AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rice, Walter H.	U.S. District Court, Southern District of Ohio	08/13/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2021 **to** 12/31/2021
	5b. ☐ Amended Report	

7. Chambers or Office Address

United States District Court
200 West Second Street
Dayton, OH 45402

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1 (reportable assets are included in Part VII)
2.	Trustee	Trust #2 (reportable assets are included in Part VII)
3.	Board of Directors/Vice President	Building Bridges
4.	Trustee	Wright Dunbar, Inc.
5.	Board of Advisors, Emeritus	University of Dayton School of Law
6.	Chairperson	Dayton Dialogue on Race Relations
7.	Co-chair	Ex-Offender Reentry Council
8.	Chairman of the Board	American Veterans Heritage Commission

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Ohio Public Employees Retirement System (pension)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Fifth-Third Bank (cash)	A	Interest	N	T					
3. KeyBank (cash)	A	Interest	L	T					
4. Account #1 (H)									
5. Comm Services Select Sector (XLC)	A	Dividend	J	T	Sold (part)	10/21/21	J	A	
6. Consumer Discretionary (XLY)	A	Dividend	J	T	Sold (part)	10/21/21	J	A	
7. First TR Exchange Traded (FDN)		None	J	T					
8. First Trust Cloud Computing ETF (SKYY)	A	Dividend	J	T	Sold (part)	10/21/21	J	A	
9. Health Care Select SPDR (XLV)	A	Dividend	J	T	Sold (part)	10/21/21	J	A	
10. IShares NASDAQ Biotech (IBB) (Y)									
11. IShares Core S&P US Growth ETF (IUSG)	A	Dividend	J	T	Sold (part)	10/21/21	J	B	
12. IShares Core S&P US Value ETF (IUSV)	A	Dividend	J	T	Sold (part)	10/21/21	J	A	
13. Ishares MSCI Emerging (EEMA)	A	Dividend	J	T	Sold (part)	10/21/21	J	A	
14. Materials Select Sector Spdr Fd (XLB) (X)	A	Dividend	J	T					
15. Sector SPDR Consmrs Stpl (XLP)	A	Dividend	J	T					
16. Sector SPDR Energy (XLE)	A	Dividend	J	T	Buy	03/11/21	J		
17.					Sold (part)	10/21/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. Sector SPDR Industrial (XLI)	A	Dividend	J	T	Sold (part)	10/21/21	J	A	
19. Vanguard Financials ETF (VFH)	A	Dividend	J	T	Sold (part)	10/21/21	J	A	
20. Vanguard Information (VGT)	A	Dividend	J	T	Sold (part)	10/21/21	J	C	
21. Vanguard FTSE Developed Markets ETF (VEA)	A	Dividend	J	T	Sold (part)	10/21/21	J	A	
22. Account #2 (H)									
23. ML Bank Deposit Program (cash)	A	Interest	K	T					
24. Abbott Labs (ABT)	A	Dividend	J	T					
25. Air Products & Chem (APD)	A	Dividend	J	T	Sold (part)	04/14/21	J	A	
26.					Sold (part)	09/27/21	J	A	
27. Alphabet Inc Shs Cl A (GOOGL)		None	K	T	Sold (part)	02/23/21	J	B	
28.					Sold (part)	03/22/21	J		
29.					Buy (add'l)	04/19/21	J		
30.					Buy (add'l)	06/14/21	J		
31.					Sold (part)	09/20/21	J	B	
32.					Sold (part)	10/13/21	J	B	
33.					Buy (add'l)	10/25/21	J		
34.					Buy (add'l)	11/23/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Amazon Com Inc Com (AMZN)		None	L	T	Sold (part)	03/15/21	J		
36.					Buy (add'l)	04/12/21	J		
37.					Buy (add'l)	09/20/21	J		
38.					Buy (add'l)	11/02/21	J		
39.					Buy (add'l)	11/29/21	J		
40. American Tower Reit Inc (AMT)	A	Dividend	J	T	Sold (part)	03/11/21	J		
41.					Sold (part)	03/12/21	J		
42. Anthem Inc (ANTM)	A	Dividend	J	T	Sold (part)	08/16/21	J	A	
43. Aon Plc Reg Shs (AON)	A	Dividend	J	T	Sold (part)	11/24/21	J	B	
44. Apple Inc (AAPL)	A	Dividend	L	T	Sold (part)	02/01/21	J	A	
45.					Sold (part)	03/12/21	J	A	
46.					Sold (part)	03/22/21	J		
47.					Sold (part)	06/02/21	J	A	
48.					Buy (add'l)	07/15/21	J		
49.					Buy (add'l)	11/02/21	J		
50. BHP Group Ltd (BHP)	A	Dividend			Buy	07/15/21	J		
51.					Buy (add'l)	07/16/21	J		

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52.					Sold	09/27/21	J		
53. Ball Corp Com (BLL) (Y)									
54. Becton Dickinson Co (BDX)	A	Dividend			Sold (part)	04/14/21	J		
55.					Sold	06/02/21	J		
56. Blackrock Inc (BLK)	A	Dividend	J	T					
57. Canadian Pacific Railway (CP)		None	J	T	Buy	12/27/21	J		
58. Capital One Finl (COF)	A	Dividend	J	T	Sold (part)	06/16/21	J		
59. Carrier Global Corp Reg (CARR) (X)	A	Dividend	J	T					
60. Charter Communications Cl A (CHTR)		None	J	T	Buy	03/12/21	J		
61.					Sold (part)	07/15/21	J	A	
62. Chevron Corp (CVX)	A	Dividend	J	T	Sold (part)	06/16/21	J	A	
63. Cisco Systems Inc Com (CSCO)	A	Dividend	J	T					
64. Citigroup Inc Com New (C)	A	Dividend	J	T					
65. Comcast Corp New Cl A (CMCSA)	A	Dividend	J	T					
66. Conocophillips (COP)	A	Dividend	J	T	Buy	06/16/21	J		
67.					Buy (add'l)	06/21/21	J		
68.					Buy (add'l)	06/22/21	J		

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69. Constellation Brands Inc (STZ) (Y)									
70. Costco Wholesale Crp Del (COST)	A	Dividend	K	T	Sold (part)	03/11/21	J	A	
71.					Sold (part)	03/18/21	J	A	
72.					Buy	06/02/21	J		
73. Danaher Corp Del Com (DHR)	A	Dividend	K	T	Sold (part)	03/18/21	J	A	
74.					Sold (part)	03/19/21	J	A	
75.					Buy (add'l)	04/14/21	J		
76.					Buy (add'l)	06/02/21	J		
77.					Buy (add'l)	11/09/21	J		
78. Disney (Walt) Co Com Stk (DIS)		None	K	T					
79. Eli Lilly & Co (LLY)	A	Dividend	J	T	Sold (part)	08/23/21	J	A	
80. Epam Systems Inc Shs (EPAM)		None	J	T	Buy	04/14/21	J		
81.					Buy (add'l)	04/15/21	J		
82.					Sold (part)	10/13/21	J	A	
83. Equinix Inc (EQIX)	A	Dividend	J	T					
84. Equity Residential (EQR) (X)	A	Dividend	J	T					
85. Expedia Group Inc (EXPE)		None	J	T	Buy	03/18/21	J		

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86.					Buy (add'l)	03/22/21	J		
87. Extra Space Storage Inc (EXR)	A	Dividend	J	T	Buy	03/15/21	J		
88.					Buy (add'l)	03/17/21	J		
89.					Sold (part)	07/15/21	J	A	
90.					Sold (part)	10/13/21	J	A	
91. Exon Mobil Corp (XOM)	A	Dividend	K	T	Buy	07/15/21	J		
92.					Buy (add'l)	07/16/21	J		
93.					Buy (add'l)	09/27/21	J		
94. FedEx Corp Delaware Com (FDX.DE)	A	Dividend	J	T					
95. Fidelity Natl Info Svcs (FIS)	A	Dividend			Sold (part)	02/01/21	J		
96.					Sold (part)	07/15/21	J	A	
97.					Sold	09/27/21	J		
98. Fifth Third Bancorp (FITB)	A	Dividend	J	T	Buy	08/23/21	J		
99.					Buy (add'l)	08/24/21	J		
100.					Buy (add'l)	08/25/21	J		
101. Ford Motor Co (F) (X)	A	Dividend	J	T					
102. Garmin Ltd (GRMN) (X)	A	Dividend	J	T					

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103. Generac Hldgs Inc (GNRC)		None	J	T	Buy	09/27/21	J		
104.					Buy (add'l)	09/28/21	J		
105. Fiserv Inc Wisc (FISV)		None			Sold (part)	05/19/21	J	A	
106.					Sold (part)	06/14/21	J		
107.					Sold	06/16/21	J		
108. Hilton Worldwide (HLT)		None	J	T					
109. Home Depot Inc (HD)	A	Dividend	K	T					
110. Honeywell Intl Inc Del (HON)	A	Dividend	J	T	Sold (part)	02/24/21	J	A	
111. Intercontinental Exchange Inc (ICE)	A	Dividend	J	T	Sold (part)	04/14/21	J	A	
112.					Sold (part)	09/27/21	J	A	
113. Intl Flavors & Fragranc (IFF)	A	Dividend			Buy	03/12/21	J		
114.					Buy (add'l)	03/15/21	J		
115.					Sold (part)	06/02/21	J	A	
116.					Sold (part)	07/15/21	J	A	
117.					Sold	07/16/21	J	A	
118. Intl Paper Co (IP) (X)	A	Dividend	J	T					
119. Intuit Inc Com (INTU)	A	Dividend	J	T	Sold (part)	01/11/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	06/02/21	J		
121.					Sold (part)	10/13/21	J	C	
122.					Sold (part)	11/29/21	J	A	
123. JP Morgan Chase & Co (JPM)	A	Dividend	K	T	Buy (add'l)	01/13/21	J		
124.					Buy (add'l)	03/15/21	J		
125.					Sold (part)	05/19/21	J	A	
126. Kansas City Southern (KSU)	A	Dividend			Buy (add'l)	01/11/21	J		
127.					Buy (add'l)	01/12/21	J		
128.					Buy (add'l)	03/12/21	J		
129.					Buy (add'l)	03/15/21	J		
130.					Buy (add'l)	03/16/21	J		
131.					Sold (part)	04/14/21	J	A	
132.					Sold (part)	06/02/21	J	A	
133.					Sold (part)	09/27/21	J	A	
134.					Sold (part)	09/28/21	J	A	
135.					Sold	12/17/21	J	B	
136. M & T Bank Corporation (MTB)	A	Dividend			Buy	04/14/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/15/21	J		
138.					Sold (part)	08/23/21	J		
139.					Sold	08/24/21	J		
140. L3Harris Technologies (LHX) (X)	A	Dividend	J	T					
141. Marvell Tech Inc (MRVL)		None	K	T	Buy	02/24/21	J		
142.					Buy (add'l)	03/12/21	J		
143.					Buy (add'l)	11/17/21	J		
144. Lockheed Martin Corp (LMT)		None			Sold (part)	01/12/21	J	A	
145.					Sold (part)	01/13/21	J	A	
146.					Sold	01/14/21	J		
147. McDonalds Corp. (MCD)	A	Dividend	J	T	Sold (part)	01/14/21	J	A	
148.					Sold (part)	06/02/21	J	A	
149. Microchip Technology Inc (MCHIP)	A	Dividend	J	T	Sold (part)	01/13/21	J	A	
150.					Sold (part)	07/21/21	J	A	
151. Microsoft Corp (MSFT)	A	Dividend	L	T	Sold (part)	01/11/21	J	A	
152.					Sold (part)	02/23/21	J		
153.					Sold (part)	03/12/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.						Buy (add'l)	03/22/21	J		
155.						Sold (part)	09/27/21	J	A	
156.						Buy (add'l)	11/09/21	J		
157.						Buy (add'l)	11/29/21	J		
158.	Nextera Energy Inc Shs (NEE)	A	Dividend	K	T	Buy (add'l)	07/15/21	J		
159.	Nike Inc Cl B (NKE)	A	Dividend	J	T	Sold (part)	06/02/21	J	A	
160.	Northrop Grumman Corp (NOC)	A	Dividend	J	T	Buy (add'l)	06/02/21	J		
161.						Buy (add'l)	10/13/21	J		
162.	NVIDIA (NVDA)	A	Dividend	J	T	Buy	07/15/21	J		
163.						Buy (add'l)	09/29/21	J		
164.						Buy (add'l)	11/17/21	J		
165.						Sold (part)	11/24/21	J	B	
166.	Parker Hannifin Corp (PH)	A	Dividend	J	T	Buy (add'l)	03/11/21	J		
167.						Sold (part)	05/19/21	J	A	
168.	Pepsico Inc (PEP)	A	Dividend			Sold (part)	01/11/21	J	A	
169.						Sold (part)	02/12/21	J	A	
170.						Sold	03/12/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Procter & Gamble Co (PG)	A	Dividend			Sold	06/02/21	J	A	
172. Paypal Holdings Inc Shs (PYPL)		None	J	T	Buy	04/14/21	J		
173. Principal Financial GRP (PFG)	A	Dividend	J	T	Buy	11/29/21	J		
174. Progressive Corp Ohio (PGR)	A	Dividend	J	T					
175. Prologis Inc (PLD)	A	Dividend	J	T					
176. Qualcomm Inc (QCOM)	A	Dividend			Sold	07/15/21	J	A	
177. Rockwell Automation Inc (ROK)	A	Dividend	J	T	Buy	02/01/21	J		
178.					Buy (add'l)	02/08/21	J		
179.					Buy (add'l)	03/12/21	J		
180.					Buy (add'l)	03/15/21	J		
181.					Sold (part)	11/24/21	J	C	
182.					Sold (part)	11/26/21	J	A	
183. Ross Stores Inc Com (ROST)	A	Dividend			Sold (part)	05/19/21	J	A	
184.					Sold (part)	06/15/21	J	A	
185.					Sold	06/21/21	J	A	
186. Salesforce Com Inc (CRM)		None	J	T	Buy (add'l)	10/13/21	J		
187. Sherwin Williams (SHW)	A	Dividend	J	T	Buy	07/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Stryker Corp (SYK)	A	Dividend	J	T	Sold (part)	10/13/21	J	B	
189. Texas Instruments (TXN)	A	Dividend	J	T	Buy (add'l)	06/02/21	J		
190.					Buy (add'l)	10/13/21	J		
191. Thermo Fisher Scientific (TMO)	A	Dividend	J	T	Sold (part)	03/18/21	J	A	
192.					Sold (part)	03/19/21	J	A	
193.					Sold (part)	05/19/21	J	A	
194. Thomson Reuters Corp Reg (TRI)	A	Dividend			Sold (part)	03/12/21	J	A	
195.					Sold	03/15/21	J	A	
196. TJX Cos Inc New (TJX)	A	Dividend	J	T	Sold (part)	03/12/21	J	A	
197.					Sold (part)	06/02/21	J	A	
198. Total SE (TOT)	A	Dividend			Buy (add'l)	01/11/21	J		
199.					Buy (add'l)	01/12/21	J		
200.					Sold (part)	04/14/21	J	A	
201.					Sold (part)	07/15/21	J		
202.					Sold	07/16/21	J		
203. Tractor Supply Co (TSCO)	A	Dividend	J	T	Buy	03/12/21	J		
204.					Buy (add'l)	03/15/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	03/16/21	J		
206.					Sold (part)	09/27/21	J	A	
207.					Sold (part)	10/13/21	J	A	
208. Truist Finl Corp (TFC)	A	Dividend	J	T					
209. Union Pacific Corp (UNP)	A	Dividend	J	T	Sold (part)	05/19/21	J	A	
210.					Sold (part)	09/30/21	J	A	
211.					Sold (part)	10/01/21	J	A	
212.					Sold (part)	10/04/21	J	A	
213. United Health Group Inc (UNH)	A	Dividend	J	T	Sold (part)	03/12/21	J		
214. Verizon Communicatns Com (VZ)	A	Dividend			Sold	03/12/21	J	A	
215. Visa Inc Cl A Shrs (V)	A	Dividend	K	T	Sold (part)	03/12/21	J	A	
216.					Sold (part)	04/14/21	J	A	
217.					Sold (part)	09/20/21	J	A	
218. Walmart Inc (WMT)	A	Dividend	K	T	Sold (part)	03/12/21	J	A	
219.					Buy (add'l)	06/02/21	J		
220.					Buy (add'l)	11/29/21	J		
221. Waste Connections Inc (WCN)		None	J	T	Buy	09/27/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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222.					Buy (add'l)	09/28/21	J		
223.					Buy (add'l)	09/29/21	J		
224.					Buy (add'l)	09/30/21	J		
225. Williams Companies Del (WMB)	A	Dividend	J	T	Buy	10/13/21	J		
226.					Buy (add'l)	11/29/21	J		
227.					Buy (add'l)	11/30/21	J		
228. Zoetis Inc (ZTS)	A	Dividend	K	T	Sold (part)	11/24/21	J	B	
229.					Sold (part)	11/26/21	J	A	
230. Comm Services Select (XLC)	A	Dividend	K	T	Sold (part)	01/14/21	J	B	
231.					Sold (part)	03/11/21	J	A	
232.					Buy (add'l)	04/07/21	J		
233.					Buy (add'l)	04/19/21	J		
234.					Buy (add'l)	10/25/21	J		
235.					Buy (add'l)	10/28/21	J		
236.					Buy (add'l)	11/02/21	J		
237.					Sold (part)	11/17/21	J	A	
238.					Buy (add'l)	11/23/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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239.					Buy (add'l)	11/29/21	J		
240. Consumer Discretionary SPDR (XLY)	A	Dividend	K	T	Buy (add'l)	05/19/21	J		
241.					Sold (part)	07/14/21	J	B	
242.					Buy (add'l)	11/17/21	J		
243.					Buy (add'l)	11/19/21	J		
244. First Trust Dow Jones (FDN)		None	K	T	Sold (part)	03/11/21	J	A	
245.					Buy (add'l)	05/19/21	J		
246.					Sold (part)	07/14/21	J	A	
247.					Buy (add'l)	11/29/21	J		
248. Global X Funds Shs US ETF (PAVE)		None	J	T	Buy	07/14/21	J		
249.					Buy (add'l)	10/28/21	J		
250. First Trust Cloud Comp ETF (SKYY)	A	Dividend	K	T	Sold (part)	01/14/21	J	B	
251.					Sold (part)	03/11/21	J	A	
252.					Buy (add'l)	05/19/21	J		
253.					Sold (part)	07/14/21	J	A	
254.					Buy (add'l)	11/02/21	J		
255.					Buy (add'l)	11/23/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	11/29/21	J		
257. Health Care Select SPDR (XLV)	A	Dividend	K	T	Sold (part)	01/14/21	J	A	
258.					Buy (add'l)	04/07/21	J		
259.					Sold (part)	07/14/21	J	B	
260.					Buy (add'l)	11/09/21	J		
261. Ishares Biotechnology ETF (IBB)	A	Dividend	K	T	Sold (part)	01/14/21	J	A	
262.					Sold (part)	07/14/21	J	A	
263.					Buy (add'l)	10/25/21	J		
264. Ishares US Regional ETF (IAT)	A	Dividend	J	T	Buy	07/14/21	J		
265. IShares S&P Small Cap 600 Growth (IJT)	A	Dividend	J	T					
266. IShares S&P Small Cap 600 Value (IJS)	A	Dividend	J	T	Sold (part)	05/19/21	J	A	
267. IShares Core S&P US Growth ETF (IUSG)	A	Dividend	L	T	Buy (add'l)	05/19/21	J		
268. IShares Core S&P US Value ETF (IUSV)	B	Dividend	L	T	Buy (add'l)	04/07/21	J		
269.					Buy (add'l)	04/12/21	J		
270.					Buy (add'l)	04/19/21	J		
271.					Sold (part)	05/19/21	J		
272.					Buy (add'l)	10/25/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	11/02/21	J		
274.					Buy (add'l)	11/09/21	J		
275.					Buy (add'l)	11/17/21	J		
276.					Buy (add'l)	11/23/21	J		
277. Pacer Benchmark Data and Infrastructure (SRVR)	A	Dividend	J	T	Buy (add'l)	07/14/21	J		
278. Proshares Online Retail ETF (ONLN)		None	J	T	Buy (add'l)	01/14/21	J		
279.					Buy (add'l)	10/25/21	J		
280.					Sold (part)	10/28/21	J	A	
281. Real Estate Select Sector SPDR Fd SHS (XLRE)	A	Dividend	J	T	Buy	11/19/21	J		
282. Sector SPDR Energy (XLE)	A	Dividend	J	T	Buy	03/11/21	J		
283.					Sold (part)	10/28/21	J	A	
284. SPDR S&P Transportation ETF (XTN)	A	Dividend	J	T	Buy	10/28/21	J		
285.					Buy (add'l)	11/19/21	J		
286. SPDR Financial Sector (XLF)	A	Dividend	K	T	Buy (add'l)	01/14/21	J		
287.					Sold (part)	05/19/21	J	A	
288.					Buy (add'l)	11/23/21	J		
289. Vanguard Consumer (VDC)	A	Dividend	K	T	Sold (part)	01/14/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	03/11/21	J	A	
291.					Sold (part)	07/14/21	J		
292. Vanguard Materials (VAW)	A	Dividend	J	T	Sold (part)	01/14/21	J		
293.					Buy (add'l)	07/14/21	J		
294. Vanguard Information Tech (VGT)	A	Dividend	L	T	Sold (part)	01/14/21	J	B	
295.					Buy (add'l)	03/29/21	J		
296.					Buy (add'l)	05/19/21	J		
297.					Sold (part)	07/14/21	J	C	
298.					Buy (add'l)	11/02/21	J		
299.					Buy (add'l)	11/17/21	J		
300.					Buy (add'l)	11/29/21	J		
301. Vanguard Industrial (VIS)	A	Dividend	K	T	Buy (add'l)	01/14/21	J		
302.					Sold (part)	07/14/21	J	A	
303.					Sold (part)	10/28/21	J	B	
304.					Sold (part)	11/17/21	J	A	
305. Vanguard FTSE Developed Markets ETF (VEA)	C	Dividend	M	T	Buy (add'l)	03/29/21	J		
306.					Buy (add'l)	04/07/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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307.					Buy (add'l)	04/12/21	J		
308.					Buy (add'l)	04/19/21	J		
309.					Buy (add'l)	10/25/21	J		
310.					Buy (add'l)	12/02/21	J		
311.					Buy (add'l)	11/09/21	J		
312.					Buy (add'l)	11/17/21	J		
313.					Buy (add'l)	11/23/21	J		
314.					Buy (add'l)	11/29/21	J		
315. Account #3 (H)									
316. ML Bank Deposit Program (cash)	A	Interest	K	T					
317. Milwaukee Wis Sew Rev (60242MCD3)	B	Interest			Redeemed	06/01/21	L		
318. Massachusetts ST Dev Fin (57584XUB5)	C	Interest			Redeemed	10/01/21	L		
319. Wake Cnty NC Ltd Oblig (930876CL9)	B	Interest	L	T					
320. Met Gvt Nashville-Davidson Co Tn Rf (592112LW4)	B	Interest	L	T					
321. Columbud Ohio Var Purp (199492GC7)		None	L	T	Buy	10/15/21	L		
322. Delaware St Ser B RF (246381FC6)	C	Interest	L	T					
323. Chicago Ill O Hare Intl (167593B92)	B	Interest	L	T					

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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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324. Honolulu HI CY-CO WWTR Sys Rev Sr-First BD (438701J96)	C	Interest	L	T					
325. Kansas City Mo Wtr Rev (485116ZM2)	A	Interest	L	T	Buy	10/27/21	L		
326. New York St Dorm Auth St Pers Income Tax Rev D RF (64990E5P8)	C	Interest	L	T					
327. Granite Sch Dist Utah Salt Lake Cnty (387460QJ0)	C	Interest	L	T					
328. Sioux Falls SD Sales Tax (829594LQ1)	B	Interest	L	T					
329. Mississippi St Lt SER B (605581HZ3)	C	Interest	L	T					
330. Minnesota St. Ser D (60412AGR8)	C	Interest	L	T					
331. Orlando Fla Utils Commn Util Sys Rev Ref BDS (686507JP0)	B	Interest	K	T					
332. Denver Colo City & Cnty Sch Dist NO1 Sch GTD (249174VF7)	C	Interest	L	T					
333. New Jersey St Tpk Auth (646139B20)	C	Interest	L	T					
334. Olathe Kans Go Impt Ref (679384MX2)	A	Interest	L	T	Buy	07/15/21	L		
335. District Columbia Income (25477GQB4)	B	Interest	L	T					
336. Fort Bend Tex Indpt Sch Dist (346843QN8)	B	Interest	L	T					
337. Washington St Var Purp (93974D2S6)	C	Interest	L	T					
338. Account #4 (H)									
339. ML Bank Deposit - BOA (cash)	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Rice, Walter H.	08/13/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Rice, Walter H.	08/13/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Walter H. Rice

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544