AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Robart, James L.	U.S. Dist Court, W.D. of WA	05/02/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Dist. Judge (senior status)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
700 Stewart Street, 14th Floor Seattle, WA 98101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee Emeritus	Whitman College
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Robart, James L.	05/02/2022

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of America	Credit Card	J
2.	Bank of America	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Key Bank	A	Interest	K	T					
2.	Key Bank	A	Interest	J	T					
3.	Oak Harbor, WA Island Cnty Rental Property (2022 - $79,410)	B	Rent		S					
4.	BROKERAGE ACCOUNT #1 (H)									
5.	-- Starbucks Common Stock	E	Dividend	P1	T	Sold (part)	12/08/21	N	G	
6.	-- Charles Schwab Bank (fomerly Schwab Money Fund)	A	Interest	P1	T					
7.	-- MSCI Em. Mkts I-Shares	E	Dividend	P1	T					
8.	-- MSCI EAFE Index Fund	E	Dividend	N	T					
9.	-- S&P 600 I-Shares	E	Dividend	P1	T					
10.	-- Vanguard REIT Fund	E	Dividend	O	T					
11.	-- S&P 500 IShares	E	Dividend	P1	T					
12.	-- SVB Fin. Grp. (formerly Boston Priv. Fin. Hldg.)	B	Dividend	L	T					
13.		F	Distribution							
14.	-- Rackspace Tech.		None	K	T	Buy	01/11/21	K		
15.	-- Upstart Hldgs.		None	L	T	Buy	01/11/21	L		
16.	Chevron Common Stock	E	Dividend	N	T					
17.	BROKERAGE IRA #1 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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☐ NONE *(No reportable income, assets, or transactions.)*

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18. -- MSCI EAFE Index I-Shares	E	Dividend	P1	T					
19. -- S&P Small Cap 600 I-Shares	F	Dividend	P1	T					
20. -- S&P 500 I-Shares	E	Dividend	P1	T					
21. -- Charles Schwab Bank (formerly Schwab Money Fund)	C	Interest	P1	T					
22. -- U.S. TIPS	B	Interest	O	T	Matured (part)	04/15/21	M		
23.					Buy (add'l)	04/20/21	N		
24. -- Vanguard REIT	E	Dividend	P1	T					
25. -- Emrg. Mkt. I-Share	E	Dividend	O	T					
26. -- Bank of America Notes	D	Interest	M	T					
27. -- J.P. Morgan Chase	D	Interest	L	T					
28. -- General Electric Note	D	Interest			Redeemed	02/11/21	M		
29. -- Toyota Auto Receiv.	C	Interest			Redeemed	09/15/21	M		
30. -- Merck Bond	D	Interest	M	T					
31. -- Franklin Resources Note	D	Interest	M	T					
32. -- Morgan Stanley C.D.	D	Interest	M	T	Redeemed (part)	01/21/21	L		
33. -- Comenity Capital Bank C.D.	C	Interest	M	T					
34. -- Royal Bank CDA notes	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35. -- Wells Fargo	D	Interest	N	T					
36. -- Citibank C.D.	C	Interest	M	T					
37. -- Goldman Sachs Bonds	C	Interest	M	T					
38. -- Sallie Mae Bank CD	B	Interest	M	T					
39. -- Union P. Bond	C	Interest	L	T	Buy	01/11/21	M		
40. -- Morgan Stanley Bond	D	Interest	M	T	Buy	07/27/21	M		
41. -- BNY Mellon	D	Interest	M	T	Buy	10/06/21	M		
42. BROKERAGE IRA #2 (H)									
43. -- Janus Balanced Mut. Fund	C	Dividend	L	T					
44. -- T.R. Price New Asia Mut. Fund	C	Dividend	L	T					
45. -- Charles Schwab Bank (formerly Schwab Money Fund)	A	Interest	J	T					
46. -- MSCI EAFE Index Fund	B	Dividend	L	T					
47. -- S&P 500 I-Shares	D	Dividend	N	T					
48. -- MSCI Em. Mkts I Share	C	Dividend	L	T					
49. -- S&P Small Cap 600 I Shares	D	Dividend	M	T					
50. -- IBM	A	Dividend	J	T					
51. -- Vanguard REIT	C	Dividend	L	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. -- Kyndryl Hldgs.			J	T	Spinoff (from line 50)	11/03/21	J		
53. Vanguard Intl. Tax Managed Fund	F	Dividend	P1	T					
54. 7MB Tech. Corp.		None	K	T					
55. Builders Capital Part.	D	Dividend	L	T					
56.	G	Distribution							
57. Boeing Employees Credit Union	A	Interest	J	T					
58. BROKERAGE ACCOUNT #2 (H)									
59. -- City National Bank	A	Interest	J	T					
60. -- Balbec V L.P.	B	Dividend	L	T	Buy	10/20/21	L		
61. -- Ellington Part III L.P.	A	Dividend	M	T	Buy	12/13/21	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1:

Part VII, Brokerage Account # 1, Line 6; Brokerage IRA #1, Line 21; and Brokerage IRA #2, line 45: In previous reports, these were listed as "Schwab Money Fund." They should correctly be listed as Charles Schwab Bank accounts.

Note 2:

Part VII, Brokerage Account # 1, Lines 12 and 13: Boston Priv. Fin. Hldg. was acquired by SVB Fin. Grp. in a stock and cash transaction. Line 12 includes the value of the new stock and the dividends received. Line 13 includes the cash distribution received in the acquisition

Note 3:

Part VII, Brokerage IRA, Line 52 (Kyndryl Hldgs.) is a spin-off from Line 50 (IBM).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James L. Robart

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544