AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Seeborg, Richard G.	U.S. District Court N.D. Cal.	09/19/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States Courthouse 450 Golden Gate Avenue San Francisco, CA 94102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	[redacted] Trust (established 2017 for estate purposes)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Matthew Bender & Co., Inc. (Book Royalties)	$1,581.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Seeborg, Richard G.	09/19/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Morgan Stanley Asset Fund (Money Market)	A	Interest	N	T					
2.	Templeton World Fund - Class I	A	Dividend	K	T					
3.	Templeton Developing Market Trust Class A	A	Dividend	K	T					
4.	Vanguard 500 Index Fund	C	Dividend	N	T					
5.	Vanguard Growth Index Fund	A	Dividend	L	T					
6.	Vanguard International Growth Fund	A	Dividend	L	T					
7.	Vanguard U.S. Growth Fund	A	Dividend	L	T					
8.	Wells Fargo Checking Account	A	Interest	K	T					
9.	Emerson Electric	A	Dividend			Sold	02/16/22	K		
10.	JP Morgan Chase	A	Dividend			Sold	02/16/22	K		
11.	DXC Technology Co.	A	Dividend			Sold	02/16/22	J		
12.	Intel Corp	B	Dividend	L	T					
13.	Diversified Dividend A Fund	A	Dividend	K	T					
14.	Virtus	B	Dividend	K	T					
15.	Mortgage Stanley Strategist Fund A	A	Dividend	K	T					
16.	BST Hospitality LLC	A	Distribution	M	U					
17.	Invesco Asia Pacific Growth Fund C	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. Invesco European Growth Fund C	B	Dividend	L	T					
19. John Hancock International Growth Fund A	A	Dividend	M	T					
20. MFS International Value Fund A	A	Dividend	M	T					
21. SPDR S&P Dividend	D	Dividend	N	T					
22. Vanguard TTL STK MKT ETF	C	Dividend	N	T					
23. Orange County Wastewater	A	Interest	K	T					
24. Sacramento County Sanitation District	A	Interest	L	T					
25. Walnut Creek School District	A	Interest			Redeemed	09/01/22	L		
26. American Balanced A Fund	A	Dividend	L	T					
27. American Mutual A Fund	A	Dividend	L	T					
28. American New Perspective A	A	Dividend	M	T					
29. American WA Mutual A	A	Dividend	L	T					
30. San Bernadino Community College Bond	A	Interest			Redeemed	08/01/22	L		
31. Los Angeles Municipal Improv. Bond	A	Interest	L	T					
32. PIMCO Long Duration Fund	A	Dividend			Sold	07/15/22	L		
33. American Global Insight Fund	A	Dividend			Sold	07/15/22	L		
34. American Inflation Linked Fund	A	Dividend	L	T					

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35. CA State Various Purpose Bond	A	Interest	L	T	Buy	04/12/22	L		
36. CA State Various Purpose Bond	A	Interest	J	T	Buy	04/12/22	J		
37. Orange County Sanitation Wastewater Bond	A	Interest	M	T	Buy	04/12/22	M		
38. PIMCO Mortgage Opport. Fund	A	Interest	L	T	Buy	07/15/22	L		
39. American Short Term Bond A	A	Interest	M	T	Buy	07/15/22	M		
40. State of California Various Purpose General Obligation Bond (CA)	A	Interest	K	T	Buy	10/03/22	K		
41. University of CA Revenue Bond	A	Interest	K	T	Buy	10/03/22	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Alianz Nacm International Fund (line 15 in Part VII (Investments and Trusts) on the 2021 disclosure) was sold in 2020, but inadvertently left off the 2021 disclosure. The record of sale is missing.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard G. Seeborg

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544