AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Roth, Jane R.	Third Circuit Court of Appeals	05/30/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/1/2022 **to** 12/31/2022
7. Chambers or Office Address		
18316 U.S. Courthouse 601 Market Street Philadelphia, PA 19106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Vice President	Friends of the Palisades Library
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	M & T Bank	Loan	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	M & T Bank Checking Acct	A	Interest	J	T					
2.	Wells Fargo Bank Checking	A	Interest	J	T					
3.	Wells Fargo Bank Savings	A	Interest	J	T					
4.	TRUST WITH WILM. TRUST (H)									
5.	Exxon Common Stock	A	Dividend	J	T	Sold (part)	06/07/22	J	D	
6.	Johnson & Johnson Common	B	Dividend	K	T					
7.	Minnesota M&M Common (MMM)	B	Dividend	L	T					
8.	Air Prod & Chem Common	B	Dividend	L	T					
9.	-Ishares - Utilities	B	Dividend	K	T					
10.	-Ishares - MSCI EAFE	D	Dividend	M	T					
11.	-Ishares - Russell 2000	A	Dividend	L	T					
12.	-Ishares - S&P 500 Growth	B	Dividend	O	T					
13.	-Ishares - S&P 500 Value	D	Dividend	N	T					
14.	-Ishares - Small Cap 600	A	Dividend	L	T					
15.	Vanguard MSCI EAFE	B	Dividend	M	T					
16.	Vanguard MSCI Emerging Markets	D	Dividend	M	T					
17.	Fed. Muni. Ultrashort	A	Dividend	M	T	Sold (part)	04/18/22	K	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Sold (part)	04/21/22	K	A	
19.					Sold (part)	05/13/22	K	A	
20.					Sold (part)	05/20/22	K	A	
21. Wilm. Prime Money Market	A	Interest	L	T					
22. Wilm Large-CapFund	D	Dividend	O	T					
23. Wilm Trust IRA (H)									
24. AB Concentrated Gr Fd CL Adv	A	Dividend	K	T	Buy (add'l)	09/26/22	J		
25. AMG River Rd Sm-Cap Value FD CL I		None	J	T	Sold (part)	09/26/22	J	A	
26. Angel Oak Ultrashrt Bnd-Insr	A	Dividend	K	T	Sold (part)	05/19/22	J	A	
27. Artisan Small Cap Fund CL Inst		None			Sold	08/17/22	K	A	
28. Baillie Gifford Emerg Mkts CL K	A	Dividend	J	T	Sold (part)	09/26/22	K	A	
29. Blackrocck Event Driven Eq Fd CL I	A	Dividend	J	T	Sold (part)	09/26/22	J	A	
30. Columbia Overseas Value-13	A	Dividend	K	T	Sold (part)	09/26/22	J	A	
31. Crossingbridge LW Dur H/Y-INS	B	Dividend	K	T	Sold (part)	05/19/22	J	A	
32. Eaton Vance Gov't Income Fd CL 1	A	Dividend	K	T	Sold (part)	02/22/22	J	A	
33.					Sold (part)	03/09/22	J	A	
34.					Sold (part)	03/11/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. GMO Opprtunistic Income-1	A	Dividend	K	T	Buy (add'l)	03/25/22	J		
36.					Sold (part)	05/19/22	J	A	
37. Harbor Llg-Cap Value Fd CL Ret	A	Dividend	K	T	Sold (part)	05/13/22	J	A	
38.					Sold (part)	09/26/22	J	A	
39. Ishares Core MSCI Emerging	A	Dividend	K	T	Sold (part)	05/13/22	J	A	
40.					Buy (add'l)	09/26/22	J		
41. Ishares Core MSCI EAFE ETF	A	Dividend	J	T	Sold (part)	09/26/22	K	A	
42. Ishares MSCI EAFE Value ETF	A	Dividend	J	T	Sold (part)	09/26/22	J	A	
43. Ishares MSCI USA Quality Factor ETF	A	Dividend	J	T	Sold (part)	05/13/22	J	A	
44. Ishares MSCI USA Value Factor	A	Dividend	J	T	Sold (part)	09/26/22	J	A	
45. Ishares Russell 1000 Growth	A	Dividend	K	T	Buy	09/26/22	K		
46. Ishares Russell 1000 Value	A	Dividend	K	T	Buy	09/26/22	K		
47. Ishares S&P Sm-Cap 600 Growth	A	Dividend	J	T	Buy	09/26/22	J		
48. Ishares S&P Small-Cap 600 Value ETF	A	Dividend			Sold	09/26/22	K	A	
49. Ishares 3-7 year Treasury Bond	A	Dividend	K	T	Buy	04/19/22	J		
50.					Buy (add'l)	04/21/22	J		
51.					Sold (part)	05/19/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
52.					Buy (add'l)	07/08/22	J		
53.					Buy (add'l)	09/01/22	J		
54.					Buy (add'l)	09/23/22	J		
55. T Rowe Price Lg-Cap GR FD CL 1	A	Dividend	K	T					
56. Virtus Kar Small Cap Growth		None	J	T	Buy	09/26/22	J		
57. WCM Focused Intl Growth Fund		None	K	T	Sold (part)	09/26/22	J	B	
58. Vanguard Short Term ITreas Index	C	Dividend	K	T	Buy	02/22/22	J		
59.					Buy (add'l)	03/09/22	J		
60.					Buy (add'l)	03/25/22	J		
61.					Sold (part)	05/19/22	J	A	
62. River Canyon Tot Ret	A	Dividend			Buy	02/23/22	K		
63.					Sold	03/25/22	K	A	
64. Riverpark S/T	A	Dividend	K	T	Sold (part)	04/01/22	J	A	
65.					Sold (part)	04/19/22	J	A	
66.					Sold (part)	04/21/22	K	A	
67.					Sold (part)	05/19/22	J	A	
68.					Sold (part)	07/08/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69.					Sold (part)	09/01/22	J	A	
70.					Sold (part)	09/23/22	J	A	
71. Wilm Large Cap Strategy Fund	A	Dividend	L	T	Buy	09/26/22	K		
72. Wilmington Real Asset Fund	B	Dividend	J	T	Buy	09/26/22	J		
73. BBH Limited Duration Fund	B	Dividend	J	T	Sold (part)	02/17/22	K	A	
74.					Sold (part)	02/23/22	J	A	
75.					Sold (part)	02/28/22	J	A	
76.					Sold (part)	03/10/22	J	A	
77.					Sold (part)	04/01/22	J	A	
78.					Sold (part)	04/19/22	J	A	
79.					Sold (part)	04/21/22	J	A	
80.					Sold (part)	05/19/22	J	A	
81.					Sold (part)	07/09/22	J	A	
82.					Sold (part)	09/13/22	J	A	
83.					Sold (part)	09/23/22	J	A	
84. Catalyst Enhanced Inc.Strat Fd	B	Dividend	K	T	Buy	03/25/22	J		
85.					Sold (part)	05/19/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
86. Easterly Inc Opportunities	B	Dividend	K	T	Buy	02/22/22	J		
87.					Sold (part)	05/19/22	J	A	
88. Guggenheim Duration	B	Dividend	M	T	Sold (part)	02/17/22	J	A	
89.					Sold (part)	02/23/22	J	A	
90.					Sold (part)	02/28/22	J	A	
91.					Sold (part)	03/10/22	J	A	
92.					Sold (part)	03/25/22	J	A	
93.					Sold (part)	04/02/22	J	A	
94.					Sold (part)	04/21/22	J	A	
95.					Sold (part)	05/19/22	J	A	
96.					Sold (part)	07/08/22	J	A	
97.					Sold (part)	09/01/22	J	A	
98.					Sold (part)	09/13/22	J	A	
99.					Sold (part)	09/23/22	J	A	
100. JPMorgan Mtg-backed Sec	A	Dividend	K	T	Buy	07/01/22	J		
101.					Buy (add'l)	04/21/22	J		
102.					Sold (part)	05/19/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103.					Buy (add'l)	09/01/22	J		
104.					Buy (add'l)	09/23/22	J		
105. Met West Flex Inc-1	B	Dividend	J	T	Buy	03/10/22	J		
106.					Sold (part)	05/19/22	J	A	
107. Nuveen Credit Strat Income	A	Dividend	J	T	Buy	02/28/22	J		
108.					Buy (add'l)	03/01/22	J		
109.					Buy (add'l)	03/10/22	J		
110. Nuveen Taxable Municiple Inxome	A	Dividend			Buy	02/23/22	J		
111.					Buy (add'l)	02/24/22	J		
112.					Buy (add'l)	02/25/22	J		
113.					Sold	04/05/22	J	A	
114. Rational Spec Sit Inc-Inst	B	Dividend	K	T	Buy	02/28/22	K		
115.					Buy (add'l)	03/25/22	J		
116.					Sold (part)	05/19/22	J	A	
117. ReganTotal Return	A	Dividend	K	T	Buy	09/13/22	J		
118. Vanguard Short Term Treasury	A	Dividend	K	T	Buy (add'l)	03/09/22	J		
119.					Buy (add'l)	03/10/22	J		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120.					Buy (add'l)	04/01/22	J		
121. SPDR Gold Shares		None	K	T	Sold (part)	02/18/22	K	A	
122. Wilmington Global Alpha Equities	A	Dividend	J	T	Buy	09/26/22	J		
123. Wilmington International Fund-I	A	Dividend	K	T	Sold (part)	09/26/22	J	A	
124. Wilmington U.S. Govt Fund W Class	B	Dividend	K	T					
125. WTC Roth IRA (H)									
126. AB Concentrated Gr Fd CL Adv	A	Dividend	K	T					
127. Columbia Overseas Value-13	A	Dividend	K	T	Buy (add'l)	05/25/22	J		
128.					Buy (add'l)	06/24/22	J		
129. Ishares Core Msci Emerging	A	Dividend	J	T	Sold (part)	01/31/22	J	A	
130. Ishares CORE Msci EAFE	A	Dividend	J	T	Buy	01/31/22	J		
131.					Buy (add'l)	06/24/22	J		
132.					Sold (part)	09/26/22	J	A	
133. Ishare MSCI USA Quality Factor ETF	A	Dividend	J	T	Sold (part)	01/31/22	J	A	
134.					Sold (part)	03/25/22	J	A	
135. Ishares MSCI USA Value Factor	A	Dividend	J	T	Sold (part)	07/27/22	J	A	
136. Ishares MSCI EAFE Value ETF	A	Dividend	J	T	Sold (part)	03/04/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137.					Buy (add'l)	05/25/22	J		
138.					Buy (add'l)	06/24/22	J		
139. Ishares Russell 1000 Value ETF	A	Dividend	K	T	Buy	03/25/22	K		
140.					Buy (add'l)	09/26/22	J		
141. Ishewa Russell 1000 Growth ETF	A	Dividend	J	T	Buy	07/29/22	J		
142.					Buy (add'l)	09/26/22	J		
143. T Rowe Price Lrg Cap Growth		None	K	T	Buy (add'l)	06/24/22	J		
144. AMG River Rd Small Cap		None	K	T	Sold (part)	03/25/22	J	A	
145.					Sold (part)	06/24/22	J	A	
146. Artisan Small Cap		None			Sold (part)	03/25/22	J	A	
147.					Sold	05/25/22	K	C	
148. Ishares S&P Small Cap Value	A	Dividend	K	T	Sold (part)	01/31/22	J	A	
149.					Sold (part)	03/25/22	J	A	
150.					Buy (add'l)	07/29/22	K		
151.					Sold (part)	07/29/22	K	B	
152. Harbor Large Cap Value - RET	A	Dividend	K	T	Sold (part)	01/31/22	J	A	
153.					Sold (part)	06/24/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154. Baillie Gifford Emerg MKTS CLK		None	K	T	Buy (add'l)	01/31/22	J		
155. Virtus Kar Small Cap Growth		None	K	T	Buy	05/25/22	K		
156.					Sold (part)	06/24/22	J	A	
157. WCM Focused Intl Growth Fund		None	J	T	Sold (part)	03/04/22	J	A	
158.					Buy (add'l)	05/25/22	J		
159. Wilm Internat'l Fund	A	Dividend	K	T	Sold (part)	05/25/22	J	A	
160.					Buy (add'l)	06/24/22	J		
161. Wilm Large-Cap Strategy Fund	A	Dividend	L	T	Sold (part)	01/31/22	J	C	
162.					Sold (part)	07/29/22	J	C	
163. Wilmington Real Asset Fund-I	A	Dividend	J	T	Sold (part)	06/24/22	J	A	
164. Wilm Prime MM Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII,,line 60, SPDR Gold Shares, noted in 2021 Repor as sold in Feb. 2021, was only sold in part.

Name of Person Reporting	Date of Report
Roth, Jane R.	05/30/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jane R. Roth

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544