AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sannes, Brenda K.	United States District Court, Northern District of New York	08/10/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 100 South Clinton Street Syracuse, NY 13261		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1 (reportable assets are listed in Part VII)
2.	Trustee	Trust #2 (reportable assets are listed in Part VII)
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	New Rez LLC	Mortgage on Rental Property	L
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sannes, Brenda K.	08/10/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Chase Bank (cash)	A	Interest	K	T					
3.	M&T Bank (cash)	A	Interest	K	T					
4.	Amgen, Inc.	A	Dividend	K	T					
5.	The Southern Company	A	Dividend	J	T					
6.	Rental Property: Los Angeles, California	F	Rent	O	W					
7.	New Rez LLC	A	Interest	J	T					
8.	National Group Whole Life Insurance	A	Dividend	K	T					
9.	State Farm Whole Life Insurance	A	Int./Div.	J	T					
10.	Account #1 (H)									
11.	M&T Bank (cash)	A	Interest	J	T					
12.	Account #2 (H)									
13.	Fidelity Total Market Index Fund (FSKAX)	C	Dividend	N	T					
14.	Fidelity Intermediate Treasury Bond Index Fund (FUAMX)	C	Dividend	M	T					
15.	Fidelity Investment Grade Bond Fund (FBNDX)	C	Dividend	M	T					
16.	Fidelity Composite Index Fund (FNCMX)	B	Dividend	N	T					
17.	Account #3 (H)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Sannes, Brenda K.	08/10/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Schwab One (cash)	A	Interest	L	T	Open	08/14/23	L		
19. Account #4 (H)									
20. Key Bank (cash)	A	Interest	K	T					
21. NR Apex Energy LLC - 2A Secured Claim Contract (A/R)	E	Interest	M	U					
22. NR Apex Energy LLC - 3A Unsecured Claim Contract (A/R)	B	Interest	L	U					
23. Rental Property: Billings, MT	D	Rent	N	W					
24. Schwab (cash)	D	Interest	P1	T					
25. New York Whole Life Insurance	A	Interest			Distributed	08/17/23	J		
26. Account #5 (H)									
27. Glacier Bank (X)	C	Interest	N	T					
28. Account #6 (H)									
29. M&T Bank (cash)	A	Interest	J	T					
30. Account #7 (H)									
31. US Bank (cash)	D	Interest	O	T					
32. Rental Building #1 Silesia, MT (Winslow)	D	Rent	K	W					
33. Renatal Building #2: Silesia, MT (Extruder)	A	Rent	K	W					
34. Rental Building #3: Silesia, MT (Birkland)	D	Rent	L	W					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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35.	Rental Building #4: Silesia, MT (Stricker)	D	Rent	L	W					
36.	Rental Building #5: Silesia, MT (Lyons)	D	Rent	L	W					
37.	Rental Property: Highlands Ranch, CO	E	Rent	O	W					
38.	Rental Property: Lakeside MT	E	Rent	N	W					
39.	Rental Property: Yellowstone County, MT	E	Rent	J	W					
40.	Ranch Equipment		None	K	W					
41.	Ranch Land (Yellowstone & Carbon, MT)	F	Rent	P2	W	Sold (part)	09/27/23	M		
42.						Sold (part)	10/06/23	M		
43.	Mountrail Williams Electric Capital Credits		None	J	T					
44.	Promissory Note, Brad and Shanna Cluchie	D	Interest	K	T					
45.	White Rock Oil & Gas LLC (mineral royalty interests, Richland Co, MT)	E	Royalty	M	W					
46.	White Rock Energy LLC (mineral royalty interests Richland County, MT)	C	Royalty	K	W					
47.	Murex Petroleum Corp (mineral royalty interests, Williams County ND)	C	Royalty	J	W					
48.	Petro-Hunt LLC (mineral royalty interests, Burke County, ND)	D	Royalty	L	W					
49.	Onintiv USA Inc (mineral royalty interests,McKenzie, ND)	A	Royalty	J	W					
50.	Neptune Operting LLC (mineral royalty interests, McKenzie County, ND)	G	Royalty	O	W					
51.	Emep Operating LLC (mineral royalty interests, Richland County,MT)	B	Royalty	K	W					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. Working Interest #1 - Sundheim 31-17-1H, Richland, MT	D	Royalty	K	W					
53. Working Interest #2 - Wahlstrom 3-10H, McKenzie, ND	F	Royalty	N	W					
54. Working Interest #3 - Davis S300 42-12H, Williams, ND	C	Royalty	K	W					
55. Account #8 (H)									
56. Fidelity Investment Grade Bond Fund (FBNDX)	A	Dividend	K	T					
57. Fidelity Composite Index Fund (FNCMX)	A	Dividend	K	T					
58. Fidelity Total Market Index Fund (FSKAX)	A	Dividend	K	T					
59. Account #9 (H)									
60. New York State 529 Age-Based Option: Aggressive Growth Portfolio		None	J	T					
61. New York State 529 Age-Based Option Income Portfolio		None	J	T					
62. Account #10 (H)									
63. Fidelity Government Money Market (SPAAX) (cash eq.)	A	Dividend	J	T					
64. Fidelity Total Market Index Fund (FSKAX)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Sannes, Brenda K.	08/10/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

An individual with assets subject to this report has a beneficial interest in Trust #3, which is an irrevocable trust. These holdings are listed in Part VII.

Account #7 represents the holdings of a Limited Partnership. Holdings of this Partnership are reported to the best of the filer's knowledge and belief. This Account is subject to further review and may be amended as the filer receives more information about this Partnership's assets.

Trust #1 and Trust #2 each own 50% of the Partnership reported as Account #7.

Account #4 and Account #7 have been added and expanded as a result of unreportable activity.

Part VII, Lines 45-54: The most recent appraisal date for these assets was June 22, 2023.

Name of Person Reporting	Date of Report
Sannes, Brenda K.	08/10/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Brenda K. Sannes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
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Washington, D.C. 20544