AO 10
Rev. 1/2022

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Sheridan, Peter G. | U.S. District Court of New Jersey | 08/05/2022 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| District Court Judge Senior Status | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2021<br>**to**<br>12/31/2021 |
| **7. Chambers or Office Address** | | |
| Clarkson S. Fisher Jr. Courthouse<br>402 East State Street<br>Trenton, NJ 08608 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2021 | AIG Retirement FKA VALIC Retirement |
| 2. | 2021 | NJ Divisions of Pensions Retirement |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Sheridan, Peter G.** | 08/05/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 1. AIG Retirement Services Stock Index Fd FKA VALIC Retirement | F | Int./Div. | N | T | | | | | |
| 2. | | | | | | | | | |
| 3. AIG Retirement Service Fixed Plus FKA Value Retirement | D | Int./Div. | M | T | | | | | |
| 4. | | | | | | | | | |
| 5. Mercer County Teachers Union Acct | A | Interest | J | T | | | | | |
| 6. | | | | | | | | | |
| 7. Wells Fargo Bank Accts | A | Interest | M | T | | | | | |
| 8. | | | | | | | | | |
| 9. Bank of America Accts | A | Interest | K | T | | | | | |
| 10. | | | | | | | | | |
| 11. Eventide Gilead Class i | A | Dividend | J | T | | | | | |
| 12. | | | | | | | | | |
| 13. Fidelity Adv Intl Cap | A | Dividend | J | T | | | | | |
| 14. | | | | | | | | | |
| 15. First Eagle Global Fund CL I | B | Dividend | K | T | Buy (add'l) | 12/03/21 | J | | |
| 16. | | | | | Sold (part) | 12/31/21 | J | A | |
| 17. Global X Fund Shs US | A | Dividend | J | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Sheridan, Peter G.** | 08/05/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. | | | | | | | | | |
| 19. Guggenheim Total Return Bond Fd Instl Class | A | Dividend | J | T | Buy (add'l) | 12/31/21 | J | | |
| 20. | | | | | | | | | |
| 21. Guggenheim Floating Rate Strategies Fd Instl Cl | A | Dividend | J | T | Buy (add'l) | 12/31/21 | J | | |
| 22. | | | | | | | | | |
| 23. Invesco Developing Mrkt Fd CLY | A | Dividend | K | T | Buy (add'l) | 12/31/21 | J | | |
| 24. | | | | | | | | | |
| 25. Ishares Russell 1000 Growth | A | Dividend | K | T | | | | | |
| 26. | | | | | | | | | |
| 27. Ishares Russell 1000 Value | A | Dividend | K | T | | | | | |
| 28. | | | | | | | | | |
| 29. Ishares IBOXX$ Invt Grade Corp Bd | A | Dividend | J | T | | | | | |
| 30. | | | | | | | | | |
| 31. Ishares Inc Core MSCI Emerging Mkts ETF | A | Dividend | J | T | | | | | |
| 32. | | | | | | | | | |
| 33. JP Morgan Equity Income Fd | A | Dividend | K | T | Buy (add'l) | 12/31/21 | J | | |
| 34. | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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|---|---|---|---|---|---|---|---|---|---|
| 35. Loomis Sayles Global Allocation FD CL Y | B | Dividend | J | T | Buy (add'l) | 12/31/21 | J | | |
| 36. | | | | | | | | | |
| 37. Mainstay Mackay High Yield Bond FD CL I | A | Dividend | J | T | | | | | |
| 38. | | | | | | | | | |
| 39. Oakmark Equity & Income FD CL Adv | A | Dividend | J | T | Buy (add'l) | 12/31/21 | J | | |
| 40. | | | | | | | | | |
| 41. Pimco Income Fund CL 12 FKA CL P | A | Dividend | K | T | Sold (part) | 12/31/21 | J | A | |
| 42. | | | | | | | | | |
| 43. T Rowe Price Bluechip Growth Fd Inv CL | B | Dividend | K | T | Buy (add'l) | 12/31/21 | J | | |
| 44. | | | | | | | | | |
| 45. T Rowe Price Intl Discovery FD Inv CL | B | Dividend | J | T | | | | | |
| 46. | | | | | | | | | |
| 47. TCW Total Return Bond Fd CL I | A | Dividend | K | T | | | | | |
| 48. | | | | | | | | | |
| 49. Vanguard Small Cap | A | Dividend | J | T | | | | | |
| 50. | | | | | | | | | |
| 51. Vanguard Total Bond Market | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
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|---|---|---|---|---|---|---|---|---|---|
| 52. | | | | | | | | | |
| 53. Vanguard 500 Index Fund | A | Dividend | K | T | | | | | |
| 54. | | | | | | | | | |
| 55. Voya Intermediate Bond Fund CL I | A | Dividend | J | T | | | | | |
| 56. | | | | | | | | | |
| 57. Hartford Balanced Income Fd CL I | A | Dividend | | | Sold | 12/31/21 | J | A | |
| 58. | | | | | | | | | |
| 59. Ishares MSCI EAFA | A | Dividend | J | T | | | | | |
| 60. | | | | | | | | | |
| 61. Janus Henderson Balanced Fund I | A | Dividend | J | T | Buy | 12/31/21 | J | | |
| 62. | | | | | | | | | |
| 63. Mainstay Mackay Short Duration Hi Yld Fd CL I | A | Dividend | J | T | Buy | 12/31/21 | J | | |
| 64. | | | | | | | | | |
| 65. Ishares TR Russell 2000 | A | Dividend | J | T | | | | | |
| 66. | | | | | | | | | |
| 67. Fidelity Adv Intl Apprec FD Class I | A | Dividend | J | T | Buy | 12/31/21 | J | | |
| 68. | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
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L =$50,001 - $100,000
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| Name of Person Reporting | Date of Report |
|---|---|
| **Sheridan, Peter G.** | 08/05/2022 |

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|---|---|---|---|---|---|---|---|---|---|
| 69. | | | | | | | | | |
| 70. | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
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| | U =Book Value | V =Other | W =Estimated | | |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Sheridan, Peter G.** | 08/05/2022 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Peter G. Sheridan**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544