AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lee	H	Rosenthal	
*5. Title	*6. District/Court/Unit		*7. Circuit
US District Judge	TEXAS SOUTHERN		05
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	03/13/2023	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
02/01/2023		02/28/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. FDC Group Yorktown	Sold (Part)	02/01/2023	03/01/2023	$15,001 - $50,000
2. FDC Group Dunlavy	Sold (Part)	02/01/2023	03/01/2023	$1,000 - $15,000
3. Platform Partners	Sold (Part)	02/02/2023	03/01/2023	$1,000 - $15,000
4. Family Alignment	Sold (Part)	02/02/2023	03/01/2023	$1,000 - $15,000
5. Icapital General Atlantic	Buy (add'l)	02/06/2023	03/01/2023	$50,001 - $100,000
6. FDC Group Crawford	Buy (add'l)	02/06/2023	03/01/2023	$1,000 - $15,000
7. FDC Group McKinney	Buy (add'l)	02/07/2023	03/01/2023	$1,000 - $15,000
8. Black Belt Energy gas Dist ALG 4% 10/1/2049	Buy	02/08/2023	03/01/2023	$500,001 - $1,000,000
9. SCF VIII	Sold (Part)	02/15/2023	03/01/2023	$1,000 - $15,000
10. CLayton, Dubilier & Rice Fund XI	Buy (add'l)	02/15/2023	03/01/2023	$50,001 - $100,000
11. SCF IX	Sold (Part)	02/17/2023	03/01/2023	$1,000 - $15,000
12. Pasadena TX Indep Sch Dist tax 5.0% 2/15/2043	Sold	02/21/2023	03/01/2023	$1,000,001 - $5,000,000
13. Coupa Business Services	Buy	02/24/2023	03/01/2023	$250,000 - $500,000
14. CLayton, Dubilier & Rice Fund X	Buy (add'l)	02/28/2023	03/01/2023	$1,000 - $15,000
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First Name Lee	Middle Initial H	Last Name Rosenthal	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lee	Middle Initial H	Last Name Rosenthal	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lee	Middle Initial H	Last name Rosenthal	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lee H Rosenthal

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544