AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Schwab, Arthur J.	Western District of Pennsylvania	03/20/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 700 Grant Street, Suite 7280 Pittsburgh, PA 15219		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Emeritus Secretary of the Board of Trustees (non-compensated)	Grove City College
2.	Faculty member (non-compensated)	University of Virginia School of Law - Trial Advocacy Program
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	07/11/91	Single life annuity through a life insurance company through prior employer, Reed Smith LLP, beginning monthly on 01/01/2012.
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Virginia School of Law	01/06/2023 to 01/09/2023	Charlottesville, VA	Faculty	hotel, meals, mileage, tolls (41st Annual National Trial Advocacy College)
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Schwab, Arthur J.	03/20/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Qualcomm Inc Bond	A	Int./Div.			Sold	01/30/23	K	A	
2.	Keurig Bond	A	Int./Div.			Sold	12/15/23	K	A	
3.	EBay Inc Bond	A	Int./Div.			Sold	01/30/23	K	A	
4.	Goldman Sachs Bond	A	Int./Div.			Sold	06/15/23	K	A	
5.	Wells Fargo Bond	A	Int./Div.			Sold	02/13/23	K	A	
6.	Transcanada Pipeline Bond	A	Int./Div.			Sold	10/16/23	K	A	
7.	Express Script Bond	A	Int./Div.	J	T					
8.	JP Morgan Bond	A	Int./Div.			Sold	05/01/23	K	A	
9.	Capital One Fincl. Bond	A	Int./Div.			Sold	06/16/23	K	A	
10.	Morgan Stanley Bond	A	Int./Div.			Sold	05/23/23	K	A	
11.	Altaria Group Bond	A	Int./Div.	J	T					
12.	Thomas Reuters Corp Note	A	Int./Div.	K	T					
13.	Raytheon Technologies Bond	A	Int./Div.	K	T					
14.	Bank of America Bond	A	Int./Div.	K	T					
15.	Lowes Bond	A	Int./Div.	K	T					
16.	Bio Gen Bond	A	Int./Div.	K	T					
17.	Ryder Systems Bond	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Southwest Bond	B	Int./Div.	K	T					
19. Citi Group Bond	A	Int./Div.	K	T					
20. TransOcean Stocks	A	Int./Div.	K	T	Sold (part)	02/06/23	J	C	
21.	A	Int./Div.	K	T	Sold (part)	02/07/23	J	C	
22.	A	Int./Div.	K	T	Sold (part)	02/08/23	J	C	
23. NVIDIA Stock	A	Int./Div.			Sold	02/06/23	J	C	
24. First Solar Stock	A	Int./Div.			Sold	02/15/23	K	C	
25. Tesla Stock	A	Int./Div.	K	T					
26.	A	Int./Div.	K	T	Buy (add'l)	04/20/23	J		
27. Aurora Stock	A	Int./Div.	J	T					
28.	A	Int./Div.	J	T	Buy (add'l)	03/17/23	J		
29.	A	Int./Div.	J	T	Buy (add'l)	03/20/23	J		
30. CCL Stock	A	Int./Div.	J	T					
31. Paramount Global Note	A	Int./Div.	K	T	Buy	02/03/23	K		
32. Capitol One Note	A	Int./Div.	K	T	Buy	02/03/23	K		
33. Ingersol Rand Note	A	Int./Div.	K	T	Buy	02/14/23	K		
34. Life Storage Note	A	Int./Div.	K	T	Buy	02/14/23	K		

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35. WABTEC Note	A	Int./Div.	K	T	Buy	05/24/23	K		
36. Omega Healthcare Note	A	Int./Div.	K	T	Buy	06/20/23	K		
37. Pacific Gas & Electric Note	B	Int./Div.	K	T	Buy	06/20/23	K		
38. MPLX LP Note	A	Int./Div.	K	T	Buy	10/16/23	K		
39. Blue Owl Note	B	Int./Div.	K	T	Buy	12/15/23	K		
40. INVESTMENT ACCOUNT NO. 1 (H)									
41. Hewlett Packard Note	B	Int./Div.			Sold	10/02/23	K		
42. Monsanto Note	A	Int./Div.	K	T					
43. Owen Corning Note	B	Int./Div.	K	T					
44. Oracle Corp Note	A	Int./Div.	K	T					
45. Kinder Morgan Note	B	Int./Div.	K	T					
46. Worthington Ind Note	A	Int./Div.			Sold	07/28/23	K		
47. HF Sinclair Note KT	B	Int./Div.	K	T	Buy	07/28/23	K		
48. INVESTMENT ACCOUNT NO. 2 (H)									
49. -Etherum	A	Int./Div.	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Arthur J. Schwab

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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