AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sachs, Howard F.	Western District of Missouri	08/08/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 400 East 9th Street, Suite 6452 Kansas City, Mo. 64106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee, Irrevocable Trust B, Revocable Trust A	See Explanation
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sachs, Howard F.	08/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Commerce Bank	A	Interest	K	T					
2.	UMB Bank	A	Interest	J	T					
3.	Financial Square - IRA	A	Dividend			Sold	12/31/23	L		
4.	Commerce Bond Fund-IRA	B	Dividend			Sold	12/21/23	K		
5.	Nuveen Mo Bonds	C	Interest	M	T					
6.	Commerce Mo Bonds	B	Interest	L	T					
7.	Manulife Common Stock - TA	C	Dividend	L	T					
8.	Metlife Total Control Account	A	Interest	K	T					
9.	Commerce Mo tax free bond fund - TB	C	Dividend	M	T					
10.	iShares Russell Midcap Value Ind. Fund-TB	A	Dividend	K	T					
11.	iShares Russell 1000 Growth Ind. Fund-TB	A	Dividend			Sold	12/27/23	J		
12.	iShares Russell 1000 Value Ind. Fund-TB	B	Dividend	L	T					
13.	TR Price New Horizons TB	A	Dividend	J	T					
14.	Baird Aggregate Bond Fund Is -TA	A	Dividend	K	T					
15.	Dodge & Cox Income Fund - TA	A	Dividend	K	T					
16.	Clearbridge Small Cap - TA	A	Dividend	J	T					
17.	TIAA-CREF Large Cap Value Index Fund - TA	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Vanguard Intermediate Term Investment Grade Fund Adm -IRA	A	Dividend			Sold	12/24/23	J		
19. iShares Russell Midcap Value ETF - TA	A	Dividend	K	T					
20. Artisan Mid Cap Fund-Ins - TA	A	Dividend	J	T					
21. Blackrock Systematic - TA	A	Dividend	J	T	Buy	01/25/23	K		
22. Hartford Midcap Fund Y - TA	A	Dividend	J	T					
23. Financial Square Treasury Govt Fund - TA	B	Dividend	K	T					
24. Financial Square Treasury Fund - TB	B	Dividend	L	T					
25. Artisan Mid Cap Fund Ins. - TB	A	Dividend	J	T					
26. Hartford Mid Cap Fund Y - TB	A	Dividend	J	T					
27. Vanguard Intermed, Term Corp. Fund #71 - TA	B	Dividend	K	T					
28. Commerce Bond Fund -TA	B	Dividend	L	T					
29. Clearbridge Small Cap - TB	A	Dividend	J	T					
30. ishares Russell Mid Growth - TA	A	Dividend	J	T					
31. TRP New Horizon- TA	A	Dividend	J	T					
32. TIAA Growth - TA	A	Dividend	K	T					
33. ishares Russell Midcap Growth - TB	A	Dividend	K	T					
34. JP Morgan Hedged Equity - TA	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. JP Morgan Hedged Equity - TB	A	Dividend	K	T					
36. Schwab Select Large Cap Growth Fund - TA	A	Dividend	K	T					
37. Baird Aggregate Bond.F. IRA	A	Dividend			Sold	12/24/23	J		
38. Dodge & Cox Income Fund - IRA	A	Dividend			Sold	12/24/23	J		
39. Schwab Short Term - IRA	A	Dividend			Sold	12/24/23	J		
40. Fidelity 500 - TA	A	Interest	K	T	Buy	03/21/23	K		
41. U.S. Treasury Bill - TB	A	Interest			Buy	03/21/23	K		
42. U.S. Treasury Bill - TB	A	Interest			Redeemed	06/22/23	K		
43. Schwab Short Term US Treasury ETF - TA	A	Dividend	J	T	Buy	01/26/23	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I
Death on January 5, 2009, caused splitting of assets, termination of revocable trust, creation of irrevocable Trust B, with transfers in August 2009 to Commerce Bank as co-trustee with primary investment responsibilities. Bank also became co-trustee of Trust A in 2016.

Part VII
Financial Square represents periodic deposits by co-trustee - similar to bank account for income from sales, interest and dividends.

All sales and purchases were by co-trustee bank, without participation and apparently not designed for specific trusts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Howard F. Sachs

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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