AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
ROSENTHAL, LEE H.	U.S.D.C.-S.D.TX	11/06/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S.DISTRICT JUDGE-ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
515 RUSK ROOM 11535 HOUSTON, TEXAS 77002		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	MEMBER, EXECUTIVE COMMITTEE, COUNCIL, VICE PRESIDENT	AMERICAN LAW INSTITUTE
2.	MEMBER, BOARD OF TRUSTEES AND EXECUTIVE COMMITTEE	BAYLOR COLLEGE OF MEDICINE
3.	HONORARY BOARD MEMBER	DUKE LAW/BOLCH JUDICIAL INSTITUTE ADVISORY BOARD
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	NATIONAL BOARD OF BAR EXAMINERS, WRITING	$9,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	THE STERLING GROUP LP AND RELATED ENTITIES: PARTNER DISTRIBUTION
2.	2023	CACTUS WELLHEAD, LLC: DIRECTOR'S FEES AND OPTIONS
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Law Institute (ALI)	January 17,2023 – January 21, 2023	Philiadelphia, PA	ALI January Council Meeting	Transportation, meals, hotel
2.	Nebraska Law School	March 6, 2023-March 8, 2023	Lincoln, Nebraska	Cline Williams Jurist-in-residence	Transportation, meals, hotel
3.	National Conference of Bar Examiners (NCBE)	March 31, 2023-April 2, 2023	Atlanta, GA	NCBE Civil Procedure Drafting Committee Meeting	Transportation, meals, hotel
4.	GW Law Bench Bar	April 26,2023-April 28, 2023	Washington,D.C.	GW Law Bench Bar Conference	Transportation, meals, hotel

5.	Bolch Judicial Inst-Duke Univ School of Law	May 24, 2023-May 26,2023	Durham, NC	Bolich Judicial Inst-Duke Law Advanced MDL and McGovern Symposium	Transportation, meals, hotel
6.	Bolch Judicial Inst-Duke Univ School of Law	June 15, 2023-June 16,2023	Durhan,NC	Duke Law Judges' seminal	Transportation, meals, hotel
7.	National Conference of Bar Examiners (NCBE)	September 20, 2023-September 23,2023	Charlotte, NC	NCBE Civil Procedure drafting Committee Meeting	Transportation, meals, hotel
8.	American Law Institute (ALI)	October 18, 2023-October 20,2023	New York, NY	ALI October Council Meeting	Transportation, meals, hotel
9.	American Law Institute (ALI)	October 25, 2023-October 27,2023	Philiadelphia, PA	ALI restatement fo the Law Third, Conflict of Laws Meeting	Transportation, meals, hotel
10.					
11.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
ROSENTHAL, LEE H.	11/06/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Arlington TX Spl Tax Rev Sr Lien-SR,5.0%, 2/15/48	E	Interest	P1	T	Buy	10/20/23	P1		
2.	Black Belt Energy Gas Dist Algas prepay rev 10/1/49	D	Interest	P1	T	Buy	2/08/23	P1		
3.	Calhoun Cnty TX Undep Sch Dist Ref, 5%, 2/15/24	D	Interest	P1	T					
4.	Central Plains Energy Proj Ne Gas, 12/1/49	E	Interest	P1	T					
5.	Crane TX Indep sch Dist, 5.0%,2/15/2025	D	Interest	P1	T	Buy	08/29/23	P1		
6.	Crane TX indep Sch Dist, 5.0% 2/15/26	D	Interest	P1	T	Buy	08/29/23	P1		
7.	Dallas TX ReSer A 5.0%,2/15/25	E	Interest	P1	T	Buy	11/01/23	P1		
8.	Dallas Cnty Tx Cmnty CLG Dist,5.0%,2/15/37	E	Interest	P1	T	Buy	08/14/23	P1		
9.	Godley TX Indep Sch Dist,5.0% 2/15/54	E	Interest	P1	T					
10.	Grand Pkwy Transprtn Corp TX sys,5.5%, 4/1/53	D	Interest			Sold	10/02/23	P1		
11.	Grapevine-Colleyville ISD 0.00% due 8/15/23 (3)	D	Interest			Sold	08/15/23	P1		
12.	Harris Cnty TX Flood Ctrl Dist Ser A, 5%, 10/1/23	E	Interest			Sold	10/02/23	P1		
13.	Harris Cnty Ref-Road-Ser A, 5%, 10/1/29	D	Interest	P1	T					
14.	Klein TX Indep Sch Dist Ref-Psf-Ser 12/01/49	E	Interest	P1	T					
15.	Pasadena TX Indep Sch Dist, 5.0% due 2/15/43	E	Interest			Sold	02/15/23	P1		
16.	Pfluegerville TX Indep Sch dist Ser B Tax Var, 2/15/39	C	Interest			Sold	08/15/23	P1		
17.	Rockwall Tx Indep Sch Dist ref, 4.0%, 2/15/35	E	Interest	P1	T	Buy	11/29/23	P1		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	San Antonio TX Elec & Gas Rev, 5% due2/1/24	E	Interest	P1	T					
19.	Tarrant Cnty TX Clg Dist, 5%,8/15/23	E	Interest			Sold	08/15/23	P1		
20.	Tarrant Cnty TX Cultrual Edu Facs, 5%, 8/15/43	E	Interest			Sold	08/15/23	P1		
21.	TX ST Ref-Transprtn Commn-Mobility F,5% 10/1/23	E	Interest			Sold	10/02/23	P1		
22.	TX ST Ref-Transprtn Commn-Ser A,5% 10/1/30	E	Interest	P1	T					
23.	TX ST Ref-Transprtn Commn-Mobility ,5% 10/1/26	E	Interest	P1	T					
24.	TX St Transprtn Comm-Highway I, 5.0%,4/1/43	E	Interest	P1	T					
25.	JPM Chase - Checking	A	Interest	N	T					
26.	HSA Bank	A	Interest	J	T					
27.	Ally Bank	A	Interest	J	T					
28.	Altitude Ventures Texas, LP (1)		None	L	U	Buy (add'l)	05/17/23	K		
29.	American Express Bank, FSB	A	Interest	J	T					
30.	AIF V Private Investors LLC (1)		None	J	U					
31.	AIF VI Private Investors LLC (1)		None	M	U					
32.	AIF VII Private Investors LLC (1)		None	K	U					
33.	Aleph Aleph IV (1)		None	L	U	Buy (add'l)	12/31/23	K		
34.	APAX Europe VII Private Investors, LLC (1)		None	K	U					

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35. Barclays Bank	A	Interest	J	T					
36. Barvin: BG18 - Crockett Street LLC (1)		None	K	U					
37. Barvin: BG20 - North Lamar LLC (1)		None	J	U					
38. Barvin:BG20-North Lamarr LLC (Preferred EQ) (1)		None	M	U	Buy	07/26/23	M		
39. Barvin 22: Southline (1)		None	N	U					
40. Bepoken (9)		None	J	W					
41. Black Stone Minerals, LP common units	G	Distribution	P1	T					
42. Blue Crow Sports Group(1a)		None	P1	U					
43. Cactus, Inc.(7)	D	Dividend	N	T					
44. Cactus, Inc. Restricted Stock (7)		None	M	T	Buy	03/08/23	M		
45. Carlyle Asia Partners II (1)		None			Closed				
46. CAP IV Private Investors, LLC (1)		None	M	U	Buy (add'l)	01/13/23	J		
47.					Distributed (part)	03/15/23	J		
48.					Distributed (part)	04/18/23	J		
49.					Distributed (part)	06/21/23	K		
50.					Distributed (part)	06/27/23	J		
51.					Distributed (part)	09/08/23	J		

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52. CAP V Private Investors, LLC (1)		None	N	U	Buy (add'l)	01/04/23	J		
53.					Buy (add'l)	06/12/23	J		
54.					Buy (add'l)	07/27/23	K		
55.					Buy (add'l)	08/23/23	K		
56.					Buy (add'l)	11/01/23	K		
57. CIT Bank	A	Interest	J	T					
58. CD&R Fund IX, LP (1)		None	M	U	Distributed (part)	01/12/23	J		
59.					Buy (add'l)	04/12/23	J		
60.					Buy (add'l)	08/22/23	L		
61.					Sold (part)	09/25/23	L		
62.					Sold (part)	12/28/23	K		
63. CD&R Fund X Private Investors, LLC (1)		None	N	U	Sold (part)	01/27/23	J		
64.					Buy (add'l)	02/28/23	J		
65.					Distributed (part)	06/28/23	J		
66.					Buy (add'l)	07/25/23	J		
67.					Distributed (part)	12/11/23	K		
68.					Distributed (part)	12/29/23	L		

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69. CD&R Fund XI, LP (1)		None	O	U	Buy (add'l)	02/15/23	L		
70.					Buy (add'l)	10/11/23	L		
71. Coatue Early Stage Fund LP (1)		None	O	U					
72. CVEO-Common		None	O	T					
73. Cyber Innovation Partners II, LP (1)		None	N	U	Buy (add'l)	03/20/23	K		
74.					Buy (add'l)	07/13/23	J		
75.					Buy (add'l)	09/13/23	J		
76.					Buy (add'l)	12/19/23	J		
77. Discover Bank	A	Interest	J	T					
78. Elliott Associates, L.P. (1)		None	P2	U					
79. Elliott Co-Investment CIC A-1, LP (1)		None	M	U					
80. Elliott Co-Investment CIC A-2, LP (1)		None	L	U	Buy (add'l)	09/12/23	M		
81.					Sold (part)	12/26/23	K		
82. Elliott Co-Investment CIC A-3, LP (1)		None	K	U					
83. Elliott Co-Investment CIC A-4, LP (1)		None	M	U					
84. Elliott Co-Investment CIC A-5, LP (1)		None	M	U					
85. Elliott Co-Investment CIC A-6, LP (1)		None	M	U					

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86. Elliott Co-Investment CIC A-7, LP (1)		None	L	U					
87. Elliott CIC 2 Feeder, C-1 LP (1)		None	M	U					
88. Elliott CIC 2 Feeder, A-1, LP (1)		None	M	U					
89. Elliott CIC2 Feeder A-2, LP (1)		None	M	U	Buy (add'l)	09/12/23	M		
90.					Distributed (part)	12/26/23	K		
91. Elliott CIC2 Feeder A-3, LP (1)		None	M	U					
92. Elliott CIC2 Feeder A-4, LP (1)		None	M	U					
93. Elliott CIC2 Feeder A-5, LP (1)		None	M	U					
94. Encino Energy, LLC (1a)		None	M	U					
95. Family Alignment: FA YPS LLC (1)(9)		None	J	W					
96. Family Alignment: FA SPR LLC (1)(9)		None	K	W					
97. Family Alignment: FA ASL LLC (1)		None	L	U	Distributed (part)	02/02/23	J		
98.					Distributed (part)	12/18/23	J		
99. Family Alignment: FA Trilliant LLC (1)		None	J	U					
100. Family Alignment: FA Pipeline LLC (1)		None	K	U					
101. Family Alignment: FA Celtic LLC (1)(9)		None	L	W					
102. Family Alignment: FA Sanara LLC (1)		None	L	U					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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103. FDC Group McKinney, Ltd., Houston (1)		None	J	U					
104. FDC Group Crawford, Ltd., Houston (1)		None	L	U					
105. FDC Group Dunlavy, Ltd., Houston (1)(12)	D	Rent	J	U					
106. FDC Group Wilcrest, Ltd., Houston (1)		None	K	U	Distributed (part)	12/08/23	J		
107. FDC Group Yorktown, Ltd., Houston (1) (12)	D	Rent	L	U					
108. FDC Group Waugh, Ltd., Houston (1)	D	Rent	L	U					
109. Finger-Roxboro, Ltd., Atlanta (1)		None	K	U					
110. Finger-VOTL, Ltd., Harris County (1)		None			Distributed	10/22/23	J		
111. Gold Bullion		None	P1	T					
112. Goldman Sachs Bank	A	Interest	J	T					
113. iCapital-General Atlantic 2021 Access Fund (1a)		None	O	U	Buy (add'l)	03/22/23	L		
114.					Sold (part)	06/05/23	K		
115.					Buy (add'l)	07/20/23	M		
116.					Buy (add'l)	11/08/23	L		
117. Mt. Kellett (1)		None	J	U					
118. Mt. Kellett II (1)		None	J	U					
119. GHR Healthcare Holdings, LLC (1)		None	M	U					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

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120. Houston Football Holdings (1)		None			Closed	12/31/23	J		
121. HydroEx Acquistion, LLC (1)		None			Distributed	11/02/23	J		
122. JP Morgan Chase Investment Account - Cash	A	Interest	M	T					
123. KKR 2006 Private Investors, LLC (1)		None	J	U	Distributed (part)	01/13/23	K		
124.					Distributed (part)	03/31/23	J		
125. KKR Americas XII Private Investors (1)		None	N	U	Distributed (part)	01/13/23	K		
126.					Buy (add'l)	03/13/23	J		
127.					Distributed (part)	04/19/23	J		
128.					Buy (add'l)	05/23/23	J		
129.					Distributed (part)	07/12/23	K		
130.					Distributed (part)	10/19/23	L		
131. KMI-Common	F	Dividend	P1	T					
132. Mercury Fund Ventures IV, LP (1)	B	Interest	M	U	Buy (add'l)	03/17/23	K		
133. Mercury Fund Ventures V, LP (1)		None	M	U	Buy (add'l)	01/18/23	K		
134. Merrill Lynch (CMA)	A	Interest	P1	T					
135. Milton ZXP Holdings (1)		None	K	U					
136. New Enterprise Associates-NEA 18		None	M	U	Buy (add'l)	03/24/23	J		

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C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
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137.					Buy (add'l)	04/27/23	J		
138.					Buy (add'l)	08/30/23	J		
139.					Buy (add'l)	11/14/23	J		
140.					Buy (add'l)	11/30/23	J		
141. NITYA - TEAI LLC, Houston (1a)		None	J	U					
142. NITYA - Saah Investors, Houston (1a)		None			Distributed	12/31/23	J		
143. MGI Pearl Midtown, LLC, Houston (1)(12)	D	Rent	M	U					
144. MGI Pearl CityCenter, LLC, Houston (1) (12)	D	Rent	J	U					
145. MGI Pearl Midtown Residences, Ltd, Houston (1)(12)	D	Rent	J	U					
146. MGI 21Eleven Investors, LP, Houston (1) (12)	D	Rent	M	U					
147. Platform Partners, LLC (1)		None	P1	U	Distributed (part)	02/02/23	J		
148.					Buy (add'l)	03/17/23	K		
149.					Distributed (part)	04/28/23	K		
150. Roku-Common		None	M	T					
151. SCF-VII, L.P. (1)		None	M	U	Distributed (part)	05/10/23	K		
152.					Buy (add'l)	09/12/23	J		
153.					Sold (part)	12/29/23	J		

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154. SCF-VII(a), L.P. (1)		None	L	U					
155. SCF-VII(AIV), L.P. (1)(9)		None	M	W					
156. SCF-VIII, L.P (1)		None	M	U	Distributed (part)	01/03/23	J		
157.					Distributed (part)	01/06/23	J		
158.					Distributed (part)	02/15/23	J		
159.					Distributed (part)	07/31/23	J		
160.					Distributed (part)	09/06/23	J		
161.					Distributed (part)	11/21/23	J		
162.					Distributed (part)	12/21/23	J		
163. SCF-VIII(AIV), L.P. (1)(9)		None	M	W					
164. SCF-IX, LP (1)		None	O	U					
165. SLP VI Private Investors, LLC (1a)		None	N	U	Buy (add'l)	01/12/23	L		
166.					Buy (add'l)	03/07/23	J		
167.					Buy (add'l)	06/27/23	K		
168.					Buy (add'l)	09/26/23	J		
169.					Buy (add'l)	12/21/23	K		
170. SRE Properties, LP, Houston (1)(12)	C	Rent	J	U	Buy (add'l)	01/19/23	J		

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171.					Distributed (part)	07/18/23	J		
172. Surefire Social-Common		None	J	U					
173. Synchrony Bank	A	Interest	J	T					
174. Sterling Group, LP (1a)		None	N	U					
175. Sterling Group Management III, LP (1a)		None			Distributed	12/31/23	J		
176. Sterling Group Partners III GP, LP (1a)		None			Distributed	12/31/23	J		
177. Sterling Group Partners IV GP, LP (1a)		None	J	U					
178. Sterling Group Investments IV LLC (1a)		None	L	U					
179. Sterling Group Investor Partners IV, LP (1a)		None	P1	U					
180. Sterling Group Management IV, LP (1a)		None	N	U					
181. Sterling Group Credit Management, LP (1a)		None	N	U					
182. Sterling Group Credit GP, LP (1a)		None	L	U					
183. Sterling Group Credit Investor Partners, LP (1a)		None	L	U					
184. Sterling Group Management V, LP(1a)		None	N	U					
185. Sterling Group Credit Management II, LP (1a)		None	J	U					
186. Sterling Group Credit II GP, LP(1a)		None	K	U					
187. Sterling Group Credit Fund II (Executive Fund), LP(1a)		None	O	U					

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188. Sterling Group Partners V GP, LP(1a)		None	N	U					
189. Sterling Group Partners V (Executive Fund), LP(1a)		None	P1	U					
190. The Sterling Group Portfolio Companies (11) H									
191. -ADG		None	P1	U	Distributed (part)	09/08/23	J		
192.					Distributed (part)	10/23/23	J		
193. -Bad Boy Mowers		None	P1	U	Distributed (part)	10/24/23	L		
194.					Distributed (part)	11/14/23	O		
195.					Distributed (part)	12/18/23	M		
196. -B'laster Brands Investments		None	N	U	Buy	11/16/23	K		
197.					Distributed (part)	12/18/23	K		
198. -Compost 360 Investments (X)		None	M	U					
199. -Construction Supply Investments		None	M	U					
200. -Dragon Acquistion Parent Inc		None	J	U					
201.									
202. -Ergotron		None	O	U					
203. -Evergreen Recycling		None	M	U	Buy (add'l)	01/24/23	K		
204.					Buy (add'l)	01/25/23	J		

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205.					Buy (add'l)	10/23/23	J		
206. -Fencing Supply Group		None	O	U					
207. - Gulf Winds		None	N	U					
208. -Frontline Road Safety		None	O	U					
209. -Industrial Services		None	N	U					
210. -Jenny Craig		None	J	U					
211. -L&S Mechanical		None	N	U					
212. -Marcal Paper Group (X)		None	L	U					
213. -Northern Star		None	J	U					
214. -nThrive		None	L	U					
215. -Polychem		None	N	U					
216. -PowerGrid		None	O	U					
217. -PrimeFlight Investments		None	O	U	Buy	04/11/23	K		
218.					Buy (add'l)	09/25/23	K		
219. -Rohrer		None	L	U					
220. -Tangent		None	O	U					
221. - West Star Aviation		None	P1	U					

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222. -White Cap Supply					Merged (with line 199)				
223. The Sterling Group, L.P. 401(k): Fidelity S&P 500 (FXAIX)	D	Int./Div.	K	T					
224. JP Morgan Chase-Checking		None	K	T					
225. JP Morgan Chase-Checking-S		None	N	T					
226. Vanguard Municipal Money Market	E	Dividend	L	T					
227. Vanguard Tax-Managed Cap Appreciation-Mutual Fund	G	Dividend	P2	T					
228. Vanguard 500 Index-Mutual Fund	G	Dividend	P2	T					
229. Vanguard Federal M/M	D	Dividend	P2	T					
230. Vanguard Information Technology Index Admiral Fund	E	Dividend	P1	T					
231. Vanguard Dividend Appreciation ETF (10)	D	Dividend	O	T					
232. VetIQ Staffing LLC (9)		None	J	W					
233. WRK-Common	E	Dividend	P1	T					
234. Xapp AI (9)		None	J	W					
235. Xapp Media (9)		None	J	W					
236. Zinc Resources LLC (1)		None	M	U					
237. ZoChem (1)		None	J	U					
238. West Cam, L.P. (1)		None	J	U					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
ROSENTHAL, LEE H.	11/06/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
239. MP Geo, LLC (1)		None	J	U					
240. Midland Basin GEO, LLC (1)		None	J	U					
241. Gamma Geo (1a)		None	J	U	Distributed (part)	01/13/23	J		
242. Flex Waz (1a)		None	J	U	Distributed (part)	01/06/23	J		
243. Primary IRA H									
244. -Vanguard Admiral Fund Treasury M/M - Mutual Fund	A	Dividend	J	T					
245. -Vanguard Cash reserves M/M - Mutual Fund	A	Dividend	J	T					
246. - Vanguard 500 Index - Mutual Fund	E	Dividend	K	T					
247. -Vanguard Federal Money Market Fund	A	Dividend	J	T					
248. Secondary R IRA H									
249. -IShares Core High Dividend - ETF-HDV	E	Dividend	P1	T					
250. -Vanguard 500 Index Fund-	F	Dividend	P2	T					
251. -Vanguard S&P 500 Index ETF-VOO	F	Dividend	P2	T					
252. -Vanguard Energy Index (VENAX)	A	Dividend	O	T					
253. -Vanguard Federal Money Market Fund	A	Dividend	P1	T					
254. - ROKU-Common		None	J	T					
255. -WRK-Common	E	Dividend			Sold	11/27/23	O		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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ROSENTHAL, LEE H.	11/06/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
256. Primary R IRA H									
257. -Vanguard Federal Money Market Fund	A	Dividend	N	T					
258. -Vanguard 500 index Admiral (VFIAX)	A	Dividend	P1	T					
259. Primary IR H									
260. -Vanguard Federal Money Market	A	Dividend	J	T					
261. -Vanguard 500 Index Admiral (VFIAX)	B	Dividend	N	T					
262. Trust (R8) H									
263. -Merrill Lynch Reserves	A	Interest	K	T					
264. -Vanguard Federal Money Mkt	A	Interest	K	T					
265. -Vanguard Municpal Money Mkt (VMSXX)	A	Interest	M	T					
266. -Vanguard Tax Managed Capital Apprec (VTCLX)	C	Dividend	P1	T					
267. -Vanguard Treasury Money Mkt (VUSXX)	A	Dividend	J	T					
268. -IShares US Consumer Goods ETF (IYK)	E	Dividend	P1	T					
269.									
270.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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ROSENTHAL, LEE H.	11/06/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Private entities including private company investment partnerships. For private entities, valuation is based on net book value. For such entities, valuation (Column C(2)) is based on capital account as set forth on form K-1 and income and gain is included (to the extent distributed) in cash paid or received under Column D(3) and not in Column B and/or Column D(4). The Sterling Group entities' values in Column D(2) are listed as of year-end notwithstanding when distribution was made.

(a) In connection with Footnote (1) private company investment partnerships, in absence of receipt of K-1 by filer by filing date, no information is provided as to gross value in Column C.

(2) Purchase and sale price of regular interest paying bonds excludes accrued interest. Interest income on regular interest paying bonds excludes accrued interest bought or sold. Gain indicated on redeemed bonds represents only the redemption amount (and premium, if any) in excess of principal amount. Bond premium or discount at purchase is assumed to be fully amortized for purposes of calculating gain or loss on sale, redemption or maturity on regular interest paying bonds, and such amortization does not affect interest reported annually.

(3) No interest income is included in Interest Income Column B(1) on zero coupon bonds. Total to date accreted interest for zero coupon bonds is included in Value Column C(1). On maturity of zero coupon bonds, total accreted interest is included in Value Column D(3). On maturity, redemption, or sale of zero coupon bonds, Value Column D(3) is the maturity value, redemption price, or sales price and Gain Column D(4) reflects the difference between the maturity value, redemption price, or sales price and the original cost.

(4) All mutual fund reinvested dividends, interest and capital gains are not separately shown as new purchases, but are included in the end of period (ColumnC(2)) values. All unreinvested distributions from mutual funds (dividends, interest and capital gains) are included in Column B(1). Money market mutual funds set forth aggregate dividend/interest paid for year and end of year value only.

(5) Municipal and U.S. Treasury bond values reflected in Value Column C(2) are based on market value.

(6) No transaction value or gain or loss is reported on non-taxable exchanges of one security for another or spin-offs of securities or stock dividends. If publicly traded, the value as of the date of the transaction when such security is received is reflected in Column C(1) Gross Value. In instances noted in first sentence, any gain is reported only when the new security is sold.

(7) Restricted stock is not valued during restriction period. After restrictions lapse, restricted stock is reflected as owned shares. A "buy" transaction is reflected in Section (D) with the date and value of the vested stock. Includes the current year option which which is unvested at year end.

(8) Oil and Gas interests – value not known to filer.

(9) Private company – value not known to filer. Estimate is provided.

(10) Inadvertently omitted in prior year report.

(11) Amounts for each portfolio company are aggregated values for the direct ownership of each entity as well as indirectly through other Sterling Group entities and are shown in Column C(2). Column D(3) reflects cash paid or received for each Sterling Group Portfolio Company. Income and gain is included (to the extent distributed) in this value.

(12) Represents a limited partnership or LLC investment in a real estate project or projects. All income noted in Column B(1) is effectively net rental income.

Name of Person Reporting	Date of Report
ROSENTHAL, LEE H.	11/06/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ LEE H. ROSENTHAL

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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