AO 10
Rev. 1/2021

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Scarsi, Mark C. | U.S. District Court for the Central DIstrict of California | 03/01/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U. S. District Court Judge - Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2021<br>**to**<br>12/31/2021 |
| **7. Chambers or Office Address** | | |
| United States Courthouse<br>350 W First Street, Suite 4311<br>Los Angeles, CA 90012-4565 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Retired Partner | Milbank LLP |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | 2007 | Milbank LLP Pension |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Scarsi, Mark C.** | 03/01/2023 |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | CitiBank | Credit Card | J |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Scarsi, Mark C.** | 03/01/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | D. (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|
| 1. Wells Fargo Bank | A | Interest | P1 | T | | | | | |
| 2. Citibank | A | Interest | O | T | | | | | |
| 3. Vanguard Intl Equity (VWO) | C | Distribution | M | T | | | | | |
| 4. Vanguard FTSE Developed Mkt (VEA) | C | Distribution | M | T | | | | | |
| 5. American Funds 2025 Target (AADTX) | A | Distribution | K | T | | | | | |
| 6. Vanguard Index FDS S&P (VOO) | C | Dividend | P1 | T | | | | | |
| 7. American Ventury Diversified BonD Fund (ACBPX) | A | Dividend | O | T | | | | | |
| 8. Pimco Total Return (PTTPX) | B | Dividend | O | T | | | | | |
| 9. Pimco Income (PONPX) | C | Dividend | O | T | | | | | |
| 10. Hartford World Bond Fund (HWDIX) | B | Dividend | M | T | | | | | |
| 11. Global Real Estate Securities (POSIX) | D | Dividend | M | T | | | | | |
| 12. Disciplined Value Mid Cap I (JVMIX) | D | Dividend | L | T | | | | | |
| 13. Carillon Eagle Mid Cap (HAGIX) | B | Dividend | L | T | | | | | |
| 14. NM FDIC Insured Program (NMFD) | A | Dividend | L | T | | | | | |
| 15. Oakmark Int Fund (OAYIX) | B | Dividend | N | T | | | | | |
| 16. First Eagle Overseas Fund Class I (SGOIX) | C | Dividend | J | T | | | | | |
| 17. MFS Value Fund (MEIIX) | B | Dividend | N | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Scarsi, Mark C.** | 03/01/2023 |

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | D. (5) Identity of buyer/seller (if private transaction) |
|---|---|---|---|---|---|---|---|---|---|
| 18. Ishares Tr Core S&P (IJH) | C | Dividend | N | T | | | | | |
| 19. Emerging Markets Equity Fund (JEMSX) | B | Dividend | J | T | | | | | |
| 20. Amcap Fund -F2 (AMCFX) | B | Dividend | M | T | | | | | |
| 21. Ishares Tr Core S&P (IJR) | C | Dividend | M | T | | | | | |
| 22. SmallCap (PSLIX) | C | Dividend | L | T | | | | | |
| 23. Foreign Smaller COmpanies Series (TFXCS) | B | Dividend | M | T | | | | | |
| 24. New World Fund F2 (NFFFX) | A | Dividend | M | T | | | | | |
| 25. Franklin CA Tax-Free Income (FCAVX) | B | Dividend | J | T | | | | | |
| 26. EuroPacific Growth Fund F2 (AEPFX) | B | Dividend | M | T | | | | | |
| 27. Global Real Estate Securities (POSIX) | C | Dividend | M | T | | | | | |
| 28. MFS Intrinsic Value (MINIX) | A | Dividend | L | T | | | | | |
| 29. Invesco OFI International Growth (OIGYX) | A | Dividend | J | T | | | | | |
| 30. Invesco Developing Markets (ODVYX) | A | Dividend | J | T | | | | | |
| 31. Disciplined Value Mid Cap (JVMIX) | A | Dividend | L | T | | | | | |
| 32. Fidelity Diversified Intl (FDVIX) | A | Dividend | K | T | | | | | |
| 33. Ishares TR Rus (IWP) | A | Dividend | J | T | | | | | |
| 34. Carillon Eagle Mid Cap (HAGIX) | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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|---|---|---|---|---|---|---|---|---|---|
| 35. MFS Intrinisic Value (MINIX) | B | Int./Div. | J | T | | | | | |
| 36. | | | | | | | | | |
| 37. | | | | | | | | | |
| 38. | | | | | | | | | |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Scarsi, Mark C.** | 03/01/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Mark C. Scarsi**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544