AO-10T
Rev. 07/2022

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
LEE	H	Rosenthal	
*5. Title	*6. District/Court/Unit		*7. Circuit
US Distrtict Judge	TEXAS SOUTHERN		05
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2022	11/29/2022	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
10/04/2022		10/28/2022	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. TX ST Transportation Commission 5% muni bond	Buy	10/04/2022	11/01/2022	$500,001 - $1,000,000
2. Evergreen recycling	Buy (add'l)	10/06/2022	11/01/2022	$1,000 - $15,000
3. SCF IX	Buy (add'l)	10/06/2022	11/01/2022	$50,001 - $100,000
4. Great Northern Midstream	Buy (add'l)	10/13/2022	11/01/2022	$1,000 - $15,000
5. SCF VII	Sold (part)	10/18/2022	11/01/2022	$15,001 - $50,000
6. SCF IX	Sold (part)	10/18/2022	11/01/2022	$1,000 - $15,000
7. CAP V	Sold (part)	10/28/2022	11/01/2022	$1,000 - $15,000
8. Ergotron	Buy (add'l)	10/20/2022	11/01/2022	$50,001 - $100,000
9. Evergreen Recycling	Buy (add'l)	10/20/2022	11/01/2022	$1,000 - $15,000
10. CAP V	Sold (part)	10/28/2022	11/01/2022	$1,000 - $15,000
11. NEA 18	Buy (add'l)	10/28/2022	11/01/2022	$1,000 - $15,000
12.				
13.				
14.				
15.				

TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)*

First Name LEE	Middle Initial H	Last Name Rosenthal	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16.				-
17.				-
18.				-
19.				-
20.				-
21.				-
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23.				-
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name LEE	Middle Initial H	Last Name Rosenthal	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
41.					-
42.					-
43.					-
44.					-
45.					-
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name LEE	Middle Initial H	Last Name Rosenthal	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name LEE	Middle Initial H	Last name Rosenthal	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ LEE H Rosenthal

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544