AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rodriguez, Regina M.	U.S. District Court, District of Colorado	08/21/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article II Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	

7. Chambers or Office Address

United States District Court, District of Colorado
Alfred A. Arraj Courthouse
901 9th Street, Chambers A-941
Denver, Colorado 80294

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rodriguez, Regina M.	08/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	American Funds Smallcap World Fund A (SMCWX)	A	Dividend	J	T					
2.	American Funds Capital Income Builder-F2 (CAIFX)	A	Dividend	J	T					
3.	Brokerage #1 (H)									
4.	- Large-Cap Growth - I - CL (TRLGX)	D	Dividend	N	T					
5.	- Morgan Stanley Insight Fund Class (CPODX)		None	M	T	Sold (part)	07/05/23	K		
6.	- American Funds New Perspective Fund-F2 (ANWFX)	C	Dividend	L	T					
7.	- PIMCO Rae Plus Inst (PXTIX)	D	Dividend	N	T					
8.	- Small-Cap World Fund Class F-2 (SMCFX)	A	Dividend	L	T					
9.	- Capital Income Builder - A (CAIBX) (See Note 1)	C	Distribution	L	T					
10.	Jackson National Life Insurance/Mellon S&P 500 Index		None	P1	T	Sold (part)	03/29/23	J		
11.						Sold (part)	06/29/23	J		
12.						Sold (part)	09/29/23	J		
13.						Sold (part)	12/29/23	J		
14.	Brokerage #2 (H)									
15.	- MFS Conservative Allocation Fund-I (MACIX)	A	Dividend	J	T					
16.	- PIMCO Income I-2 (PONPX)	A	Dividend			Sold	10/03/23	J		
17.	- T ROWE Price Capital Appreciation (PRWCX)	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Rodriguez, Regina M.	08/21/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Brokerage #3 (H)									
19. - Jackson National Life Insurance/New World		None	J	T					
20. - Jackson National Life Insurance/Mellon S&P 500 Index		None	L	T					
21. - Jackson National Life Insurance/T. ROWE Mid-Cap Growth		None	J	T					
22. American Funds 2030 Target Date-A (AAETX)	A	Dividend	K	T					
23. American Balanced Fund-A (ABALX)	A	Dividend	J	T					
24. The Income Fund of America - A (AMECX)	A	Dividend	J	T					
25. American Balanced Fund - A (ABALX)	A	Dividend	J	T					
26. The Income Fund of America - A (AMECX)	A	Dividend	J	T					
27. College Invest Interest Accumulation		None	L	T	Sold (part)	03/22/23	J	D	
28.					Sold (part)	08/07/23	K	E	
29.					Sold (part)	09/12/23	J	C	
30.					Sold (part)	11/03/23	J	C	
31. College Invest Interest Accumulation		None	L	T	Sold (part)	09/05/23	K	D	
32. Wells Fargo Bank Cash Account F/K/A Wells Fargo Bank, N.A.	D	Interest	M	T					
33. Brokerage #4 (H)									
34. - Linde PLC Com Eur0.001 (LIN)	A	Dividend	J	T					

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F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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Name of Person Reporting	Date of Report
Rodriguez, Regina M.	08/21/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. - AirBNB Inc Com CL A (ABNB)		None	J	T					
36. - Amazon.Com Inc (AMZN)		None	J	T					
37. - Apple Inc (AAPL)	A	Dividend	K	T					
38. - Costco Wholesale Corp Com USD0.01 (COST)	A	Dividend	J	T					
39. - Crowdstrike Hldgs Inc CL A (CRWD)		None	J	T					
40. - Dexcom Inc (DXCM)		None	J	T					
41. - Docusign Inc Com (DOCU)		None	J	T					
42. - Hubspot Inc (HUBS)		None	J	T					
43. - IDEXX Laborabories Inc Com USD0.10 (IDXX)		None	J	T					
44. - Intuitive Surgical Inc. (ISRG)		None	J	T					
45. - MasterCard Incorporated CL A (MA)	A	Dividend	J	T					
46. - Mercadolibre Inc Com USD0.001 (MELI)		None	J	T					
47. - Microsoft Corp (MSFT)	A	Dividend	J	T					
48. - Netflix Inc (NFLX)		None	J	T					
49. - Nike Inc Class B Com NPV (NKE)	A	Dividend	J	T					
50. - Roku Inc Com CL A (ROKU)		None	J	T					
51. - Shopify Inc Com NPV CL A (SHOP)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52. - Teledoc Health Inc Com (TDOC)		None	J	T					
53. - The Trade Desk Inc Com CL A (TTD)		None	J	T					
54. - 3M Co (MMM)	A	Dividend	J	T					
55. - Trex Company Inc (TREX)		None	J	T					
56. - Upstart Hldgs Inc Com (UPST)		None	J	T					
57. - Walmart Inc Com (WMT)	A	Dividend	K	T					
58. - Fidelity Government Money Market (SPAXX) (See Note 2)	B	Interest	K	T					
59. - Vanguard Index Funds S&P 500 ETF (VOO) (See Note 3)	A	Dividend	L	T					
60. StoneCastle Federally Insured Cash Account	E	Interest	P1	T	Open	04/21/23	O		
61. Waveland Drilling Partners 2011-B LP (See Note 4)	A	Distribution	J	U					
62. Discover Bank	C	Interest	N	T					
63. Brokerage #5 (H)									
64. - Vanguard Value ETF (VTV) (See Note 5)	A	Dividend	J	T					
65. - Vanguard Total Stock Market ETF (VTI) (See Note 6)	A	Dividend	J	T					
66. - Vanguard Mid-Cap Value ETF (VOE) (See Note 7)	A	Dividend	J	T					
67. - Vanguard Small-Cap Value ETF (VBR) (See Note 8)	A	Dividend	J	T					
68. - Vanguard FTSE Developed Markets (VEA) (See Note 9)	A	Dividend	J	T					

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Name of Person Reporting	Date of Report
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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69. - Vanguard FTSE Emerging Markets (VWO) (See Note 10)	A	Dividend	J	T					
70.									
71.									
72.									
73.									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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Name of Person Reporting	Date of Report
Rodriguez, Regina M.	08/21/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Part VII, Line 9 - American Funds Capital Income Builder - A was reported on line 22 of my 2022 financial disclosure report.

2) Part VII, Line 58 - Fidelity Government Money Market (SPAXX) was not reported on my previous financial disclosure reports. This was an inadvertent error and did not raise any conflict of interest issues.

3) Part VII, Line 59 - Vanguard Index Funds S&P 500 ETF (VOO) was not reported on my previous financial disclosure reports. This was an inadvertent error and did not raise any conflict of interest issues.

4) Part VII, Line 61 - Waveland Drilling Partners 2011-B LP was not reported on my previous financial disclosure reports. This was an inadvertent error and did not raise any conflict of interest issues.

5) Part VII, Line 64 - Vanguard Value ETF (VTV) was not reported on my previous financial disclosure reports. This was an inadvertent error and did not raise any conflict of interest issues.

6) Part VII, Line 65 - Vanguard Total Stock Market ETF (VTI) was not reported on my previous financial disclosure reports. This was an inadvertent error and did not raise any conflict of interest issues.

7) Part VII, Line 66 - Vanguard Mid-Cap Value ETF (VOE) was not reported on my previous financial disclosure reports. This was an inadvertent error and did not raise any conflict of interest issues.

8) Part VII, Line 67 - Vanguard Small-Cap Value ETF (VBR) was not reported on my previous financial disclosure reports. This was an inadvertent error and did not raise any conflict of interest issues.

9) Part VII, Line 68 - Vanguard FTSE Developed Markets (VEA) was not reported on my previous financial disclosure reports. This was an inadvertent error and did not raise any conflict of interest issues.

10) Part VII, Line 69 - Vanguard FTSE Emerging Markets (VWO) was not reported on my previous financial disclosure reports. This was an inadvertent error and did not raise any conflict of interest issues.

This report was prepared on my behalf by my accountant.

Name of Person Reporting	Date of Report
Rodriguez, Regina M.	08/21/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Regina M. Rodriguez

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544