AO-10T
Rev. 01/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Lee	H	Rosenthal	
*5. Title	*6. District/Court/Unit		*7. Circuit
US District Judge	TEXAS SOUTHERN		05
8. Report Type	9. Calendar Year	*10. Date Filed	11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	04/20/2023	☒ 04/20/2023
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
03/02/2023		03/31/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Family Alignment: FA ASL. LLC	Sold (Part)	03/02/2023	03/31/2023	$1,000 - $15,000
2. SLP VI Private Investors LLc	Buy (add'l)	03/07/2023	03/31/2023	$1,000 - $15,000
3. FDC Group Dunlavy, Ltd	Sold (Part)	03/10/2023	03/31/2023	$1,000 - $15,000
4. FDC Group Yorktown, Ltd	Sold (Part)	03/10/2023	03/31/2023	$1,000 - $15,000
5. FDC Group Waugh, Ltd	Sold (Part)	03/10/2023	03/31/2023	$1,000 - $15,000
6. KKR Americas XII Private Investors	Sold (Part)	03/13/2023	03/31/2023	$1,000 - $15,000
7. Carlyle Asia Partners IV	Sold (Part)	03/15/2023	03/31/2023	$1,000 - $15,000
8. Platform Partners, LLC	Buy (add'l)	03/17/2023	03/31/2023	$15,001 - $50,000
9. Mercury Fund Ventures IV, LP	Buy (add'l)	03/17/2023	03/31/2023	$1,000 - $15,000
10. Cyber Innovation Partners II	Buy (add'l)	03/20/2023	03/31/2023	$15,001 - $50,000
11. Zo Chem	Sold (Part)	03/21/2023	03/31/2023	$50,001 - $100,000
12. General Atlantic 2021 Access Fund	Buy (add'l)	03/22/2023	03/31/2023	$50,001 - $100,000
13. New Enterprise Associates- NEA 18	Buy (add'l)	03/24/2023	03/31/2023	$1,000 - $15,000
14. KKR 2006 Private Investors,LLC	Sold (Part)	03/31/2023	03/31/2023	$1,000 - $15,000
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First Name Lee	Middle Initial H	Last Name Rosenthal	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Lee	Middle Initial H	Last Name Rosenthal	Suffix

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lee	Middle Initial H	Last Name Rosenthal	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Lee	Middle Initial H	Last name Rosenthal	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**SIGNATURE:*

s/ Lee H Rosenthal

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544