AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rodriguez, Regina M.	U.S. District Court, District of Colorado	8/9/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article II Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court, District of Colorado Alfred A. Arraj Courthouse 901 9th Street, Chambers A-738 Denver, Colorado 80294		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	Wilmer Cutler Pickering Hale and Dorr LLP
2.	Commissioner	Colorado Supreme Court Nominating Committee
3.	Board of Directors	Metro Chamber of Commerce
4.	Board of Directors	Denver School of Science and Technology
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2021	Defined Benefit Plan, Wilmer Cutler Pikering Hale & Dorr LLP - retirement plan with former law firm, firm-managed
2.	2021	Wilmer Cutler Pickering Hale & Dorr LLP - 401(k) Savings and Retirement Plan
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	WilmerHale Equity Partner Earnings (January - June 30, 2021)	$896,000.00
2.	2021	WilmerHale Capital Account	$90,478.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	WilmerHale	August 2021 Investiture Gift	$22,297.85
2.	Faegre Drinker Biddle & Reath	August 2021 Investiture Gift	$10,000.00
3.	WilmerHale	July 2021 Office Chair	$600.00
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rodriguez, Regina M.	8/9/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	American Funds Smallcap World Fund A (SMCWX)	A	Dividend	J	T					
2.	American Funds Capital Income Builder-F2 (CAIFX)	A	Dividend	L	T					
3.	Large-Cap Growth - I CL (TRLGX)	A	Dividend	N	T					
4.	Morgan Stanley Insight Fund Class (CPODX)	A	Dividend	N	T					
5.	American Funds New Perspective Fund-F2 (ANWFX)	A	Dividend	L	T					
6.	PIMCO Rae Plus Inst (PXTIX)	A	Dividend	N	T					
7.	Small-Cap World Fund Class F-2 (SMCFX)	A	Dividend	L	T					
8.	Jackson National Life Insurance/Mellon S&P 500 Index		None	P1	T					
9.	MFS Conservative Allocation Fund-I (MACIX)	A	Dividend	J	T					
10.	PIMCO Income I-2 (PONPX)	A	Dividend	J	T					
11.	T ROWE Price Capital Appreciation (PRWCX)	A	Dividend	N	T					
12.	Jackson National Life Insurance/New World		None	J	T					
13.	Jackson National Life Insurance/Mellon S&P 500 Index		None	L	T					
14.	Jackson National Life Insurance/T. ROWE Mid-Cap Growth		None	J	T					
15.	American Funds 2030 Target Date-A (AAETX)	A	Dividend	K	T					
16.	American Balanced Fund-A (ABALX)	A	Dividend	J	T					
17.	American Funds Capital Income Builder - A (CAIBX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. The Income Fund of America - A (AMECX)	A	Dividend	J	T					
19. American Balanced Fund - A (ABALX)	A	Dividend	J	T					
20. Capital Income Builder - A (CAIBX)	A	Dividend	J	T					
21. The Income Fund of America - A (AMECX)	A	Dividend	J	T					
22. College Invest Interest Accumulation		None	M	T					
23. College Invest Interest Accumulation		None	M	T					
24. Wells Fargo Bank, N.A.	A	Interest	O	T					
25. Rental Property, Denver, Colorado	D	Rent	M	W					
26. Wilmer Cuter Pickering Hale and Dorr LLP Savings and Retirement Plan	A	Distribution	L	T					
27. Linde PLC Com Eur0.001 (LIN)		None	J	T					
28. AirBNB Inc Com CL A (ABNB)		None	J	T					
29. Amazon.Com Inc (AMZN)		None	J	T					
30. Apple Inc (AAPL)		None	J	T					
31. Costco Wholesale Corp Com USD0.01 (COST)		None	J	T					
32. Crowdstrike Hldgs Inc CL A (CRWD)		None	J	T					
33. Dexcom Inc (DXCM)		None	J	T					
34. Docusign Inc Com (DOCU)		None	J	T					

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35. Hubspot Inc (HUBS)		None	J	T					
36. IDEXX Laborabories Inc Com USD0.10 (IDXX)		None	J	T					
37. MasterCard Incorporated CL A (MA)		None	J	T					
38. Mercadolibre Inc Com USD0.001 (MELI)		None	J	T					
39. Microsoft Corp (MSFT)		None	J	T					
40. Netflix Inc (NFLX)		None	J	T					
41. Nike Inc Class B Com NPV (NKE)		None	J	T					
42. Roku Inc Com CL A (ROKU)		None	J	T					
43. Shopify Inc Com NPV CL A (SHOP)		None	J	T					
44. Teledoc Health Inc Com (TDOC)		None	J	T					
45. The Trade Desk Inc Com CL A (TTD)		None	J	T					
46. 3M Co (MMM)		None	J	T					
47. Trex Company Inc (TREX)		None	J	T					
48. Upstart Hldgs Inc Com (UPST)		None	J	T					
49. Walmart Inc Com (WMT)		None	J	T					
50. Strategic Realty Trust, Inc.		None	J	T					
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Rodriguez, Regina M.	8/9/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Regina M. Rodriguez

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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