AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rendell, Marjorie O.	USCA - 3CA - Philadelphia	04/18/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Court Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. Court of Appeals 601 Market Street, Room 21613 Philadelphia, PA 19106-1598		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Directors	Rendell Center for Civics & Civic Engagement
2.	President	Rendell Center for Civics & Civic Engagement
3.	Member, Board of Directors	Cobbs Creek Restoration and Community Foundation
4.	Member, Advisory Board	David and Connie Girard DiCarlo Center for Ethics, Integrity and Compliance - Villanova University Charles Widger School of Law
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Rendell, Marjorie O.	04/18/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Administrative Office of PA Courts - Pension
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rendell, Marjorie O.	04/18/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Qualified (H)									
2. AIP Multi Strategy Fund		None	J	T	Sold (part)	05/31/22	J		
3. AQR Large Cap Defensive Style (AUEIX)	E	Dividend	M	T	Sold (part)	11/01/22	K		
4. BlackRock Low Duration Bond (BFMSX)	C	Dividend	M	T	Sold (part)	01/26/22	L		
5. DFA Emerging Markets Core Equity (DFCEX)	B	Dividend	L	T					
6. DFA Short-Term Government (DFFGX)	B	Dividend	M	T	Sold (part)	01/26/22	L		
7. DFA Global Real Estate Securities (DFGEX)	C	Dividend	L	T					
8. DFA International Value (DFIVX)	C	Dividend	M	T					
9. DFA US Large Cap Value (DFLVX)	D	Dividend	M	T	Sold (part)	01/26/22	K		
10.					Sold (part)	11/01/22	K		
11. DFA US Small Cap (DFSTX)	B	Dividend	K	T					
12. DFA International Large Cap Growth (DILRX)	B	Dividend	L	T					
13. DFA International Small Cap Growth (DISMX)	A	Dividend	K	T					
14. DFA International Small Cap Value (DISVX)	A	Dividend	L	T					
15. Dodge & Cox Stock (DODGX)	D	Dividend	M	T	Sold (part)	01/26/22	K		
16.					Sold (part)	11/01/22	K		
17. Lazard Global Listed Infrastructure (GLIFX)	D	Dividend	L	T	Buy	06/23/22	L		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Rendell, Marjorie O.	04/18/2023

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. iShares Russell Mid Cap Value ETF (IWS)	B	Dividend	L	T					
19. Delaware Ivy Mid Cap Growth (IYMIX)	D	Dividend	L	T					
20. JPMorgan Hedged Equity (JHEQX)	B	Dividend	M	T					
21. William Blair Large Cap Growth (LCGFX)	B	Dividend	M	T					
22. Matthews Pacific Tiger Instl (MIPTX)	C	Dividend	L	T	Buy	09/15/22	L		
23. Morgan Stanley Inst International Eq (MSIQX)	C	Dividend	L	T	Sold (part)	06/23/22	K		
24. Metropolitan West Total Return Bond (MWTIX)	C	Dividend	M	T					
25. Invesco QQQ Trust Series 1 ETF (QQQ)	A	Dividend	L	T					
26. First Eagle Overseas (SGOIX)	C	Dividend	L	T	Sold (part)	06/23/22	K		
27. Undiscovered Managers Behavioral Value (UBVLX)	C	Dividend	K	T					
28. Vanguard Short-Term Investment-Grade (VFSUX)	C	Dividend	M	T	Buy	01/26/22	M		
29. Western Asset Core Plus Bond (WACPX)	C	Dividend	M	T	Buy	01/26/22	M		
30. William Blair Small Cap Growth (WBSNX)	B	Dividend	K	T					
31. Morgan Stanley Inst Emerging Mkts (MGEMX)		None			Sold	09/15/22	L		
32. Personal (H)									
33. AQR Large Cap Defensive Style (AUEIX)	E	Dividend	N	T	Sold (part)	01/26/22	J		
34. DFA Emerging Markets Core Equity (DFCEX)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
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35. DFA Global Real Estate Securities (DFGEX)	C	Dividend	L	T					
36. DFA International Value (DFIVX)	D	Dividend	M	T					
37. DFA US Large Cap Value (DFLVX)	D	Dividend	M	T					
38. DFA Short-Term Municipal Bond (DFSMX)	B	Dividend	N	T					
39. DFA US Small Cap (DFSTX)	C	Dividend	L	T					
40. Dimensional US Marketwide Value ETF (DFUV)	B	Dividend	L	T					
41. DFA International Large Cap Growth (DILRX)	B	Dividend	L	T					
42. DFA International Small Cap Growth (DISMX)	A	Dividend	K	T					
43. DFA International Small Cap Value (DISVX)	B	Dividend	L	T					
44. Dodge & Cox (DODGX)	B	Dividend	K	T					
45. Lazard Global Listed Infrastructure (GLIFX)	D	Dividend	L	T	Buy	06/23/22	L		
46. Global Net Lease Incorporated (GNL)	C	Dividend	K	T					
47. iShares Russell 1000 ETF (IWB)	C	Dividend	L	T					
48. iShares Russell Mid Cap Value (IWS)	B	Dividend	L	T					
49. Delaware Ivy Mid Cap Growth (IYMIX)	D	Dividend	L	T	Sold (part)	01/26/22	J		
50. William Blair Large Cap Growth (LCGFX)	B	Dividend	N	T	Sold (part)	01/26/22	K		
51. Matthews Pacific Tiger Instl (MIPTX)	D	Dividend	L	T	Buy	09/15/22	L		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. Morgan Stanley Inst International Eq (MSIQX)		None			Sold (part)	06/23/22	K		
53.					Sold	12/13/22	L		
54. Realty Income Corp REIT (O)	D	Dividend	M	T					
55. Orion Office REIT Inc REIT (ONL)	A	Dividend	J	T					
56. Invesco QQQ Trust Series 1 ETF (QQQ)	A	Dividend	L	T					
57. Sabra Health Care REIT (SBRA)	A	Dividend	J	T					
58. First Eagles Overseas I (SGOIX)	C	Dividend	M	T	Sold (part)	06/23/22	K		
59. Undiscovered Managers Behavioral Value (UBVLX)	C	Dividend	L	T					
60. Ventas Inc REIT (VTR)	A	Dividend	J	T					
61. William Blair Small Cap Growth (WBSNX)	B	Dividend	L	T	Sold (part)	01/26/22	J		
62. Thornburg Limited Term Municipal Fund Class (LTMIX)	D	Dividend	N	T	Buy (add'l)	01/26/22	L		
63.					Sold	05/09/22	N		
64.					Buy	06/24/22	N		
65. Metropolitan West Total Return Bond Fund (MWTIX)	D	Dividend	N	T	Buy (add'l)	01/26/22	L		
66.					Sold	05/09/22	N		
67.					Buy	06/24/22	N		
68. Morgan Stanley Institutional Fund. Emerging Markets Portfolio (MGEMX)		None			Sold	09/15/22	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 40: DFA Tax-Managed U.S. Marketwide Value Portfolio (DFMVX) was converted to DFA Dimensional US Marketwide Value ETF (DFUV) in May 2022.

Name of Person Reporting	Date of Report
Rendell, Marjorie O.	04/18/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Marjorie O. Rendell

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544