AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Seibel, Cathy	U.S. District Court --S.D.N.Y.	05/08/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge -- active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Charles L. Brieant U.S. Courthouse 300 Quarropas St., Rm. 633 White Plains, NY 10601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	board member emeritus	Fordham Law Alumni Association
2.	Adjunct Professor of Law (part-time trial practice instructor)	Columbia University School of Law
3.	Trustee	Trust #1
4.	Trustee	Trust #2
5.	Trustee	Trust #3
6.	Trustee	Trust #4

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2023	$10,000 compensation for teaching Trial Practice course at Columbia University School of Law January - April 2024
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Columbia University -- payment for teaching	$10,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	New York University -- salary as faculty member and physician
2.	3/16/23	Johns Hopkins University Press -- book royalties
3.	4/20/23	The Ohio State University -- payment for speaking
4.	10/24/23	Beacon Press -- book royalties
5.	9/14/23	Memorial Sloan Kettering Cancer Center -- payment for speaking
6.	3/23/23	Johns Hopkins University Press -- book royalties

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					

5.

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Federico E. Virella, Jr.	3 Mets tickets + parking ($619) 6/16/23 and 3 Mets tickets + parking ($793) 7/14/23	$1,067.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Seibel, Cathy	05/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	AT&T common stock	A	Dividend	J	T					
2.	AT&T common stock	A	Dividend	J	T					
3.	Warner Bros. Discovery common stock		None	J	T					
4.	Warner Bros. Discovery common stock		None	J	T					
5.	Comcast common stock	A	Dividend	J	T					
6.	Comcast common stock	A	Dividend	J	T					
7.	Trust #1 (H)									
8.	- American Century Heritage Fund	A	Distribution	L	T					
9.	- AMG Boston Common Global Impact Fund	A	Dividend	L	T					
10.	- PGIM Global Total Return Fund	A	Dividend	J	T					
11.	- BNY Mellon NY Tax-Exempt Bond Fund	A	Dividend	K	T					
12.	- Enbridge Energy Partners LP common stock	A	Dividend	J	T					
13.	- Fidelity NY Muni Income Fund	B	Dividend	K	T					
14.	- Janus Fund	D	Distribution	N	T					
15.	- John Hancock Patriot Premium Div Fund I common stock	A	Dividend	J	T					
16.	- Fidelity Government Money Market Account	C	Interest	L	T					
17.	- Fidelity Money Market Premium Class Account	E	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Seibel, Cathy	05/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. - Victory RS Growth Fund	A	Distribution	M	T					
19. - Virtus Total Income Fund	A	Dividend	J	T					
20. Trust #2 (H)									
21. - American Century Heritage Fund	A	Distribution	L	T					
22. - AMG Boston Common Global Impact Fund	A	Dividend	L	T					
23. - PGIM Global Total Return Fund	A	Dividend	J	T					
24. - BNY Mellon NY Tex-Exempt Bond Fund	A	Dividend	K	T					
25. - Enbridge Energy Partnes LP common stock	A	Dividend	J	T					
26. - Fidelity NY Muni Income Fund	B	Dividend	K	T					
27. - Janus Fund	D	Distribution	N	T					
28. - John Hancock Patriot Premium Div Fund I common stock	A	Dividend	J	T					
29. - Fidelity Government Money Market Account	B	Interest	L	T					
30. - Fidelity Money Market Premium Class Account	D	Interest	M	T					
31. Victory RS Growth Fund	A	Distribution	M	T					
32. Virtus Total Income Fund	A	Dividend	J	T					
33. Investment Account #2 (IRA) (H)									
34. - Fidelity Magellan Fund	D	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. - Fidelity Capital Appreciation Fund	C	Distribution	L	T					
36. - Fidelity Freedom 2025	E	Dividend	P1	T					
37. - T. Row Price Blue Chip Growth Fund	C	Dividend	L	T					
38. Investment Account #3 (retirement annuity) (H)									
39. - Vanguard Infl'n-Prot'd Sec Ins (403(b))	D	Dividend	M	T					
40. - Vanguard Total Bond Mkt Idx Ins Plus (403(b))	C	Dividend	M	T					
41. - Vanguard Tgt Ret 2025 Fund Investor (403(b))	D	Dividend	N	T					
42. - Vanguard US Growth Adm (457(b))	A	Distribution	L	T					
43. - Vanguard Total Bond Mkt Idx Ins Plus (457(b))	C	Dividend	L	T					
44. - Vanguard High Yield Corp Adm (457(b))	C	Dividend	L	T					
45. - Vanguard Tgt Retire 2025 Fund Investor	B	Dividend	K	T					
46. - TIAA/CREF Lifecycle Index 2025 Prem. Fund (retiree med. acct. plan)	B	Dividend	L	T					
47. Investment Account #5 (IRA) (H)									
48. - T. Rowe Price Blue Chip Growth Fund IRA	C	Dividend	L	T					
49. JP Morgan Chase cash account		None	K	T					
50. Trust #3 (H)									
51. -Fidelity Gov't Money Market Account	A	Interest	K	T	Open	03/20/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. Trust #4 (H)									
53. -Fidelity Gov't Money Market Account	A	Interest	K	T	Open	03/20/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, Lines 50-53: Trust #3 and Trust #4 were etablished in 2022 but did not have assets during 2022. The first assets were transferred in on 3/20/23.

Section VII: Investment Account #1 no longer appears because the assets in that account were transferred into Trust #1 and Trust #2 during 2022.

Section VII: Investment Account #4 no longer appear because the assets in that account were transferred into Trust #1 during 2022.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cathy Seibel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544