AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rudofsky, Lee P.	U.S. District Court for the Eastern District of Arkansas	2/29/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
500 West Captiol Avenue Suite D157 Little Rock, Arkansas 72201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Judicial Education Institute
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2022	Self-employed photographer
2.	Aug-Dec	RheumaGen, Inc. (Independent Contractor)
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Judicial Education Institute	2/20/2022-2/24/2022	White Sulphur Springs. West Virginia	Judicial Education Seminar	Travel, hotel, meals
2.	U.S. Courts	7/11/2022-7/15/2022	Rapid City, South Dakota	8th Circuit Judicial Conference	Travel, hotel, meals
3.	Federalist Society	10/18/2022-10/19/2022	New York, NY	Speech to Law Students - Career Advice	Travel, hotel, meals
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Glenn Larrkin, Mollie Williams, Nick Bell	Judicial Robe (Investiture Gift)	$600.00
2.	Investiture Committee, Carlton Saffa	Breakfast and Brunch Receptions at the Courthouse attendant to Investiture	$7,446.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Express	[redacted] Credit Card	J
2.	Bank of America	[redacted] Credit Card	K
3.	Bank of America	Credit Card	K
4.	Chase	[redacted] Credit Card	K
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Arvest Bank Cash Accounts	A	Interest	K	T					
2. Bank of America Cash Accounts	A	Interest	J	T					
3. Signature Bank Cash Accounts	A	Interest	K	T					
4. Merrill Edge IRA (Cash)	A	Interest	J	T					
5. Merrill Lynch 401(K) #1 (H)									
6. - My Reitrement 2045 Fund		None			Distributed	03/09/22	L		
7. Merrill Lynch 401(K) #2 (H)									
8. -My Reitrement 2050 Fund		None			Distributed	03/04/22	L		
9. Roth IRA (H)									
10. -Invesco Global Opportunities Fund - A (OPGIX)	A	Dividend	J	T					
11. Citibank IRA (Cash)	A	Interest	J	T					
12. Israel Bonds, 5 yr Mazel Tov Bonds & 4% 7th Development Corp Series490	A	Interest	J	T	Matured (part)	03/01/22	J		
13. Merrill Lynch IRA	A	Interest	J	T	Open	03/04/22	L		
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part V, the Investiture Committee was responsible for arranging the breakfast and brunch receptions at the Courthouse, which occurred immediately before and after my investiture ceremony. I understand from the Chair of the Investiture Committee that Carlton Saffa paid for the breakfast and brunch receptions. Carlton is a friend; our professional relationship and personal friendship significantly predated my taking the bench. Carlton is on my recusal list, and I have not presided over any cases involving him. Out of an abundance of caution, I have also placed Carlton's employer, Saracen Casino and related Saracen entities, on my recusal list for at least a two-year period. To the best of my knowledge, I have not presided over any cases involving these entities.

In Part VII, the Row 3 Signature Bank Cash Accounts are accounts that my created, funded, and operate for my .

In Part VII, with respect to the Row 6 My Reitrement 2045 Fund: I have left Column C1 and C2 blank because I got rid of this account (rolled over the full balance to the Thrift Savings Plan) before the end of the 2022 year; and I have left Columns B1, B2, and D4 blank (update: amended to select "none" for Column B2) because this 2045 investment fund does not allocate income to individual holdings, but instead credits only in unit value (see pg 45 of the filing instructions). This is also why Columns B1 and B2 were left blank in previous annual reports that I filed. To the extent that Column D4 is looking for the total increase in value of the fund between what I put in and what I got out (meaning employer contributions plus stock increase earnings), that dollar figure is in cateogry E.

In Part VII, with respect to the Row 8 My Retirement 2050 Fund belonging to my : I have left Column C1 and C2 blank because got rid of this account (rolled over the full balance to the Row 13 Merrill Lynch IRA and then largely distributed it) before the end of the 2022 year; and I have left Columns B1, B2, and D4 blank (update: amended to select "none" for Column B2) because this 2050 investment fund does not allocate income to individual holdings, but instead credits only in unit value (see pg 45 of the filing instructions). This is also why Columns B1 and B2 were left blank in previous annual reports that I filed. To the extent that Column D4 is looking for the total increase in value of the fund between what put in and what she got out (meaning employer contributions plus stock increase earnings), that dollar figure is in cateogry E.

In Part VII, with respect to the Row 12 Israel Bonds: two of our five-year Israel Bonds matured and we received a total of $237 from them. There are still some remaining Israel Bonds that did not mature in 2022;

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lee P. Rudofsky

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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