AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Schroeder III, Robert W.	U.S. District Court for the Eastern District of Texas	05/19/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge - active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

United States District Court
500 North State Line, Room 309
Texarkana, Texas 75501

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Schroeder III, Robert W.	05/19/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Stanford University	Tuition Agreements	None
2.	Citibank	Credit Card	K
3.			
4.			
5.			

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Schroeder III, Robert W.	05/19/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Schwab Accounts (H)									
2. -Schwab Account	A	Interest	J	T					
3. -Schwab Bank Sweep	A	Interest	J	T					
4. -Vanguard MUNI BD ETF	A	Dividend	K	T	Buy (add'l)	08/17/21	K		
5. -Schwab Fund. US Lg. Co. ETF	A	Dividend	K	T	Buy (add'l)	01/19/21	J		
6.					Buy (add'l)	04/20/21	J		
7.					Sold (part)	08/17/21	K	D	
8. -SPDR PORTFOLIO TIPS ETF		None	K	T					
9. -Schwab US LG. CAP. ETF	A	Dividend	K	T	Sold (part)	01/19/21	J	A	
10.					Buy (add'l)	04/20/21	J		
11.					Buy (add'l)	05/20/21	J		
12.					Sold (part)	08/17/21	J	A	
13. -Schwab Fund. US Small Com. ETF	A	Dividend	K	T	Buy (add'l)	01/19/21	J		
14.					Buy (add'l)	04/20/21	J		
15.					Sold (part)	08/17/21	K	D	
16. -Invesco FTSE RAFI Emerg. Mtks. ETF	A	Dividend	J	T	Buy (add'l)	01/19/21	J		
17.					Sold (part)	08/17/21	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Schroeder III, Robert W.	05/19/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -Schwab Emerg. Mtks. Equity ETF	A	Dividend	J	T	Buy (add'l)	04/20/21	J		
19.					Sold (part)	08/17/21	J	A	
20. -Invesco FTSE RAFI Dev. Ex-US ETF		None	J	T					
21. -Invesco FTSE RAFI SM-MID ETF		None	J	T					
22. -Vanguard S&P 500 ETF	A	Dividend	K	T	Sold (part)	08/17/21	J	B	
23. -Vanguard Small Cap. ETF		None	J	T					
24. -Schwab Intl. Equity ETF	A	Dividend	J	T	Buy (add'l)	04/20/21	J		
25.					Buy (add'l)	05/20/21	J		
26. -Schwab Intl. Equity Sm. Cap. ETF	A	Dividend	J	T	Buy (add'l)	04/20/21	J		
27. -Schwab Fund Intl. LG COM. ETF	A	Dividend	J	T	Buy (add'l)	01/19/21	J		
28.					Buy (add'l)	04/20/21	J		
29.					Sold (part)	08/17/21	J	B	
30. -Schwab Fund Emerg. Mkts. Lg Com ETF	A	Dividend	J	T	Buy (add'l)	04/20/21	J		
31. -Schwab US Sm. Cap. ETF	A	Dividend	J	T	Sold (part)	01/19/21	J	C	
32.					Buy (add'l)	04/20/21	J		
33.					Buy (add'l)	05/20/21	J		
34.					Buy (add'l)	12/14/21	J		

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35. -Ishares Gold ETF		None	J	T	Sold (part)	01/19/21	J	B	
36.					Buy (add'l)	08/17/21	J		
37. -Ishares CORE MSCI Emerg. Mkt. ETF		None	J	T					
38. -XTRACKER INTL. RE ETF	A	Dividend	J	T	Buy (add'l)	04/20/21	J		
39. -Vanguard FTSE Dev. Mkts. ETF	A	Dividend	J	T	Sold (part)	08/17/21	J	A	
40. -Ishare Core US REIT ETF	A	Dividend	J	T					
41. -Schwab Fund. Intl. Sm. Com. ETF	A	Dividend	J	T	Buy (add'l)	01/19/21	J		
42.					Buy (add'l)	04/20/21	J		
43.					Sold (part)	08/17/21	J	B	
44. -Chas Schwab US REIT	A	Dividend	J	T	Buy (add'l)	04/20/21	J		
45. -SPDR Bloomberg Emerg. Mkts. LCL ETF	A	Dividend	J	T	Buy (add'l)	12/14/21	J		
46. -Aberdeen Phys. Precious Metals ETF		None	L	T					
47. -Amplify ETF	A	Dividend	J	T	Buy	05/21/21	J		
48. -Schwab S&P 500 Index	A	Dividend	J	T	Buy (add'l)	05/12/21	J		
49. -Schwab Cash Account	A	Interest	M	T	Open	09/07/21	M		
50. -Ishares Natl. Muni Bond ETF MUB	A	Dividend			Sold	03/31/21	M	B	
51. Bank OZK Cash Account	B	Interest	M	T					

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52. Wells Fargo Cash Accounts	A	Interest	J	T					
53. Guaranty Bank Cash Account	A	Interest			Closed	06/25/21	J	A	
54. Farmers Bank Cash Accounts	C	Interest			Closed	09/27/21	J	A	
55. Midland Natl. Fixed Annuity	B	Dividend	M	T					
56. SEP #1 (H)									
57. -Amer. Funds. The Bond Fund of America	A	Dividend	L	T					
58. -Amer. Funds Capital World Growth & Income	B	Dividend	M	T					
59. -Amer. Funds The Growth Fund of America	A	Dividend	N	T					
60. -Amer. Funds New Perspective Fund	A	Dividend	K	T					
61. -Amer. Funds Washington Mutual Investors	B	Dividend	M	T					
62. 403(b) #1 (H)									
63. -Capital Group Growth Portfolio-A	A	Dividend	K	T					
64. American Express Cash Accounts	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The trust listed in Part 1 is an unfunded trust.

Name of Person Reporting	Date of Report
Schroeder III, Robert W.	05/19/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert W. Schroeder III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544