AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sannes, Brenda K.	U.S. District Court, Northern District of New York	05/11/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge -Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse 100 South Clinton Street Syracuse, New York 13261		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee (See part VIII)	Family Trust A
2.	Trustee (See part VII, line 20, and part VIII)	Family Trust C
3.	Power of Attorney	Family member
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Steven Madison	Two tickets to Los Angeles Lakers game	$1,000.00
2.	Steven Madison	Two tickets to UCLA football game	$400.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	New Rez LLC	Mortgage on rental property #1 (part VII, line 8)	L
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sannes, Brenda K.	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Amgen, Inc.	A	Dividend	K	T					
2. The Southern Company	A	Dividend	J	T					
3. New York State 529 Age-Based Option: Aggressive Growth Portfolio		None	J	T					
4. New York State 529: Conservative Growth Portfolio		None			Closed	12/09/21	J		
5. New York State 529 Age-Based Option Income Portfolio		None	L	T					
6. New York State 529 Growth Stock Index Portfolio		None			Closed	12/09/21	J		
7. New York State Mid-Cap Stock Index Portfolio		None			Closed	12/09/21	J		
8. Rental Property #1 (Los Angeles, California)	E	Rent	O	W					
9. M & T Accounts	A	Interest	J	T					
10. M & T (IRA)	A	Interest	J	T					
11. Chase Account	A	Interest	J	T					
12. New Rez LLC	A	Interest	J	T					
13. National Group Whole Life Insurance	A	Dividend	K	T					
14. State Farm Whole Life Insurance	A	Int./Div.	J	T					
15. FidelityRollover IRA Account (H)									
16. Fidelity Total Market Index Fund (FSKAX)	A	Dividend	N	T	Buy	02/16/21	N		
17. Fidelity Intermediate Treasury Bond Index Fund (FUAMX)	A	Dividend	M	T	Sold (part)	02/16/21	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sannes, Brenda K.	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fidelity Investment Grade Bond Fund (FBNDX)	A	Dividend	M	T	Sold (part)	02/16/21	N		
19. Fidelity Composite Index Fund (FNCMX)	A	Dividend	N	T	Buy	02/16/21	N		
20. Family Trust C (H)									
21. Sannes Family Limited Partnership	G	Royalty	P1	W					
22. Family Trust B (H)									
23. CA bank checking account	A	Interest	J	T					
24. CA bank savings account	A	Interest	J	T					
25. NY bank checking account	A	Interest	K	T					
26. Fidelity Investment Account (H)									
27. Fidelity Investment Grade Bond Fund (FBNDX)	A	Dividend	K	T	Buy	02/16/21	K		
28. Fidelity Composite Index Fund (FNCMX)	A	Dividend	K	T	Buy	02/16/21	K		
29. Fidelity Total Market Index Fund (FSKAX)	A	Dividend	K	T	Buy	02/16/21	K		
30. Fidelity UTMA (H)									
31. Amazon.com Inc.	A	Dividend			Buy	02/16/21	J		
32.					Sold	05/11/21	J		
33. Fidelity Government Money Market (SPAAX)	A	Dividend	J	T	Buy	05/11/21	J		
34. Fidelity Total Market Index Fund (FSKAX)	A	Dividend	J	T	Buy	07/06/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Family Trust A is a revocable living trust without reportable assets.

Family member has a beneficial interest in Trust B, which is an irrevocable trust

Family Trust C is an irrevocable trust which owns 50% of the Sannes Family Limited Partnership

Family member resigned as a co-trustee of both Trust A and Trust C on November 12, 2020

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Brenda K. Sannes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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