AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Simon, Michael H.	U.S. District Court, Oregon	05/13/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
1527 United States Courthouse 1000 Southwest Third Avenue Portland, OR 97204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Classroom Law Project
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Simon, Michael H.	05/13/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2021	Concord Theatrical Corp. (theatrical royalties for "The Odd Couple" and "Promises Promises")	$3,160.07
2. 2021	International Authors Society (theatrical royalties for "The Odd Couple" international productions)	$5,863.98
3. 2021	GEP Talent Services, LLC (miscellaneous television rerun royalties)	$560.46
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2021	U.S. House of Representatives (spouse's wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Simon, Michael H.	05/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	U S Bank NA (checking and savings)	A	Interest	O	T					
2.	OnPoint Community Credit Union (checking and savings)	A	Interest	M	T					
3.	Northwestern Mutual Life Ins. (whole life insurance cash value)	C	Dividend	M	T					
4.	Gold coins		None	M	T					
5.	Vanguard Brokerage Account (H)									
6.	-- Vanguard 500 Index mut. fund	E	Dividend	P1	T					
7.	-- Vanguard PRIMECAP mut. fund	F	Dividend	O	T					
8.	-- Vanguard Federal Money Mkt. Fund	A	Dividend	P1	T					
9.	Ameriprise Brokerage Account (H)									
10.	-- Ameriprise cash holding account	A	Interest	J	T					
11.	-- ISHARES MSCI EAFE Value ETF Mut. Fund	C	Dividend	L	T	Sold (part)	01/21/21	J	A	
12.						Buy (add'l)	02/11/21	J		
13.						Sold (part)	05/13/21	J	A	
14.						Buy (add'l)	08/11/21	J		
15.						Buy (add'l)	11/15/21	J		
16.	-- Vanguard Mid-Cap ETF Mut. Fund	B	Dividend	M	T	Sold (part)	01/21/21	J	C	
17.						Sold (part)	02/11/21	K	D	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Simon, Michael H.	05/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	05/13/21	J		
19.					Sold (part)	08/11/21	J	B	
20.					Sold (part)	11/15/21	J	B	
21. -- JPMorgan Large Cap Growth Mut. Fund	E	Dividend	M	T	Sold (part)	01/21/21	J	C	
22.					Buy (add'l)	05/13/21	J		
23.					Sold (part)	08/11/21	J	D	
24.					Sold (part)	11/15/21	J	B	
25. -- JPMorgan Tax Aware Equity Mut. Fund	E	Dividend	M	T	Sold (part)	01/21/21	J	A	
26.					Sold (part)	02/11/21	J	A	
27.					Sold (part)	05/13/21	J	A	
28.					Sold (part)	08/11/21	K	D	
29.					Sold (part)	11/15/21	J	A	
30. -- Loomis Sayles Growth Mut. Fund	D	Dividend	M	T	Sold (part)	01/21/21	J	A	
31.					Sold (part)	02/11/21	J	A	
32.					Buy (add'l)	05/13/21	J		
33.					Buy (add'l)	08/11/21	J		
34.					Sold (part)	11/15/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. -- MFS Int'l. Intrins. Value Mut. Fund	D	Dividend	L	T	Buy (add'l)	01/21/21	J		
36.					Buy (add'l)	02/11/21	J		
37.					Buy (add'l)	05/13/21	J		
38.					Sold (part)	08/11/21	J	A	
39.					Buy (add'l)	11/15/21	K		
40. -- MFS Muni. High Inc. Mut. Fund	B	Dividend	L	T	Buy (add'l)	01/21/21	J		
41.					Sold (part)	02/11/21	J	A	
42.					Buy (add'l)	05/13/21	J		
43.					Buy (add'l)	08/11/21	J		
44.					Buy (add'l)	11/15/21	J		
45. -- MFS Value Mut. Fund	D	Dividend	M	T	Sold (part)	01/21/21	J	A	
46.					Buy (add'l)	02/11/21	J		
47.					Sold (part)	05/13/21	K	D	
48.					Sold (part)	08/11/21	J	A	
49.					Sold (part)	11/15/21	J	B	
50. -- Multi Mgr. Small Cap Equity Strat. Mut. Fund	D	Dividend	M	T	Sold (part)	01/21/21	K	D	
51.					Sold (part)	02/11/21	J	A	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.					Buy (add'l)	05/13/21	J		
53.					Buy (add'l)	08/11/21	J		
54.					Sold (part)	11/15/21	J	C	
55. -- Multi Mgr. Total Return Bond Strat. Mut. Fund	C	Dividend	M	T	Buy (add'l)	01/21/21	J		
56.					Buy (add'l)	02/11/21	J		
57.					Buy (add'l)	05/13/21	J		
58.					Buy (add'l)	08/11/21	J		
59.					Buy (add'l)	11/15/21	J		
60. -- Multi Mgr. Value Strat. Mut. Fund	E	Dividend	M	T	Sold (part)	01/21/21	J	B	
61.					Buy (add'l)	02/11/21	J		
62.					Sold (part)	05/13/21	K	D	
63.					Buy (add'l)	08/11/21	J		
64.					Sold (part)	11/15/21	J	B	
65. -- Nuveen Ltd. Term Mini Bond Mut. Fund	C	Dividend	M		Buy (add'l)	01/21/21	K		
66.					Buy (add'l)	02/11/21	J		
67.					Buy (add'l)	05/13/21	J		
68.					Buy (add'l)	08/11/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69.						Buy (add'l)	11/15/21	J		
70.	-- Allspring Emerg. Mkts. Equity Mut. Fund (fka Wells Fargo)	A	Dividend	L	T	Sold (part)	01/21/21	J	C	
71.						Buy (add'l)	02/11/21	K		
72.						Buy (add'l)	05/13/21	J		
73.						Buy (add'l)	08/11/21	J		
74.						Sold (part)	11/15/21	K	C	
75.	-- Western Asset Interm. Term Muni. Mut. Fund	C	Dividend	M	T	Buy (add'l)	01/21/21	J		
76.						Buy	05/13/21	J		
77.						Sold (part)	08/11/21	K	A	
78.						Buy (add'l)	11/15/21	J		
79.	-- Goldman Sachs GQG Int'l. Opptys Mut. Fund	B	Dividend	L	T	Buy (add'l)	01/21/21	J		
80.						Sold (part)	02/11/21	J	A	
81.						Sold (part)	05/13/21	J	A	
82.						Sold (part)	08/11/21	J	A	
83.						Buy (add'l)	11/15/21	J		
84.	-- Mainstay Mackay Tax Free Bond Mut. Fund	B	Dividend	L	T	Buy (add'l)	01/21/21	J		
85.						Buy (add'l)	02/11/21	J		

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86.					Buy (add'l)	05/13/21	J		
87.					Sold (part)	08/11/21	K	A	
88.					Buy (add'l)	11/15/21	J		
89. -- Franklin Growth Allocation Class A Mut. Fund	D	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 70: Allspring Emerg. Mkts. Equity Mut. Fund formerly was known as "Wells Fargo Emerg. Mkts. Equity Mut. Fund" (name change only).

Name of Person Reporting	Date of Report
Simon, Michael H.	05/13/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael H. Simon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544