AO 10*
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ruiz, David A.	U.S. District Court, Northern District of Ohio	06/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge, Full Time	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
801 West Superior Ave Cleveland, OH 44113		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Ruiz, David A.	06/12/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2022	Benesch Friedlander Coplan & Aronoff LLP- Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Ruiz, David A.	06/12/2023

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ruiz, David A.	06/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank (Cash Accounts)	A	Interest	K	T					
2. 401K#1 (H)									
3. -BR LifePath Dynamic 2030	B	Dividend	K	T					
4. -American Funds- EuroPacific Growth Fund	B	Dividend	L	T					
5. -American Funds-Growth Fund of America	D	Dividend	M	T					
6. -PGIM Total Return Bond Fund	B	Dividend	L	T					
7. -Vanguard 500 Index Fund	B	Dividend	L	T					
8. 401k#2 (H)									
9. -T Rowe Price Retirement 2040	A	Dividend	M	T					
10. 401k#3 (H)									
11. -Fidelity Freedom Index 2025	B	Dividend	K	T					
12. -Vanguard International Growth Adm	C	Dividend	K	T					
13. -Fidelity MidCap Index Fund	B	Dividend	L	T					
14. -TRowe Price Inst Large Cap Growth	C	Dividend	M	T					
15. 529 Accounts (H)									
16. -Vanguard 500 Index	A	Dividend	M	T					
17. -Vanguard Wellington	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Vanguard Total Bond Market Index	A	Dividend	K	T					
19. -Vanguard Extended Market Index	A	Dividend	K	T					
20. 401k#4 (H)									
21. -Benesch Balanced Fund	A	Dividend	L	T					
22. -JH 2040	A	Dividend			Distributed	05/02/22	L		
23. -Fidelity 2040	D	Dividend	L	T	Buy	05/02/22	L		
24. Brokerage Account (H)									
25. -Vanguard Prime Money Market Fund	A	Dividend	K	T	Sold (part)	04/13/22	J		
26.					Buy (add'l)	05/26/22	J		
27.					Buy (add'l)	06/10/22	J		
28.					Buy (add'l)	07/07/22	J		
29.					Buy (add'l)	07/14/22	J		
30.					Buy (add'l)	07/21/22	J		
31.					Sold (part)	08/08/22	K		
32.					Sold (part)	09/20/22	J		
33.					Buy (add'l)	10/18/22	J		
34.					Buy (add'l)	11/01/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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35.						Buy (add'l)	11/25/22	J		
36.						Buy (add'l)	12/30/22	J		
37.	-Federal Money Market Fund	A	Dividend	J	T					
38.	-Vanguard Balanced Index Fund	B	Dividend	L	T	Sold (part)	09/20/22	J		
39.	-Vanguard 500 Index Fund	B	Dividend	M	T					
40.	-Vanguard Total Stock Market	A	Dividend	J	T					
41.	-Vanguard International Stock Market Index	A	Dividend	K	T					
42.	-Vanguard Intermediate Term Bond Fund	A	Dividend	K	T	Sold (part)	04/13/22	J		
43.						Sold (part)	09/20/22	J		
44.						Sold (part)	12/21/22	J		
45.	-Vanguard Mid-Cap Index	A	Dividend	K	T					
46.	-Vanguard Target Retirement Fund	D	Dividend	K	T	Sold (part)	04/13/22	J		
47.	-Vanguard US Growth	C	Dividend			Sold (part)	04/13/22	J		
48.						Sold	08/05/22	J		
49.	-Vanguard Value Index	A	Dividend	K	T					
50.	-Vanguard Small Cap Value Index	A	Dividend	K	T					
51.	Third Federal Savings & Loan (Cash Account)	A	Interest	J	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. IRA (H)									
53. -Calamos Growth Fund	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding the transactions listed in Part VIII, lines 22 and 23 under 401k#4 -- the JH 2040 fund became unavailable as of May 2022 and was automatically exchanged for Fidelity 2040.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David A. Ruiz

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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