AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Schofield, Lorna G.	U.S. District Court, Southern District of New York	04/22/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Thurgood Marshall United States Courthouse 40 Centre Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Custodian	Lorna G Schofield 2019 Revocable Trust (no reportable assets)
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2011	Debevoise & Plimpton LLP, retirement program in a fixed amount, payable monthly for life, with COLA
2. 1988	Retirement Plan for Lawyers of Debevoise & Plimpton LLP, self-directed account
3. 1991	Debevoise & Plimpton LLP Cash or Deferred Plan for Partner & Staff, self-directed account

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Debevoise & Plimpton LLP pension	$358,824.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Schofield, Lorna G.	04/22/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Citibank Accounts	A	Interest	K	T					
2. Fidelity Magellan	D	Dividend			Sold (part)	09/06/23	K	D	
3.					Sold (part)	09/15/23	K	D	
4.					Sold (part)	10/03/23	K	C	
5.					Sold (part)	10/06/23	K	C	
6.					Sold	10/16/23	M	D	
7. Fidelity Cash Account	A	Int./Div.	J	T	Buy	10/16/23	J		
8. Vanguard 500 Index Fund Adm	D	Dividend	O	T					
9. Vanguard Capital Opportunity Fund Adm	D	Dividend	O	T					
10. Vanguard Dividend Growth Fund	E	Dividend	O	T					
11. Vanguard Global Equity Fund	E	Dividend	P1	T					
12. Vanguard Growth Index Fund Adm	E	Dividend	P1	T					
13. Vanguard Health Care Fund Adm	E	Dividend	N	T	Sold (part)	08/07/23	L	C	
14.					Sold (part)	08/14/23	K	D	
15. Vanguard High-Yield Tax-Exempt Fund Adm	D	Dividend	M	T					
16. Vanguard Intermediate-Term Tax-Exempt Fund Adm	E	Dividend	O	T					
17. Vanguard International Growth Fund Adm	E	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Schofield, Lorna G.	04/22/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Vanguard Limited Term Tax Exempt Fund Adm	D	Dividend	N	T					
19. Vanguard Mid-Cap Index Fund Adm	D	Dividend	O	T					
20. Vanguard Muni MM Fund	E	Dividend	M	T	Sold (part)	01/17/23	K		
21.					Buy (add'l)	01/27/23	J		
22.					Sold (part)	02/08/23	M		
23.					Buy (add'l)	02/27/23	J		
24.					Buy (add'l)	03/28/23	J		
25.					Buy (add'l)	04/28/23	J		
26.					Sold (part)	05/15/23	K		
27.					Buy (add'l)	05/26/23	J		
28.					Sold (part)	06/02/23	L		
29.					Buy (add'l)	06/28/23	J		
30.					Buy (add'l)	07/27/23	J		
31.					Sold (part)	08/03/23	M		
32.					Buy (add'l)	08/07/23	L		
33.					Buy (add'l)	08/10/23	K		
34.					Buy (add'l)	08/14/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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Schofield, Lorna G.	04/22/2024

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35.					Buy (add'l)	08/28/23	J		
36.					Buy (add'l)	09/05/23	J		
37.					Buy (add'l)	09/05/23	J		
38.					Buy (add'l)	09/18/23	K		
39.					Sold (part)	09/18/23	J		
40.					Buy (add'l)	09/28/23	J		
41.					Buy (add'l)	09/28/23	J		
42.					Sold (part)	10/17/23	O		
43.					Buy (add'l)	10/20/23	J		
44.					Buy (add'l)	10/27/23	J		
45.					Sold (part)	11/13/23	J		
46.					Buy (add'l)	11/28/23	J		
47.					Buy (add'l)	12/28/23	J		
48.	Vanguard NY Long-Term Tax-Exempt Fund Adm	E	Dividend	O	T				
49.	Vangaurd NY Muni MM Fund	A	Dividend	J	T				
50.	Vanguard PRIMECAP Fund Adm	E	Dividend	N	T				
51.	Vanguard Small-Cap Growth Index Fund Adm	B	Dividend	N	T				

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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52. Vanguard Windsor II Fund Adm	D	Dividend	M	T	Sold (part)	08/10/23	K	B	
53.					Sold (part)	08/28/23	L	E	
54. Vanguard Fed MM Fund (Settlement Fund, Brokerage a/c)	A	Dividend	J	T	Buy (add'l)	08/16/23	K		
55.					Sold (part)	09/05/23	J		
56. D&P Retirement Plan for Lawyers									
57. - Vanguard High-Yield Corporate Fund Adm	C	Dividend	O	T					
58. - Vanguard Institutional Index Fund Inst.	D	Dividend	O	T					
59. -Vanguard Selected Value Fund	F	Dividend	O	T					
60. - Vanguard Total Bond Market Index Fund Inst	A	Dividend	L	T					
61. D&P Cash or Deferred Plan									
62. - Vanguard Institutional Index Fund Inst.	E	Dividend	P1	T					
63. - Vanguard Total Int'l Bond Index Fund Adm	E	Dividend	O	T					
64. - Vanguard Windsor Fund Adm	D	Dividend	M	T					
65. Traditional IRA (H)									
66. - Vanguard Global Equity Fund	A	Dividend	J	T					
67. - Vanguard intermediate Term Inv. Grade Fund Adm	D	Dividend	M	T					
68. - Vanguard Fed MM Fund		None	J	T					

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2. Value Codes (See Columns C1 and D3)
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69. Traditional IRA 2 (H)									
70. - Vanguard Cash Res Fed MM Fund Adm	A	Dividend	J	T					
71. Traditional IRA 3 (H)									
72. - Vanguard Tot Intl Bond Ix Adm	E	Dividend	O	T					
73.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lorna G Schofield 2019 Revocable Trust in Part I has no reportable assets

Name of Person Reporting	Date of Report
Schofield, Lorna G.	04/22/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lorna G. Schofield

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544