/21	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ PHILIP G. REINHARD

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544