AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rendell, Marjorie O.	USCA - 3CA - Philadelphia	06/14/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Court Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

U.S. Court of Appeals
601 Market Street, Room 21613
Philadelphia, PA 19106-1598

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Directors	Rendell Center for Civics & Civic Engagement
2.	President	Rendell Center for Civics & Civic Engagement
3.	Member, Board of Directors	Cobbs Creek Restoration and Community Foundation
4.	Member, Advisory Board	David and Connie Girard DiCarlo Center for Ethics, Integrity and Compliance - Villanova University Charles Widger School of Law
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Administrative Office of PA Courts - Salary/Pension
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Rendell Center for Civics & Civic Engagement	9-24-2021	Philadelphia, PA	September Soiree	Flowers and Beverages
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rendell, Marjorie O.	06/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. QUALIFIED (H)									
2. AIP Multi Strategy Fund		None	J	T	Sold (part)	02/04/21	J		
3.					Sold (part)	06/02/21	J		
4.					Sold (part)	11/23/21	J		
5. BlackRock Low Duration Bond	C	Dividend	M	T					
6. DFA Emerging Mkts Core Equity	B	Dividend	L	T	Sold (part)	01/22/21	J		
7. DFA Intl Small Cap Growth	C	Dividend	K	T					
8. DFA Intl Small Cap Value	B	Dividend	L	T					
9. DFA Intl Value	D	Dividend	M	T					
10. DFA International Large Cap Growth	B	Dividend	L	T					
11. DFA Global Real Estate Securities	C	Dividend	L	T	Buy (add'l)	01/22/21	J		
12.					Sold (part)	07/27/21	J		
13. DFA U.S.Large Cap Value	D	Dividend	N	T					
14. DFA U.S. Small Cap	C	Dividend	K	T	Sold (part)	07/27/21	J		
15. Dodge & Cox Stock	D	Dividend	N	T	Sold (part)	07/27/21	J		
16. First Eagle Overseas	D	Dividend	M	T					
17. Delaware Ivy Mid Cap Growth	D	Dividend	L	T	Sold (part)	01/22/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Rendell, Marjorie O.	06/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Metropolitan West Total Return	B	Dividend	M	T	Buy (add'l)	01/22/21	L		
19. Morgan Stanley Inst Emerging Markets	D	Dividend	L	T	Sold (part)	01/22/21	J		
20. Morgan Stanley Inst International Equity	D	Dividend	M	T					
21. Royce Opportunity		None			Sold (part)	01/22/21	K		
22.					Sold	12/13/21	K		
23. Charles Schwab - Bank Sweep	A	Dividend	J	T					
24. William Blair Large Cap Growth	D	Dividend	N	T	Sold (part)	01/22/21	K		
25.					Sold (part)	07/27/21	K		
26. William Blair Small Cap Growth	D	Dividend	K	T	Sold (part)	01/22/21	J		
27. DFA Short Term Goverment	A	Dividend	M	T					
28. DFA Intermediate Government	C	Dividend	M	T					
29. AQR Large Cap Defensive	D	Dividend	N	T					
30. JP Morgan Hedged Equity	A	Dividend	M	T	Buy (add'l)	03/31/21	K		
31. Invesco QQQ Trust	A	Dividend	M	T	Sold (part)	01/22/21	K		
32. iShares Russell Mid-Cap Value	A	Dividend	L	T					
33. iShares 1-3 Year Treasury	A	Dividend			Buy (add'l)	02/19/21	K		
34.					Sold	03/29/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
35. Undiscovered Managers Behavioral Value	A	Dividend	K	T	Buy	12/13/21	K		
36. PERSONAL (H)									
37. DFA Emerging Markets Core Equity	C	Dividend	M	T					
38. DFA Global Real Estate Securities	C	Dividend	L	T					
39. DFA Intl Large Cap Growth	B	Dividend	M	T					
40. DFA Short Term Muni Bond	A	Dividend	N	T					
41. DFA Tax Managed U.S. Marketwide Value	A	Dividend	M	T					
42. Dodge & Cox Stock	B	Dividend	K	T					
43. Delaware Ivy Mid Cap Growth	E	Dividend	M	T					
44. Metropolitian West Total Return Bond	C	Dividend	N	T	Buy (add'l)	04/22/21	K		
45. Morgan Stanley Inst. Emerging Markets	D	Dividend	L	T					
46. Morgan Stanley Inst. Intl Equity	D	Dividend	M	T					
47. Royce Opportunity Investment		None			Sold (part)	11/12/21	K		
48.					Sold	12/13/21	L		
49. Charles Schwab - Bank Sweep	A	Dividend	M	T					
50. Thornberg Limited Term Muni	C	Dividend	N	T	Buy (add'l)	04/22/21	K		
51. William Blair Small Cap Growth	D	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. William Blair Large Cap Growth	E	Dividend	N	T	Sold (part)	04/22/21	K		
53. DFA Intl Small Cap Growth	C	Dividend	L	T					
54. AQR Large Cap Defensive	D	Dividend	N	T					
55. iShares Russell 1000	C	Dividend	N	T					
56. Invesco QQQ Trust	A	Dividend	M	T					
57. iShares Russell Mid Cap	B	Dividend	L	T					
58. DFA U.S. Small Cap	C	Dividend	L	T					
59. DFA International Small Cap Value	C	Dividend	L	T					
60. DFA International Value	D	Dividend	M	T					
61. DFA U.S. Large Cap Value	D	Dividend	M	T					
62. First Eagle Overseas	D	Dividend	M	T					
63. Global Net Lease Inc	C	Dividend	K	T					
64. Ventas Inc.	A	Dividend	J	T					
65. Vereit Inc. Com	C	Dividend			Merged (with line 68)	11/12/21	J		
66. Undiscovered Managers Behavioral Value	A	Dividend	L	T	Buy	12/13/21	L		
67. Sabra Health Care	A	Dividend	J	T					
68. Reality Income Corp	A	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69. Orion Office REIT Inc.		None	J	T	Spinoff (from line 68)	11/12/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 17 and 43: Reflect a change in naming convention from Ivy Mid Cap Growth to Delaware Ivy Mid Cap Growth.

Lines 23 and 49: Reflect a change in naming convention from Schwab Advisor Premium Cash Reserve to Charles Schwab - Bank Sweep.

Line 67: Mistakenly omitted from last years report.

Line 68: Reality Income Corp acquired through merger with Vereit Inc. Com in November 2021.

Name of Person Reporting	Date of Report
Rendell, Marjorie O.	06/14/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Marjorie O. Rendell

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544