AO-10T
Rev. 03/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. §§ 13101-13111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lee	H	Rosenthal	
*5. Title	*6. District/Court/Unit		*7. Circuit
US District Judge	TEXAS SOUTHERN		05
8. Report Type	9. Calendar Year	*10. Date Filed	☒ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	12/13/2023	12/13/2023
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
11/01/2023		11/30/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. CAP V Private Investors LLC	Buy (add'l)	11/01/2023	12/04/2023	$15,001 - $50,000
2. Dallas TX REF SER 5% dtd 2/15/25	Buy	11/01/2023	12/04/2023	$500,001 - $1,000,000
3. Hydro Ex	Sold	11/02/2023	12/04/2023	$1,000 - $15,000
4. General Atlantic	Buy (add'l)	11/08/2023	12/04/2023	$50,001 - $100,000
5. NEA 18, Private Investors LLC	Buy (add'l)	11/14/2023	12/04/2023	$1,000 - $15,000
6. Aleph Aleph IV Limited Partnership	Buy (add'l)	11/14/2023	12/04/2023	$15,001 - $50,000
7. Bad Boy Mowers	Sold (Part)	11/14/2023	12/04/2023	$1,000,001 - $5,000,000
8. B'Laster	Buy	11/16/2023	12/04/2023	$15,001 - $50,000
9. Evergreen Recycling	Buy (add'l)	11/16/2023	12/04/2023	$15,001 - $50,000
10. FDC Group Dunlavy, Ltd	Sold (Part)	11/17/2023	12/04/2023	$1,000 - $15,000
11. FDC Group Yorktown, Ltd	Sold (Part)	11/17/2023	12/04/2023	$1,000 - $15,000
12. FDC Group Waugh, ltd	Sold (Part)	11/17/2023	12/04/2023	$1,000 - $15,000
13. SCF VIII L.P	Sold (Part)	11/21/2023	12/04/2023	$1,000 - $15,000
14. CAP IV L.P.	Buy (add'l)	11/27/2023	12/04/2023	$1,000 - $15,000
15. Rockwall TX indep Sch dist 4% 2/15/35	Buy	11/29/2023	12/04/2023	$500,001 - $1,000,000

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First Name	Middle Initial	Last Name	Suffix
Lee	H	Rosenthal	

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. NEA 18	Buy (add'l)	11/30/2023	12/04/2023	$1,000 - $15,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lee	Middle Initial H	Last Name Rosenthal	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lee	Middle Initial H	Last Name Rosenthal	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lee	Middle Initial H	Last name Rosenthal	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. § 13106)

**SIGNATURE:*

s/ Lee H Rosenthal

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544