Annual, 2025

Financial Disclosure Report (AO Form 10)

Filer's Information
Shea, Edward F.
U.S. District Court - Washington Eastern
Senior District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Shea, Edward F. [electronically signed on Aug 27, 2026 5:36pm by Shea, Edward F. in JEFS]
/s/ Shea, Edward F. [electronically signed on Jul 10, 2026 5:14pm by Shea, Edward F. in JEFS]

I. Positions

	ORGANIZATION/ENTITY NAME	ORGANIZATION/ENTITY TYPE	POSITION HELD
1.	[redacted]	Corporation	Officer

Additional Explanation: No reportable assets.

II. Agreements

I do not have any Agreements to report
No entries in this section

III A. Filer Non-Investment Income

I do not have any Filer Non-Investment Income to report
No entries in this section

III B. Spouse Non-Investment Income

I do not have any Spouse Non-Investment Income to report
No entries in this section

IV. Reimbursements

I do not have any Reimbursements to report
No entries in this section

V. Gifts

I do not have any Gifts to report
No entries in this section

VI. Liabilities

	CREDITOR NAME	TYPE OF LIABILITY	VALUE
1.	VISA	Other: Credit card	$15,000 or less

VII. Investments and Trusts

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1.	**AAPL, Apple Inc.**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 17, 2025	$1,000 - $15,000

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
2.	**ABBV**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 21, 2025	$1,000 - $15,000

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
3.	**ACN**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 21, 2025	$15,000 or less

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
4.	**ADBE**		None	$15,000 or less	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
5.	**ADI, ANALOG DEVICES INC**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 21, 2025	$15,000 or less

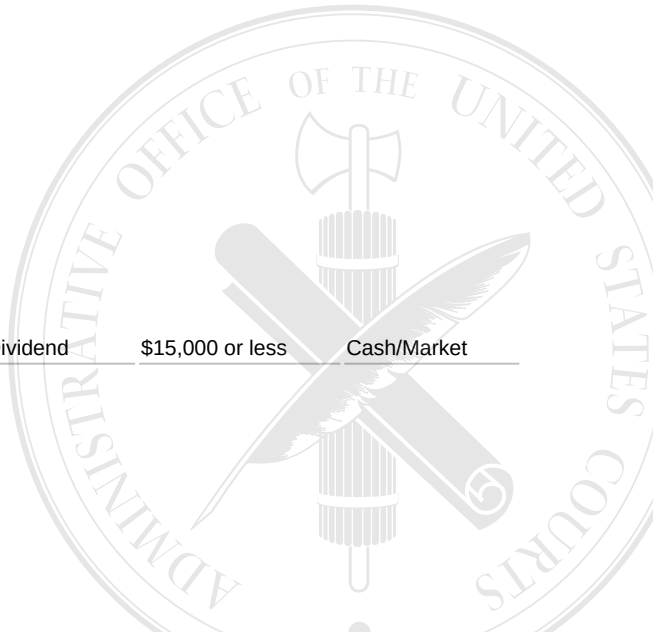
	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
6.	**AEP, AMERICAN ELECTRIC POWER CO INC**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
7.	**AGNC**	$1,001 - $2,500	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Apr 16, 2025	$1,000 - $15,000
(2).	Buy	May 5, 2025	$15,000 or less
(3).	Buy (add'l)	Jun 2, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Jul 1, 2025	$1,000 - $15,000
(5).	Buy (add'l)	Sep 16, 2025	$15,000 or less
(6).	Buy (add'l)	Nov 7, 2025	$15,000 or less
(7).	Buy (add'l)	Nov 24, 2025	$15,000 or less
(8).	Buy (add'l)	Nov 26, 2025	$15,000 or less

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
8.	**AMD**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 8, 2025	$1,000 - $15,000



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
9.	**Am Ex Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market
10.	**AMGN**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 6, 2025	$1,000 - $15,000

11.	**AMT**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Apr 2, 2025	$15,000 or less
(2).	Sold	Jun 12, 2025	$15,000 or less

12.	**AMZN**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
13.	**APH**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jun 25, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Jul 1, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Jul 17, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Aug 8, 2025	$1,000 - $15,000
(5).	Buy (add'l)	Aug 18, 2025	$1,000 - $15,000

14.	**Apple Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market
15.	**ARCC, ARES CAPITAL CORP**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
16.	**Art**		None	$15,001 - $50,000	Estimated
17.	**ASML**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 12, 2025	$15,000 or less

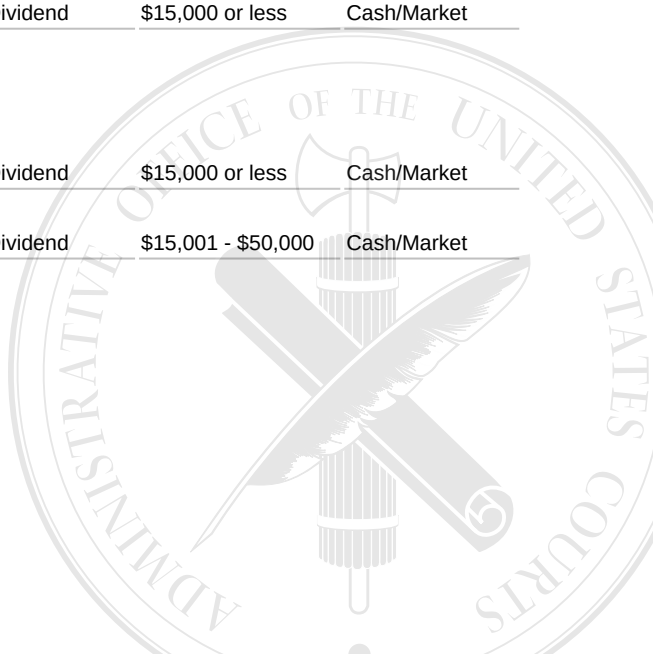
18.	**ATT**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Apr 2, 2025	$1,000 - $15,000

19.	**Auto Zone Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market
20.	**AVGO**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Mar 6, 2025	$1,000 - $15,000

21.	**AWK**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
22.	**BA**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 2, 2025	$15,000 or less
(2).	Buy (add'l)	Jul 17, 2025	$15,000 or less
(3).	Sold (part)	Oct 9, 2025	$15,000 or less
(4).	Buy (add'l)	Oct 29, 2025	$15,000 or less
(5).	Buy (add'l)	Oct 30, 2025	$15,000 or less
(6).	Buy (add'l)	Nov 24, 2025	$15,000 or less
(7).	Buy (add'l)	Nov 26, 2025	$15,000 or less

23.	**BAC**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
24.	**BAM**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 15, 2025	$1,000 - $15,000

25.	**Bank NY Mellon**		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 13, 2025	$15,000 or less

26.	**BANK OF AMERICA SAVINGS ACCOUNT**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
27.	**BBY, BEST BUY CO INC**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 1, 2025	$15,000 or less

28.	**Berk. Hath. Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 17, 2025	$1,000 - $15,000

29.	**BST**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 31, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Feb 12, 2025	$15,000 or less
(3).	Buy (add'l)	Apr 3, 2025	$1,000 - $15,000

30.	**BUD, Anheuser-Busch InBev SA/NV**	$1,000 or less	Dividend		

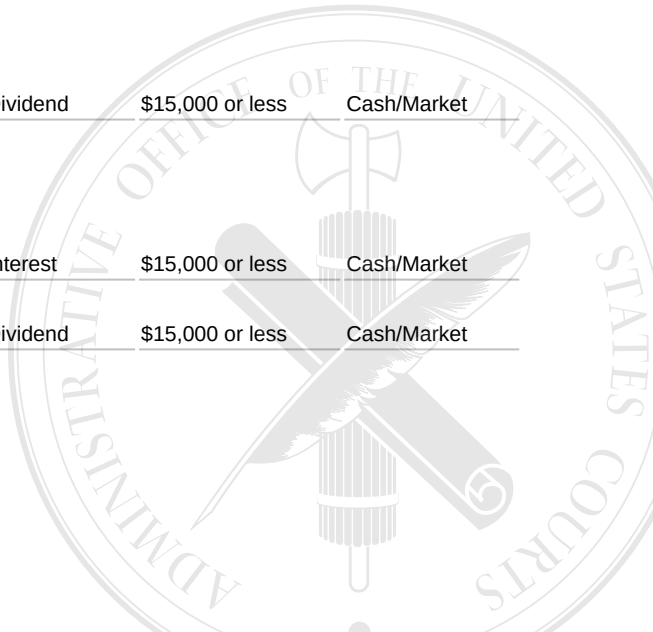
Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 10, 2025	$15,000 or less

31.	**BX**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 21, 2025	$15,000 or less

32.	**Caterpillar Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market
33.	**C, CITIGROUP INC**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 8, 2025	$1,000 - $15,000



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
34.	**CFG**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
35.	**CHRS**		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 20, 2025	$1,000 - $15,000

36.	**CINF, CINCINNATI FINANCIAL CORP**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 1, 2025	$15,000 or less
(2).	Sold	Jul 15, 2025	$1,000 - $15,000

37.	**Cintas**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 15, 2025	$1,000 - $15,000

38.	**Citigroup Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market
39.	**CLF**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 6, 2025	$15,000 or less
(2).	Sold	Mar 10, 2025	$1,000 - $15,000

40.	**CME Grp**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 1, 2025	$1,000 - $15,000

41.	**COF**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
42.	**Coins**		None	$15,000 or less	Cash/Market
43.	**Conoco Phillips**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 17, 2025	$1,000 - $15,000

44.	**COSTCO**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 1, 2025	$1,000 - $15,000

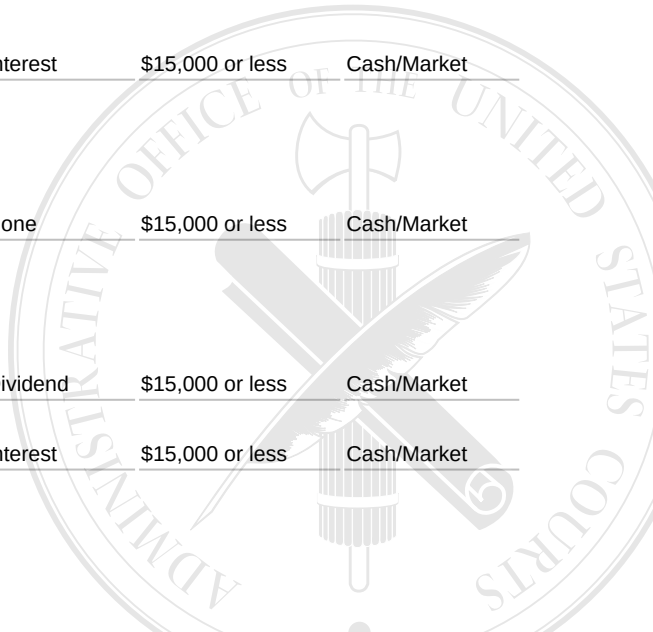
45.	**Costco Note, COKAPO**	$1,000 or less	Interest	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 3, 2025	$15,000 or less

46.	**CRWD**		None	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Aug 28, 2025	$1,000 - $15,000

47.	**CSX**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
48.	**CVS Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
49.	**CVX**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
50.	**D, DOMINION ENERGY, INC**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jan 6, 2025	$15,000 or less

51.	**DIS**		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 6, 2025	$1,000 - $15,000

52.	**DTE Energy**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Apr 2, 2025	$15,000 or less
(2).	Sold	Jul 1, 2025	$1,000 - $15,000

53.	**Duke Energy**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 1, 2025	$1,000 - $15,000

54.	**ED**		None	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 21, 2025	$15,000 or less

55.	**Edison Intl**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 1, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Jul 17, 2025	$15,000 or less

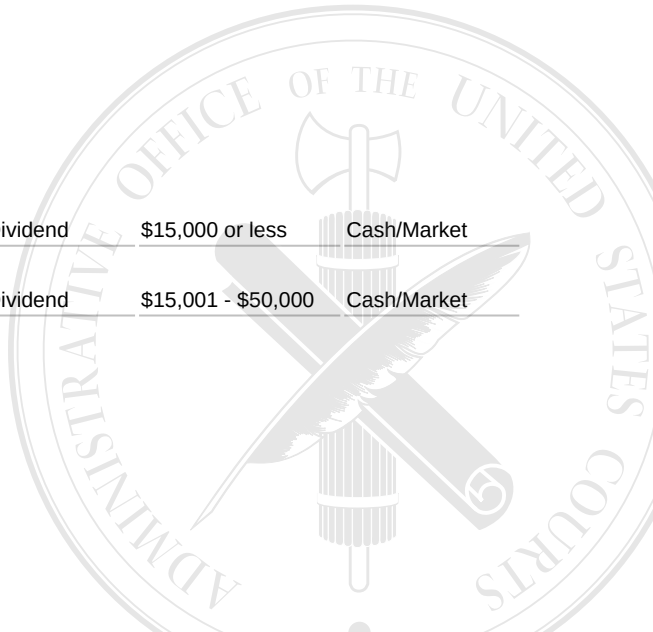
56.	**EL**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jun 11, 2025	$15,000 or less
(2).	Buy (add'l)	Jul 1, 2025	$15,000 or less
(3).	Buy (add'l)	Jul 10, 2025	$15,000 or less

57.	**ELF**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 7, 2025	$1,000 - $15,000
(2).	Sold (part)	Jul 10, 2025	$1,000 - $15,000
(3).	Sold (part)	Jul 11, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Jul 17, 2025	$1,000 - $15,000
(5).	Sold	Aug 6, 2025	$1,000 - $15,000

58.	**EOG**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
59.	**EPD**	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jan 3, 2025	$1,000 - $15,000
(2).	Sold (part)	Jul 1, 2025	$1,000 - $15,000
(3).	Sold (part)	Jul 8, 2025	$1,000 - $15,000
(4).	Sold (part)	Sep 29, 2025	$15,000 or less
(5).	Sold (part)	Oct 10, 2025	$15,000 or less

60.	**EQIX**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 8, 2025	$1,000 - $15,000

61.	**ES, EVERSOURCE ENERGY**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jul 1, 2025	$1,000 - $15,000

62.	**ETR**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Apr 2, 2025	$15,000 or less
(2).	Sold (part)	Jul 1, 2025	$1,000 - $15,000
(3).	Sold	Oct 13, 2025	$15,000 or less

63.	**EXC**		None	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 1, 2025	$1,000 - $15,000

64.	**FANG**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Feb 20, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Apr 3, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Dec 1, 2025	$1,000 - $15,000

65.	**FDIC Insured Cash, AssetMark**	$1,000 or less	Interest	$15,000 or less	Cash/Market

Additional Explanation: This was opened in July 2020 when the Pershing Accounts were closed. It was inadvertently omitted from past reports. See Part VIII.

66.	**FE**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
67.	**F, FORD MOTOR CO**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

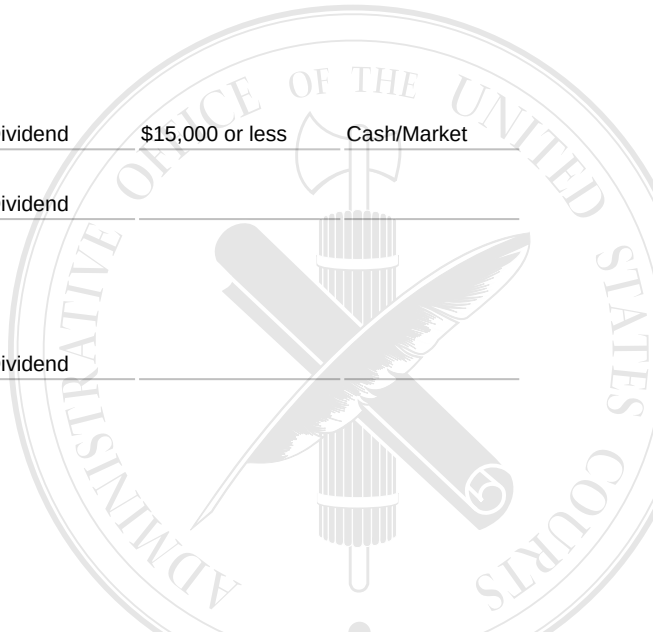
Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jul 1, 2025	$15,000 or less

68.	**FIHBX**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
69.	**FITB**	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Sep 24, 2025	$15,000 or less

70.	**FMC Corp**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 1, 2025	$1,000 - $15,000
(2).	Sold	Nov 13, 2025	$15,000 or less



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
71.	**FNF**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
72.	**GESA Credit Union**	$1,000 or less	Interest	$15,000 or less	Cash/Market
73.	**GIS, GENERAL MILLS INC**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jul 1, 2025	$1,000 - $15,000

74.	**GLP**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jan 31, 2025	$1,000 - $15,000
(2).	Sold (part)	Sep 16, 2025	$15,000 or less
(3).	Sold (part)	Oct 1, 2025	$15,000 or less

75.	**GOOGL**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 5, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Mar 28, 2025	$15,000 or less
(3).	Buy (add'l)	Apr 3, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Jun 20, 2025	$15,000 or less

76.	**GPC**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 12, 2025	$1,000 - $15,000

77.	**HCA**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 8, 2025	$1,000 - $15,000

78.	**HD**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
79.	**HOOD**	$2,501 - $5,000	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 23, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Jul 30, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Aug 4, 2025	$1,000 - $15,000
(4).	Sold	Nov 18, 2025	$15,001 - $50,000

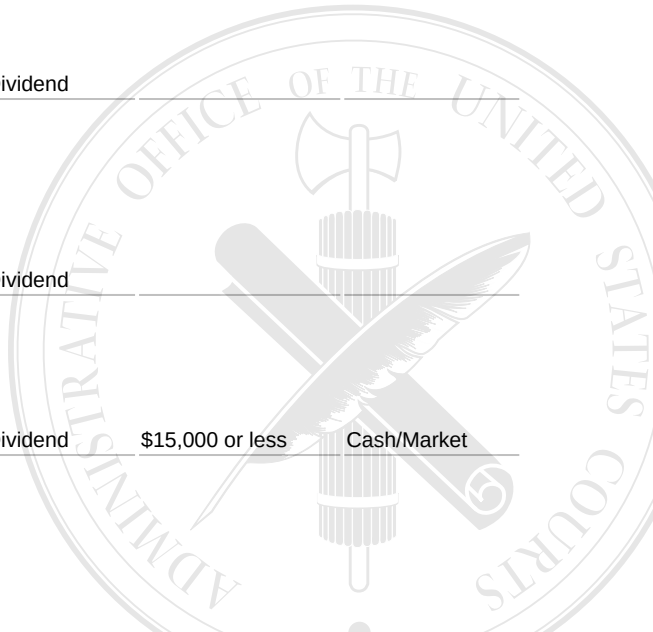
80.	**HP INC**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jul 1, 2025	$15,000 or less
(2).	Sold	Oct 21, 2025	$15,000 or less

81.	**HRB, H&R BLOCK INC**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 15, 2025	$1,000 - $15,000

82.	**IBM**	$1,000 or less	Dividend	$15,000 or less	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 1, 2025	$1,000 - $15,000
(2).	Sold (part)	Jul 8, 2025	$15,000 or less

83. **INTC, INTEL CORP** — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 17, 2025	$1,000 - $15,000

84. **IPGP, IPG PHOTONICS CORP** — $1,000 or less — Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Exchange	Dec 1, 2025	$15,000 or less

Additional Explanation: IPG Merged with OMC on 12/1/25.

85. **ISRG, INTUITIVE SURGICAL INC** — None — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 1, 2025	$15,000 or less

86. **JP Morgan EM Markets** — $1,000 or less — Dividend — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 17, 2025	$1,000 - $15,000

87. **KLAC** — $1,000 or less — Dividend — $15,000 or less — Cash/Market

88. **KMB** — $1,000 or less — Dividend — $15,000 or less — Cash/Market

89. **KO** — $1,000 or less — Dividend — $15,000 or less — Cash/Market

90. **KO, COCA COLA CO** — $1,000 or less — Dividend — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 1, 2025	$1,000 - $15,000
(2).	Sold (part)	Jul 17, 2025	$15,000 or less

91. **LAZ** — $1,000 or less — Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 26, 2025	$1,000 - $15,000

92. **LIN** — $1,000 or less — Dividend — $15,000 or less — Cash/Market

93. **LLY** — None — $15,000 or less — Cash/Market

94. **LMT** — $1,000 or less — Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 13, 2025	$15,000 or less

95. **Lowes** — $1,000 or less — Dividend — $15,000 or less — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 17, 2025	$1,000 - $15,000

96. **LYB** — $1,000 or less — Dividend

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	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jun 6, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Jun 11, 2025	$1,000 - $15,000
(3).	Sold	Jun 12, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Jul 8, 2025	$1,000 - $15,000
(5).	Sold (part)	Aug 4, 2025	$1,000 - $15,000
(6).	Sold	Aug 6, 2025	$15,000 or less

97.	**MA**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 1, 2025	$15,000 or less

98.	**MAR**		None	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 21, 2025	$15,000 or less

99.	**MCD**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
100.	**MDLZ**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 8, 2025	$1,000 - $15,000

101.	**MDT, Medtronic plc**		None	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 21, 2025	$15,000 or less

102.	**MERCK Bond**	$1,000 or less	Interest	$15,000 or less	Cash/Market
103.	**MET**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 17, 2025	$1,000 - $15,000

104.	**META**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
105.	**MMC**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
106.	**MMM, 3M CO**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

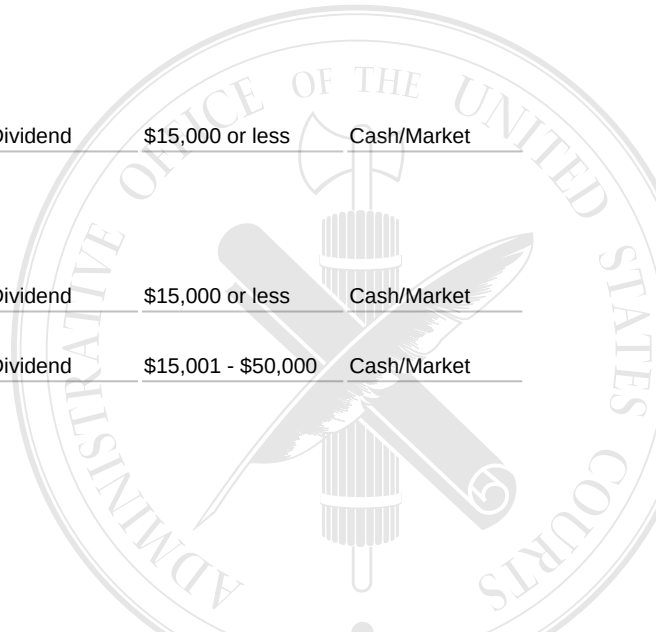
Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 17, 2025	$15,000 or less

107.	**MO**	$1,001 - $2,500	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Oct 21, 2025	$15,000 or less

108.	**MRK**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
109.	**MSFT**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 1, 2025	$1,000 - $15,000



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
110.	**NEE**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
111.	**NI**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 1, 2025	$15,000 or less
(2).	Sold	Oct 21, 2025	$15,000 or less

112.	**NIKE**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 8, 2025	$1,000 - $15,000

113.	**NLY**	$2,501 - $5,000	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Apr 2, 2025	$15,000 or less
(2).	Buy (add'l)	Jun 2, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Jul 1, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Sep 16, 2025	$15,000 or less
(5).	Buy (add'l)	Nov 7, 2025	$1,000 - $15,000
(6).	Buy (add'l)	Nov 24, 2025	$1,000 - $15,000

114.	**NOC**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 21, 2025	$15,000 or less

115.	**Northern Trust Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market
116.	**Northwestern Mutual Life Insurance - Whole Life Insurance**	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
117.	**Northwestern Mutual Life Insurance - Whole Life Insurance**	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
118.	**Northwestern Mutual Life Insurance - Whole Life Insurance**	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
119.	**NVDA**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Apr 4, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Apr 21, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Jun 26, 2025	$1,000 - $15,000

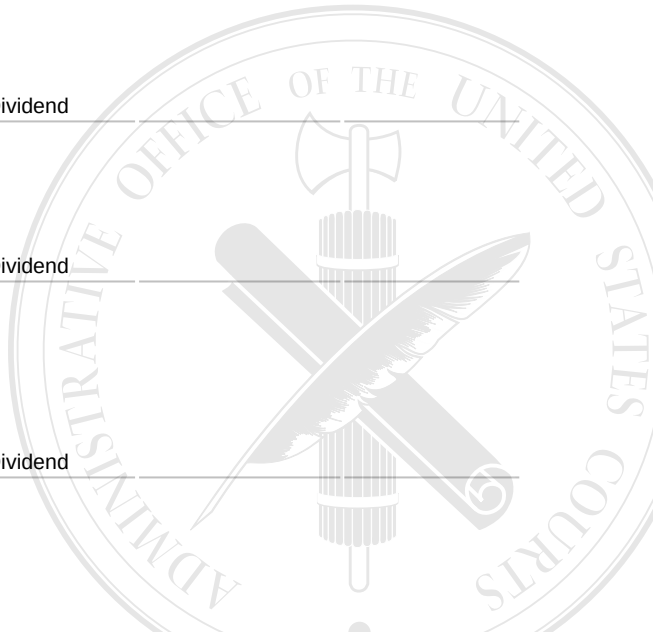
120.	**NVO**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 12, 2025	$1,000 - $15,000

121.	**NXP Semi**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Feb 24, 2025	$15,000 or less
(2).	Sold	Jul 8, 2025	$1,000 - $15,000

122.	**OBDC**	$1,000 or less	Dividend		



DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Apr 2, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Jun 2, 2025	$15,000 or less
(3).	Sold	Oct 1, 2025	$15,000 or less

123. **OKE, ONEOK INC /NEW/** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

124. **OMC, OMNICOM GROUP INC.** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

Additional Explanation: IPG merged with OMC on 12/1/25

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Exchange	Dec 1, 2025	$15,000 or less

125. **OMF, OneMain Holdings, Inc.** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 15, 2025	$1,000 - $15,000
(2).	Buy	Oct 21, 2025	$15,000 or less

126. **PANW** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 8, 2025	$1,000 - $15,000

127. **Parker Hannifin** — $1,000 or less | Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 5, 2025	$15,000 or less
(2).	Sold	Jul 8, 2025	$1,000 - $15,000

128. **PDI** — $2,501 - $5,000 | Dividend | $15,001 - $50,000 | Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Apr 4, 2025	$1,000 - $15,000

129. **PEG** — $1,000 or less | Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Sep 3, 2025	$15,000 or less

130. **PEP** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

131. **PFE** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

132. **PFG** — $1,000 or less | Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 26, 2025	$1,000 - $15,000

133. **Pfizer Note** — $1,000 or less | Interest | $15,000 or less | Cash/Market

134. **PG** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

135. **Pimco PIMIX** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 17, 2025	$1,000 - $15,000

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
136.	**PLTR**		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Feb 20, 2025	$15,001 - $50,000

137.	**PM, Philip Morris International Inc.**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Apr 2, 2025	$1,000 - $15,000
(2).	Sold (part)	Jul 1, 2025	$15,000 or less

138.	**PRU**	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jul 17, 2025	$1,000 - $15,000

139.	**PSX**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 1, 2025	$1,000 - $15,000

140.	**PWR, QUANTA SERVICES, INC.**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 1, 2025	$1,000 - $15,000

141.	**QCOM, QUALCOMM INC/DE**		None	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 21, 2025	$15,000 or less

142.	**RF**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

143.	**RGTI**	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 2, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Jan 14, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Sep 18, 2025	$15,000 or less
(4).	Sold (part)	Oct 16, 2025	$15,000 or less
(5).	Sold	Oct 22, 2025	$15,000 or less

144.	**RIMOX**	$5,001 - $15,000	Dividend	$15,001 - $50,000	Cash/Market

145.	**RKT**		None	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 4, 2025	$15,000 or less
(2).	Buy	Aug 4, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Aug 13, 2025	$15,000 or less
(4).	Buy (add'l)	Sep 10, 2025	$15,000 or less

146.	**Roper**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 8, 2025	$1,000 - $15,000

147.	**ROST**	$1,000 or less	Dividend		

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	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 21, 2025	$1,000 - $15,000
(2).	Sold	Nov 19, 2025	$15,000 or less

148.	**Schwab Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market
149.	**SCLX**	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 23, 2025	$15,000 or less
(2).	Buy (add'l)	Jun 4, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Jul 17, 2025	$15,000 or less
(4).	Buy (add'l)	Jul 24, 2025	$1,000 - $15,000
(5).	Buy (add'l)	Jul 28, 2025	$15,000 or less
(6).	Sold (part)	Jul 30, 2025	$1,000 - $15,000
(7).	Sold	Oct 2, 2025	$15,000 or less

150.	**SHAK**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Feb 20, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Feb 21, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Feb 27, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Jun 16, 2025	$1,000 - $15,000
(5).	Sold (part)	Aug 18, 2025	$1,000 - $15,000
(6).	Sold	Sep 24, 2025	$15,000 or less

151.	**SLB**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
152.	**SON**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 1, 2025	$1,000 - $15,000

153.	**SOND**	$2,501 - $5,000	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jan 6, 2025	$1,000 - $15,000
(2).	Sold	Jan 8, 2025	$1,000 - $15,000

154.	**South Boston Savings Bank Life Ins - Whole Life Insurance**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
155.	**Southern Co**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 8, 2025	$1,000 - $15,000

156.	**SPG**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
157.	**SPGI**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 8, 2025	$15,000 or less

158.	**SRE, SEMPRA**	$1,000 or less	Dividend		

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 8, 2025	$1,000 - $15,000

159.	**STX, Seagate Technology Holdings plc**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 1, 2025	$1,000 - $15,000

160.	**TFC, TRUIST FINANCIAL CORP**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jul 1, 2025	$15,000 or less
(2).	Buy (add'l)	Jul 17, 2025	$1,000 - $15,000

161.	**TGT**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 1, 2025	$1,000 - $15,000

162.	**TJX**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
163.	**TMO**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
164.	**T Mobile Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 2, 2025	$1,000 - $15,000

165.	**TMUS**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
166.	**TSHA**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Dec 16, 2025	$1,000 - $15,000

167.	**TSLA**	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jun 6, 2025	$1,000 - $15,000
(2).	Sold (part)	Jun 25, 2025	$1,000 - $15,000

168.	**TWLO, TWILIO INC**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Aug 21, 2025	$1,000 - $15,000

169.	**UBER**	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 2, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Jan 5, 2025	$1,000 - $15,000
(3).	Buy (add'l)	Jan 13, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Jan 27, 2025	$15,000 or less
(5).	Buy (add'l)	Jul 30, 2025	$1,000 - $15,000

170.	**UGI, UGI CORP /PA/**		None	$15,000 or less	Cash/Market

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	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 21, 2025	$15,000 or less

171. **UNCY** — None

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 20, 2025	$1,000 - $15,000

172. **UNH** — $1,000 or less | Dividend | $15,001 - $50,000 | Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 21, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Jul 24, 2025	$15,000 or less
(3).	Buy (add'l)	Aug 4, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Aug 12, 2025	$1,000 - $15,000
(5).	Buy (add'l)	Aug 13, 2025	$1,000 - $15,000
(6).	Buy (add'l)	Sep 18, 2025	$15,000 or less

173. **UNM** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

174. **UNUM** — None

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 15, 2025	$1,000 - $15,000

175. **UPS** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 31, 2025	$1,000 - $15,000
(2).	Buy (add'l)	Apr 8, 2025	$15,000 or less
(3).	Buy (add'l)	May 21, 2025	$1,000 - $15,000
(4).	Buy (add'l)	Jun 3, 2025	$1,000 - $15,000
(5).	Buy (add'l)	Jul 17, 2025	$1,000 - $15,000
(6).	Buy (add'l)	Jul 21, 2025	$1,000 - $15,000

176. **Us Bank** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jul 17, 2025	$1,000 - $15,000

177. **US Bankcorp Note** — $1,000 or less | Interest | $15,000 or less | Cash/Market

178. **Vanguard Health Care Fund, Admin Personal Acct.** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

179. **VB** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

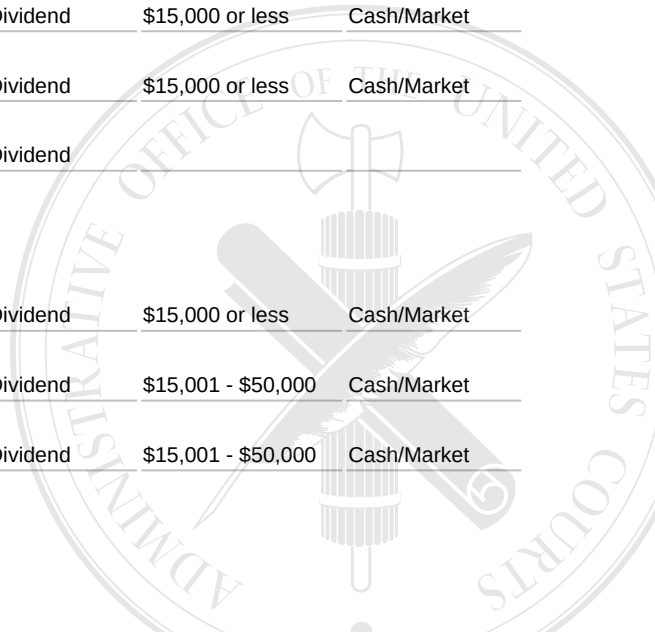
180. **Vertex Pharma** — $1,000 or less | Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Dec 18, 2025	$1,000 - $15,000

181. **VLO** — $1,000 or less | Dividend | $15,000 or less | Cash/Market

182. **VOE** — $1,000 or less | Dividend | $15,001 - $50,000 | Cash/Market

183. **VOT** — $1,000 or less | Dividend | $15,001 - $50,000 | Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
184.	**VZ**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
185.	**Watsco**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 15, 2025	$1,000 - $15,000

186.	**WBK (Westpac Bkg) Note**	$1,000 or less	Interest	$15,000 or less	Cash/Market
187.	**WEC**	$1,000 or less	Dividend	$15,000 or less	Cash/Market
188.	**WEN**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 25, 2025	$1,000 - $15,000

189.	**WFC**		None	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 1, 2025	$1,000 - $15,000

190.	**WHR**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 12, 2025	$15,000 or less
(2).	Buy	Oct 21, 2025	$15,000 or less
(3).	Sold	Nov 13, 2025	$15,000 or less

191.	**WMB, WILLIAMS COMPANIES, INC.**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 15, 2025	$1,000 - $15,000

192.	**WMT**	$1,000 or less	Interest	$15,000 or less	Cash/Market
193.	**WSO**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 25, 2025	$15,000 or less

194.	**WU, Western Union CO**		None	$15,000 or less	Cash/Market

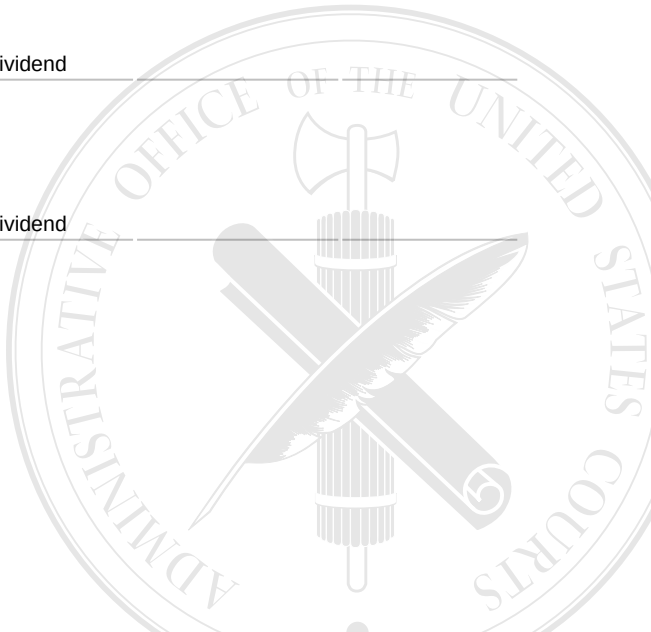
Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 21, 2025	$15,000 or less

195.	**XOM, EXXON MOBIL CORP**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 15, 2025	$1,000 - $15,000

196.	**Zoetis**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 15, 2025	$1,000 - $15,000



VIII. Additional Information or Explanations

	ADDITIONAL INFORMATION OR EXPLANATION
1.	CRM - Inadvertently on 2024 Fin. Discl. Rept. No such stock owned in 2025
2.	IP - Inadvertently on 2024 Fin. Discl. Rept. No such stock owned in 2025
3.	Pershing Government Account (DRFXX) and Pershing Cash were accounts that I had. In July of 2020 these accounts were closed and inadvertently reported in reports dating 2021 through 2024. AssetMark account was opened in July of 2020. These funds are now in FDIC Insured Cash through AssetMark which has been added to this report.
4.	IPG merged to the Omnicom Group stock
5.	FDIC Insured Funds, AssetMark. Under $1,000 income amount; Income type: Interest, Gross Value $15,000 or less, Method: Cash/Market for years 2020 through 2024.