AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Schroeder, Thomas D.	Middle District of N C	05/14/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
251 North Main Street, Suite 223A Hiram Ward Federal Courthouse Winston-Salem, North Carolina 27101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member and President	[redacted]
2.	Board Member	Chief Justice Joseph Branch Inn of Court
3.	Member (Liaison to [redacted])	Notre Dame Law School Advisory Council
4.	Power of Attorney (Business Management)	POA #1
5.	Power of Attorney (Health Care)	POA #2

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	[redacted]	July 11-13, 2023	London, England	Discussion of State of Religious Freedom Worldwide	Lunches (see note in section VIII)
2.	Baylor Law School Judicial MDL Summit	June 18-20, 2023	Aspen, CO	MDL Seminar and panel participant	lodging, meals, transportation
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	05/14/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Wells Fargo Bank Accounts	A	Interest	J	T					
2.	US Treasury Series EE Bonds		None	J	T					
3.	First Horizon Bank Accounts	B	Interest	N	T					
4.	Valic Income Fixed Plus Annuity	B	Dividend	K	T					
5.	Brokerage #1 (H)									
6.	-Invesco FTSE RAFI US 1000 ETF	C	Dividend	M	T	Sold (part)	04/21/23	J	A	
7.	-Inveso FTSE RAFI US 1500 Small-Mid ETF	B	Dividend	L	T	Buy (add'l)	04/21/23	K		
8.						Buy (add'l)	04/25/23	K		
9.						Merged (with line 34)	04/24/23	M		
10.	-Vanguard Russell 2000 ETF					Sold	04/25/23	K	A	
11.	-iShares MSCI EAFE ETF	D	Dividend	M	T					
12.	-Vanguard FTSE Developed Markets ETF	D	Dividend	M	T	Buy (add'l)	04/21/23	K		
13.	-Vanguard FTSE Emerging Markets ETF	B	Dividend	L	T					
14.	-Calamos Market Neutral Income I	D	Dividend	M	T	Sold (part)	04/21/23	K	A	
15.	-Eaton Vance Glbl Macro Absolute Ret Advtg I		None	M	T	Buy (add'l)	04/21/23	L		
16.						Sold	12/27/23	M	C	
17.						Buy	12/28/23	M		

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Schroeder, Thomas D.	05/14/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -iShares 0-5 Year TIPS Bond ETF	B	Dividend			Sold (part)	04/21/23	L	A	
19.					Sold	10/10/23	L	A	
20. -iShares TIPS Bond ETF	B	Dividend	M	T	Buy	10/09/23	L		
21.					Buy (add'l)	10/10/23	L		
22. -Vanguard Short-Term Inflation-Protected Securities ETF	B	Dividend			Buy	04/21/23	L		
23.					Sold	10/09/23	L	A	
24. -PIMCO Enhanced Short Maturity Active ETF	C	Dividend			Sold (part)	04/21/23	K	A	
25.					Sold	07/24/23	M	B	
26. -Vanguard Short-Term Bond ETF	B	Dividend	M	T	Buy	07/24/23	M		
27. -Eaton Vance Floating-Rate Fund Cl I	B	Dividend			Sold	03/24/23	L	A	
28. -iShares Treasury Floating Rate Bond ETF	A	Dividend			Buy	03/24/23	L		
29.					Sold	04/21/23	L	A	
30. -Fidelity Government Cash Reserve "Cash Account"	A	Dividend	J	T					
31. Brokerage #3 (H)									
32. -Invesco FTSE RAFI US 1000 ETF	D	Dividend	O	T	Sold (part)	04/21/23	J	A	
33. -iShares Russell 1000 ETF	C	Dividend	N	T					
34. -Inveso FTSE RAFI US 1500 Small-Mid ETF	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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35. -Vanguard Russell 2000 ETF	A	Dividend			Merged (with line 10)	04/24/23	K		
36. -iShares MSCI EAFE ETF	D	Dividend	N	T	Buy (add'l)	12/01/23	J		
37. -Vanguard FTSE Developed Markets ETF	A	Dividend			Merged (with line 12)	04/24/23	J		
38. -Vanguard FTSE Emerging Markets ETF	D	Dividend	M	T	Buy (add'l)	04/21/23	J		
39. -Calamos Market Neutral Income I	A	Dividend	J	T	Buy (add'l)	10/02/23	J		
40. -Fidelity Government Cash Reserve "Cash Account"	A	Dividend	J	T					
41. IRA #1 (H)									
42. -Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T					
43. -PIMCO All Asset All Authority Instl	C	Dividend	M	T	Buy (add'l)	04/21/23	J		
44. -RiverNorth/DoubleLine Strategic Income I	A	Dividend	J	T					
45. -Eaton Vance Floating Rate Instl	A	Dividend			Sold	03/24/23	K	A	
46. -iShares Treasury Floating Rate ETF	B	Dividend	K	T	Buy	03/24/23	K		
47. -Fidelity Government Cash Reserve "Cash Account"	A	Dividend	J	T					
48. IRA #2 (H)									
49. -Eaton Vance Glbl Macro Absolute Ret Advtg I	A	Dividend	J	T					
50. -Eaton Vance Floating Rate Instl	A	Dividend			Sold	03/24/23	K	A	
51. -iShares Treasury Floating Rate Bond ETF	A	Dividend	K	T	Buy	03/24/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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52.					Sold (part)	11/10/23	J	A	
53. -Fidelity Government Cash Reserve "Cash Account"	A	Interest	J	T					
54. IRA #3 (H)									
55. -Invesco FTSE RAFI US 1000	C	Dividend	M	T	Buy (add'l)	04/21/23	K		
56. -Inveso FTSE RAFI US 1500 Small-Mid ETF	B	Dividend	M	T					
57. -iShares Core MSCI Intl Developed Markets ETF	B	Dividend	K	T					
58. -Vanguard FTSE Developed Markets ETF	B	Dividend	L	T	Buy (add'l)	04/21/23	J		
59. -iShares Core MSCI Emerging Markets ETF	A	Dividend	K	T					
60. -Vanguard FTSE Emerging Markets ETF	C	Dividend	L	T					
61. -PIMCO All Asset All Authority Instl	B	Dividend	K	T					
62. -AQR Managed Futures Strategy Cl I	D	Dividend	M	T	Buy (add'l)	04/21/23	J		
63. -AQR Style Premia Alternative Cl I	E	Dividend	M	T	Buy (add'l)	04/21/23	J		
64. -Eaton Vance Glbl Macro Absolute Ret Advtg I	A	Dividend	J	T	Sold (part)	04/21/23	L	A	
65. -Eaton Vance Emerging Markets Local Income Cl I	E	Dividend	M	T	Sold	04/21/23	M	A	
66.					Buy	04/24/23	M		
67. -RiverNorth/DoubleLine Strategic Income I	D	Dividend	M	T	Buy (add'l)	04/21/23	J		
68. -Eaton Vance Floating Rate Instl	C	Dividend			Sold	03/24/23	M	A	

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69. -iShares Treasury Floating Rate Bond ETF	D	Dividend	M	T	Buy	03/24/23	M		
70.					Buy (add'l)	04/21/23	K		
71. -Fidelity Government Cash Reserve "Cash Account"	A	Dividend	J	T					
72. Mass Mutual Whole Life Insurance	C	Dividend	M	T					
73. Mass Mutual Whole Life Insurance	B	Dividend	L	T					
74. Loyal American Insurance (see part VIII)	A	Dividend	J	W					
75. Duke Univ. Faculty Retirement Plan (H)									
76. -Vanguard Inst. Target Retirement 2025	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part IV: For the [redacted], I reimbursed and paid all transportation, lodging, and meals except for lunches (for which the [redacted] could not provide me an estimated value).

Part VII:

Line 74: The Loyal American Life Insurance Company policy appears to have been affiliated with and/or administered byThe Great American Life Insurance Company, which was acquired by Mass Mutual Ascend Life Insurance Company in 2022. This is a Whole Life policy.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas D. Schroeder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
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Washington, D.C. 20544