AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sachs, Howard F.	Western District of Missouri	07/13/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. Courthouse 400 East 9th Street, Suite 6452 Kansas City, Mo. 64106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee, Irrevocable Trust B, Revocable Trust A	See Explanation
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sachs, Howard F.	07/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Commerce Bank	A	Interest	K	T					
2.	UMB Bank	A	Interest	J	T					
3.	Financial Square - IRA	A	Dividend	J	T	Sold (part)	12/07/22	J	A	
4.	Financial Square - IRA					Sold (part)	12/23/22	J		
5.	Commerce Bond Fund-IRA	B	Dividend	L	T	Sold (part)	01/07/22	K	B	
6.	Commerce Bond Fund - IRA					Distributed (part)	01/04/22	K		
7.	Commerce Bond Fund - IRA					Sold (part)	03/01/22	J	A	
8.	Nuveen Mo Bonds	C	Interest	M	T					
9.	Commerce Mo Bonds	B	Interest	L	T					
10.	Manulife Common Stock - TA	C	Dividend	L	T					
11.	Metlife Total Control Account	A	Interest	K	T					
12.	Commerce Mo tax free bond fund - TB	C	Dividend	M	T					
13.	iShares Russell Midcap Value Ind. Fund-TB	A	Dividend	K	T					
14.	iShares Russell 1000 Growth Ind. Fund-TB	A	Dividend	M	T					
15.	iShares Russell 1000 Value Ind. Fund-TB	B	Dividend	L	T					
16.	TR Price New Horizons TB	A	Dividend	J	T					
17.	Baird Aggregate Bond Fund Is -TA	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Sachs, Howard F.	07/13/2023

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Dodge & Cox Income Fund - TA	A	Dividend	K	T					
19. Clearbridge Small Cap - TA	A	Dividend	J	T					
20. MFS Research Intl Fund I - TA	A	Dividend	K	T	Sold (part)	10/12/22	K	B	
21. T Rowe Price Overseas Stock Fund I -TA	A	Dividend	J	T	Sold (part)	10/12/22	J	A	
22. TIAA-CREF Large Cap Value Index Fund - TA	A	Dividend	K	T					
23. Vanguard Intermediate Term Investment Grade Fund Adm -IRA	A	Dividend	J	T	Sold (part)	10/23/22	J		
24. iShares Russell Midcap Value ETF - TA	A	Dividend	K	T					
25. Artisan Mid Cap Fund-Ins - TA	A	Dividend	J	T					
26. Blackrock High Yield Bond Portfolio - TA	A	Dividend	J	T	Sold (part)	10/12/22	J		
27. Hartford Midcap Fund Y - TA	A	Dividend	J	T					
28. Lazard Emerging Mkts Equity Port - TA	A	Dividend	J	T	Sold (part)	10/12/22	J		
29. Financial Square Treasury Govt Fund - TA	B	Dividend	K	T					
30. Financial Square Treasury Fund - TB	A	Dividend	K	T					
31. Artisan Mid Cap Fund Ins. - TB	A	Dividend	J	T					
32. Hartford Mid Cap Fund Y - TB	A	Dividend	J	T					
33. Lazard Emerging Mkts Equity Port - TB	A	Dividend			Sold	10/18/22	J		
34. T Rowe Tax Free - TB	A	Dividend			Sold	10/12/22	J		

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35. Vanguard Intermed, Term Corp. Fund #71 - TA	B	Dividend	K	T					
36. Commerce Bond Fund -TA	B	Dividend	L	T					
37. Clearbridge Small Cap - TB	A	Dividend	J	T					
38. Causeway EM - TA	A	Dividend	J	T	Sold (part)	10/12/22	J		
39. ishares Russell Mid Growth - TA	A	Dividend	J	T					
40. TRP New Horizon- TA	A	Dividend	J	T					
41. TIAA Growth - TA	A	Dividend	K	T					
42. ishares Russell Midcap Growth - TB	A	Dividend	K	T					
43. Causeway EM Market - TB	A	Dividend			Sold	10/12/22	J		
44. MFS Research Int F R6-TB	A	Dividend			Sold	10/12/22	K		
45. Vanguard High Yield Tx Ex - TB	A	Dividend			Sold	10/12/22	J		
46. JP Morgan Hedged Equity - TA	A	Dividend	K	T					
47. JP Morgan Hedged Equity - TB	A	Dividend	K	T					
48. Blackrock High Yield - IRA	A	Dividend			Sold	07/06/22	J		
49. Goldman Sachs High Yield - IRA	A	Dividend			Sold	07/06/22	J		
50. Cohen Steers Pref Sec - IRA	A	Dividend			Sold	03/23/22	J		
51. Pimco Emerging Market - IRA	A	Dividend			Sold	03/23/22	J		

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52. Schwab Select Large Cap Growth Fund - TA	A	Dividend	K	T					
53. US Treasury Bill - TA	A	Dividend	K	T	Buy	10/12/22	K		
54. Schwab Short Term US Treasury ETF - IRA	A	Dividend	J	T	Buy	07/05/22	J		
55. Baird Aggregate Bond. F. - IRA	A	Dividend	J	T	Buy	07/05/22	J		
56. Baird Aggregate Bond.F. IRA	A	Dividend			Sold (part)	12/27/22	J		
57. Dodge & Cox Income Fund - IRA	A	Dividend	J	T	Buy	07/05/22	J		
58. Vanguard High Yield - IRA	A	Dividend			Buy	03/23/22	J		
59. Vanguard High Yield - IRA	A	Dividend			Sold	07/05/22	J		
60. US Treasury Bill - TB	A	Dividend	K	T	Buy	10/12/22	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I
Death of [redacted] on January 5, 2009, caused splitting of "[redacted] held" assets, termination of [redacted] revocable trust, creation of irrevocable Trust B, with transfers in August 2009 to Commerce Bank as co-trustee with primary investment responsibilities. Bank became co-trustee of Trust A in 2016.

Part VII
Financial Square represents periodic deposits by co-trustee - similar to bank account for income from sales, interest and dividends.

All sales and purchases were by co-trustee bank, without participation and apparently not designed for specific trusts.

Lines 5 and 6, representing the Commerce Bond Fund, has no postings for income and value in columns B and C, respectively. The pertinent information as to value and income is reported on Line 4.

Effective February 25, 2022, name change from Laudus US L Cap Growth on Line 38, to Schwab Select Large Cap Growth Fund on Line 47.

The asset listed on Line 4, Financial Square - IRS, is the same asset listed on Line 3.

The assets listed on Lines 6 and 7, Commerce Bond Fund - IRA, are the same asset listed on Line 5.

The asset listed on Line 56, Baird Aggregate Bond. F - IRA, is the same asset listed on Line 55.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Howard F. Sachs

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544