AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Schwab, Arthur J.	Western District of Pennsylvania	04/25/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. Courthouse 700 Grant Street, Suite 7280 Pittsburgh, PA 15219		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Emeritus Secretary of the Board of Trustees (non-compensated)	Grove City College
2.	Faculty member (non-compensated)	University of Virginia School of Law - Trial Advocacy Program
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	07/11/91	Single life annuity through a life insurance company through prior employer, Reed Smith LLP, beginning monthly on 01/01/2012.
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Schwab, Arthur J.	04/25/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Goldman Sach Bond	A	Int./Div.			Sold	06/15/22	K	A	
2. Abbvie Inc Bond	A	Int./Div.			Sold	09/06/22	K	A	
3. Biogen Inc Bond	A	Int./Div.			Sold	07/18/22	K	A	
4. Deere John Corp Bond	A	Int./Div.			Sold	03/15/22	K	A	
5. General Electric Bond	A	Int./Div.			Sold	09/15/22	K	A	
6. Ralston Purine Co. Bond	A	Int./Div.			Sold	02/15/22	K	A	
7. Wells Fargo Bond	A	Int./Div.			Sold	07/22/22	K	A	
8. Qualcomm Inc Bond	A	Int./Div.	K	T					
9. Keurig Bond	A	Int./Div.	K	T					
10. EBay Inc Bond	A	Int./Div.	K	T					
11. Goldman Sachs Bond	A	Int./Div.	K	T					
12. Wells Fargo Bond	A	Int./Div.	K	T					
13. Transcanada Pipeline Bond	A	Int./Div.	K	T					
14. Express Script Bond	A	Int./Div.	J	T					
15. JP Morgan Bond	A	Int./Div.	K	T					
16. Capital One Fincl. Bond	A	Int./Div.	K	T					
17. Morgan Stanley Bond	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Schwab, Arthur J.	04/25/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Altaria Group Bond	A	Int./Div.	J	T					
19. Thomas Reuters Corp Note	A	Int./Div.	K	T					
20. Raytheon Technologies Bond	A	Int./Div.	K	T	Buy	01/28/22	J		
21. Bank of America Bond	A	Int./Div.	K	T	Buy	03/11/22	J		
22. Lowes Bond	A	Int./Div.	K	T	Buy	03/15/22	J		
23. Bio Gen Bond	A	Int./Div.	K	T	Buy	06/15/22	J		
24. Ryder Systems Bond	A	Int./Div.	K	T	Buy	07/26/22	J		
25. Southwest Bond	A	Int./Div.	K	T	Buy	07/22/22	J		
26. Citi Group Bond	A	Int./Div.	K	T	Buy	09/19/22	J		
27. I Shares Esq	A	Int./Div.			Sold	01/24/22	K	D	
28.	A	Int./Div.			Sold	01/24/22	K	D	
29.	A	Int./Div.			Sold	01/24/22	K	D	
30. TransOcean Stocks	A	Int./Div.	K	T					
31.	A	Int./Div.	K	T					
32.	A	Int./Div.	K	T					
33.	A	Int./Div.	K	T					
34.	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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35. NVIDIA Stock	A	Int./Div.	J	T					
36. First Solar Stock	A	Int./Div.	J	T					
37. Tesla Stock	A	Int./Div.	J	T					
38.	A	Int./Div.	J	T	Buy (add'l)	02/23/22	J		
39.	A	Int./Div.	J	T	Buy (add'l)	08/30/22	J		
40.	A	Int./Div.	J	T	Buy (add'l)	10/14/22	J		
41.	A	Int./Div.	J	T	Buy (add'l)	11/04/22	J		
42.	A	Int./Div.	J	T	Buy (add'l)	11/21/22	J		
43.	A	Int./Div.	J	T	Buy (add'l)	12/16/22	J		
44.	A	Int./Div.	J	T	Buy (add'l)	12/20/22	J		
45.	A	Int./Div.	J	T	Buy (add'l)	12/23/22	J		
46. Aurora Stock	A	Int./Div.	J	T					
47.	A	Int./Div.	J	T					
48.	A	Int./Div.	J	T					
49.	A	Int./Div.	J	T	Buy (add'l)	01/22/22	J		
50.	A	Int./Div.	J	T	Buy (add'l)	01/26/22	J		
51.	A	Int./Div.	J	T	Buy (add'l)	01/26/22	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.	A	Int./Div.	J	T	Buy (add'l)	02/14/22	J		
53.	A	Int./Div.	J	T	Buy (add'l)	03/11/22	J		
54.	A	Int./Div.	J	T	Buy (add'l)	06/10/22	J		
55.	A	Int./Div.	J	T	Buy (add'l)	06/30/22	J		
56. CCL Stock	A	Int./Div.	J	T					
57. INVESTMENT ACCOUNT NO. 1 (H)									
58. -JP Morgan Chase Bond	A	Int./Div.			Sold	09/23/22	J	A	
59. Hewlett Packard Note	B	Int./Div.	J	T	Buy	01/28/22	J		
60. Monsanto Note	A	Int./Div.	J	T	Buy	07/25/22	J		
61. Owen Corning Note	A	Int./Div.	J	T	Buy	07/25/22	J		
62. Oracle Corp Note	A	Int./Div.	J	T	Buy	07/25/22	K		
63. Kinder Morgan Note	A	Int./Div.	J	T	Buy	07/25/22	K		
64. Worthington Ind Note	A	Int./Div.	J	T	Buy	09/23/22	K		
65. INVESTMENT ACCOUNT NO. 2 (H)									
66. -Etherum	A	Int./Div.	J	T					
67.	A	Int./Div.	J	T					
68.	A	Int./Div.	J	T					

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69.	A	Int./Div.	J	T					
70.	A	Int./Div.	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Schwab, Arthur J.	04/25/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Arthur J. Schwab

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544