AO 10*
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ruiz, David A.	U.S. District Court, Northern District of Ohio	8/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge, Full Time	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
801 West Superior Ave Cleveland, OH 44113		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Benesch Friedlander Coplan & Aronoff LLP- Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ruiz, David A.	8/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	PNC Bank (Cash Accounts)	A	Interest	K	T					
2.	401K#1 (H)									
3.	-BR LifePath Dynamic 2030	B	Dividend	K	T					
4.	-American Funds- EuroPacific Growth Fund					Distributed	02/06/23	L		
5.	-Great Gray EuroPacific Growth Fund			L	T	Spinoff (from line 4)	02/06/23	L		
6.	-American Funds-Growth Fund of America	E	Dividend	N	T					
7.	-PGIM Total Return Bond Fund	C	Dividend	L	T					
8.	-Vanguard 500 Index Fund	B	Dividend	N	T					
9.	401k#2 (H)									
10.	-T Rowe Price Retirement 2040	A	Dividend	M	T					
11.	401k#3 (H)									
12.	-Fidelity Freedom Index 2025	A	Dividend	K	T					
13.	-Vanguard International Growth Adm	A	Dividend	L	T					
14.	-Fidelity MidCap Index Fund	B	Dividend	L	T					
15.	-TRowe Price Inst Large Cap Growth					Distributed	06/09/23	M		
16.	-JPMorgan Large Cap Growth	B	Dividend	M	T	Spinoff (from line 15)	06/09/23	M		
17.	529 Accounts (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Ruiz, David A.	8/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -Vanguard 500 Index			M	T					
19. -Vanguard Wellington			K	T					
20. -Vanguard Total Bond Market Index			K	T					
21. -Vanguard Extended Market Index			K	T					
22. 401k#4 (H)									
23. -Benesch Balanced Fund	A	Dividend	L	T					
24. -Fidelity 2040	C	Dividend	M	T					
25. Brokerage Account (H)									
26. -Vanguard Prime Money Market Fund	B	Dividend	M	T	Buy (add'l)	01/05/23	J		
27.					Buy (add'l)	01/31/23	J		
28.					Buy (add'l)	02/09/23	J		
29.					Buy (add'l)	02/23/23	J		
30.					Buy (add'l)	03/09/23	J		
31.					Buy (add'l)	03/16/23	J		
32.					Buy (add'l)	03/22/23	J		
33.					Buy (add'l)	03/31/23	J		
34.					Buy (add'l)	04/06/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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35.					Buy (add'l)	04/13/23	J		
36.					Buy (add'l)	04/20/23	J		
37.					Buy (add'l)	04/27/23	J		
38.					Buy (add'l)	05/04/23	J		
39.					Buy (add'l)	05/11/23	J		
40.					Buy (add'l)	05/18/23	J		
41.					Buy (add'l)	05/25/23	J		
42.					Buy (add'l)	06/01/23	J		
43.					Buy (add'l)	06/08/23	J		
44.					Buy (add'l)	06/15/23	J		
45.					Buy (add'l)	06/20/23	J		
46.					Buy (add'l)	06/22/23	J		
47.					Buy (add'l)	06/29/23	J		
48.					Buy (add'l)	07/06/23	J		
49.					Buy (add'l)	07/13/23	J		
50.					Buy (add'l)	08/04/23	J		
51.					Buy (add'l)	08/17/23	J		

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52.					Buy (add'l)	08/24/23	J		
53.					Buy (add'l)	08/31/23	J		
54.					Buy (add'l)	09/07/23	J		
55.					Buy (add'l)	09/21/23	J		
56.					Buy (add'l)	09/28/23	J		
57.					Buy (add'l)	10/05/23	J		
58.					Buy (add'l)	10/12/23	J		
59.					Buy (add'l)	10/26/23	J		
60.					Buy (add'l)	11/02/23	J		
61.					Buy (add'l)	11/03/23	J		
62.					Buy (add'l)	11/09/23	J		
63.					Buy (add'l)	11/16/23	J		
64.					Buy (add'l)	11/21/23	L		
65.					Buy (add'l)	11/30/23	J		
66.					Buy (add'l)	12/07/23	J		
67.					Buy (add'l)	12/14/23	J		
68.					Buy (add'l)	12/21/23	J		

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69.					Buy (add'l)	12/28/23	J		
70. -Vanguard Federal Money Market Fund	A	Dividend	J	T					
71. -Vanguard Balanced Index Fund	B	Dividend	K	T	Sold (part)	08/04/23	J		
72.					Sold (part)	09/25/23	K		
73. -Vanguard 500 Index Fund	B	Dividend	M	T	Sold (part)	09/25/23	K		
74. -Vanguard Total Stock Market	A	Dividend	J	T					
75. -Vanguard International Stock Market Index	A	Dividend	K	T					
76. -Vanguard Intermediate Term Bond Fund	B	Dividend			Sold (part)	01/31/23	J		
77.					Sold	03/22/23	J		
78. -Vanguard Mid-Cap Index	A	Dividend	J	T	Sold (part)	09/26/23	K		
79. -Vanguard Target Retirement Fund	A	Dividend	K	T	Sold (part)	09/26/23	K		
80. -Vanguard Value Index	A	Dividend	J	T	Sold (part)	08/04/23	K		
81. -Vanguard Small Cap Value Index	A	Dividend	J	T	Sold (part)	09/25/23	K		
82. Third Federal Savings & Loan (Cash Account)	A	Interest	J	T					
83. IRA (H)									
84. -Calamos Growth Fund	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding the investments in Part VII, lines 4 and 5 under 401k#1- the American Fund EuroPacific became unavailable as of February 2023 and was automatically exchanged for the Great Gray EuroPacific Growth Fund.
Regarding the investments in Part VII, lines 15 and 16 under 401k#3, the TRowe Price Inst Large Cap Fund became unavailable as of June 2023 and was automatically exchanged for the JPMorgan Large Cap Growth Fund.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David A. Ruiz

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544