AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Riggs, Kea W.	United States District Court - District of New Mexico	05/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court 333 Lomas Blvd. NW Suite 740 Albuquerque, NM 87102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Riggs, Kea W.	05/09/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	United States District Court - NM, Salary for District Judge	$218,600.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	self-employed attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Riggs, Kea W.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Pioneer Bank Checking Account		None	J	T					
2. Pioneer Bank Savings Account	A	Interest	K	T					
3. Investment Account (H)									
4. -American AMCAP CL C	A	Dividend	J	T					
5. -American Fundamental Investors CL C	A	Dividend	J	T					
6. -American Growth Fund of America CL C	A	Dividend	K	T					
7. Investment Account 2 (H)									
8. -Amazon Stock		None	J	T					
9. -Ball Corp Stock	A	Dividend			Sold	09/23/21	J	A	
10. -Estee Lauder Co. Inc. CL a Stock	A	Dividend			Sold	04/07/21	J	A	
11. -McDonalds Corp. Stock	A	Dividend	J	T					
12. -Omega Healthcare Investors Stock	A	Dividend			Sold	04/07/21	J	A	
13. First Trust Equity Income	A	Dividend			Redeemed	08/19/21	J	A	
14. -American Fundamental Investors CL F3	B	Dividend	J	T					
15. -American Growth Fund of America CL F3	A	Dividend	K	T					
16. -Franklin Dynatech CL R6	A	Dividend	K	T					
17. -Vanguard Inter Term BD ETF	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Riggs, Kea W.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Vanguard Total BD Market ETF	A	Dividend	J	T					
19. -GlaxoSmithLkine PLC Spons ADR Stock		None	J	T	Buy	08/26/21	J		
20. -Realty Income corp Stock	A	Dividend	J	T	Buy	08/26/21	J		
21. -Orion Office Reit Inc Stock		None	J	T	Buy	09/27/21	J		
22. -Lord Abbett Growth Leaders CL F3	A	Dividend	J	T	Buy	09/21/21	J		
23. Investment Account 3 (H)									
24. -American Europacific Growth CL F3	A	Dividend			Sold	12/15/21	J	A	
25. -American Fundamental Investors CL F3	A	Dividend			Sold	12/15/21	J	A	
26. -American Growth Fund of America CL F3	A	Dividend			Sold	12/15/21	J	A	
27. -American New Economy CL F3	A	Dividend			Sold	12/15/21	J	A	
28. -Federated government Obligations CL PRM	A	Dividend			Sold	12/15/21	J	A	
29. -Franklin Dynatech CL R6	A	Dividend			Sold	12/15/21	J	A	
30. -Hartford Midcap CL F	A	Dividend			Sold	12/15/21	J	A	
31. -Lord Abbett Value Opportunities CL F3	A	Dividend			Sold	12/15/21	J	A	
32. -MFS Mid Cap Growth CL R6	A	Dividend			Sold	12/15/21	J	A	
33. Retirement Accounts (H)									
34. -New Mexico LifeCycle 2025 Portfolio	B	Dividend			Sold	08/11/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Riggs, Kea W.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -New Mexico LifeCycle 2025 Portfolio	A	Dividend			Sold	08/11/21	M	A	
36. Investment Account 5 (H)									
37. -Ally FINL Inc	A	Dividend	J	T	Buy	08/27/21	J		
38. -Charles River Laboratories		None	J	T	Buy	08/27/21	J		
39. -Crispr Therapeutics Ag		None	J	T	Buy	08/27/21	J		
40. -Epam Sys Inc		None	J	T	Buy	08/27/21	J		
41. -Extra Space Storage Inc	A	Dividend	J	T	Buy	08/27/21	J		
42. -Generac Hldgs Inc		None	J	T	Buy	08/27/21	J		
43. -GlaxoSmithLkine PLC Spons ADR		None	J	T	Buy	08/27/21	J		
44. -Golden Entmt Inc		None	J	T	Buy	08/27/21	J		
45. -Star Bulk Carriers Corp	A	Dividend	J	T	Buy	08/27/21	J		
46. -Ternium A A	A	Dividend	J	T	Buy	08/27/21	J		
47. -Trimble Navigation Ltd		None	J	T	Buy	08/27/21	J		
48. -Twist Bioscience Corporation		None	J	T	Buy	08/27/21	J		
49. -American AMCAP A	A	Dividend	K	T	Buy	08/27/21	K		
50. -American Fundament INV A	B	Dividend	K	T	Buy	08/27/21	K		
51. -American Growth Fd of Amer A	B	Dividend	K	T	Buy	08/27/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Riggs, Kea W.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -American New Perspective A	B	Dividend	K	T	Buy	08/27/21	K		
53. -American SMALLCAP World A	B	Dividend	K	T	Buy	08/27/21	K		
54. Investment Account 6 (H)									
55. -American AMCAP A	A	Dividend	J	T	Buy	08/25/21	J		
56. -American Fundamental INV A	A	Dividend	J	T	Buy	08/25/21	J		
57. -American Growth Fd of Amer A	A	Dividend	J	T	Buy	08/25/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Riggs, Kea W.	05/09/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Riggs, Kea W.	05/09/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kea W. Riggs

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544