AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Simon, Michael H.	U.S. District Court, Oregon	5/11/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2022 **to** 12/31/2022
	5b. ☐ Amended Report	
7. Chambers or Office Address		
1527 United States Courthouse 1000 Southwest Third Avenue Portland, OR 97204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Classroom Law Project
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2022	Concord Theatrical Corp. (theatrical royalties for "The Odd Couple" and "Promises Promises")	$21,582.43
2. 2022	International Authors Society (theatrical royalties for "The Odd Couple" international productions)	$13,316.22
3. 2022	GEP Talent Services, LLC (miscellaneous television rerun royalties)	$680.16
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2022	U.S. House of Representatives (spouse's wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Simon, Michael H.	5/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. U S Bank NA (checking and savings)	A	Interest	O	T					
2. OnPoint Community Credit Union (checking and savings)	A	Interest	M	T					
3. Northwestern Mutual Life Ins. (whole life insurance cash value)	C	Dividend	M	T					
4. Gold coins		None	M	T					
5. Vanguard Brokerage Account (H)									
6. -- Vanguard 500 Index mut. fund	E	Dividend	P1	T					
7. -- Vanguard PRIMECAP mut. fund	F	Dividend	O	T					
8. -- Vanguard Federal Money Mkt. Fund	E	Dividend	P1	T					
9. Ameriprise Brokerage Account (H)									
10. -- Ameriprise cash holding account	A	Interest	J	T					
11. -- ISHARES MSCI EAFE Value ETF Mut. Fund	C	Dividend	L	T	Sold (part)	02/14/22	J	A	
12.					Buy (add'l)	05/17/22	J		
13.					Buy (add'l)	08/15/22	J		
14.					Sold (part)	11/18/22	J	A	
15. -- Vanguard Mid-Cap ETF Mut. Fund	B	Dividend	M	T	Buy (add'l)	02/14/22	J		
16.					Buy (add'l)	05/17/22	J		
17.					Sold (part)	08/15/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Simon, Michael H.	5/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.					Buy (add'l)	11/18/22	J		
19. -- JPMorgan Large Cap Growth Mut. Fund	C	Dividend	M	T	Buy (add'l)	02/14/22	J		
20.					Buy (add'l)	05/17/22	K		
21.					Sold (part)	08/17/22	J	A	
22.					Buy (add'l)	11/21/22	J		
23.					Buy (add'l)	12/14/22	J		
24.					Buy (add'l)	12/21/22	J		
25. -- JPMorgan Tax Aware Equity Mut. Fund	D	Dividend	M	T	Buy (add'l)	02/14/22	J		
26.					Sold (part)	05/17/22	K	A	
27.					Sold (part)	08/15/22	J	A	
28.					Buy (add'l)	11/21/22	J		
29.					Buy (add'l)	12/14/22	J		
30.					Buy (add'l)	12/21/22	J		
31. -- Loomis Sayles Growth Mut. Fund	E	Dividend	M	T	Buy (add'l)	02/14/22	J		
32.					Buy (add'l)	05/17/22	K		
33.					Sold (part)	08/15/22	J	B	
34.					Buy (add'l)	11/18/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Buy (add'l)	11/23/22	K		
36. -- MFS Int'l. Intrins. Value Mut. Fund	D	Dividend	L	T	Buy (add'l)	02/14/22	J		
37.					Sold (part)	05/17/22	J	A	
38.					Sold (part)	11/18/22	J	A	
39.					Buy (add'l)	12/09/22	J		
40. -- MFS Muni. High Inc. Mut. Fund	B	Dividend	K	T	Sold (part)	02/14/22	J	A	
41.					Sold (part)	05/17/22	J	A	
42.					Buy (add'l)	08/15/22	J		
43.					Buy (add'l)	11/18/22	J		
44. -- MFS Value Mut. Fund	D	Dividend	M	T	Sold (part)	02/14/22	J	B	
45.					Sold (part)	05/17/22	K	D	
46.					Sold (part)	08/15/22	J	A	
47.					Sold (part)	11/18/22	J	B	
48.					Buy (add'l)	12/16/22	J		
49. -- Multi Mgr. Small Cap Equity Strat. Mut. Fund	D	Dividend	L	T	Buy (add'l)	02/14/22	J		
50.					Buy (add'l)	05/17/22	J		
51.					Sold (part)	08/15/22	J	A	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.					Buy (add'l)	11/18/22	J		
53.					Buy (add'l)	12/12/22	J		
54. -- Multi Mgr. Total Return Bond Strat. Mut. Fund	C	Dividend	M	T	Sold (part)	02/14/22	J	A	
55.					Buy (add'l)	05/17/22	K		
56.					Buy (add'l)	08/15/22	J		
57.					Buy (add'l)	11/18/22	J		
58. -- Multi Mgr. Value Strat. Mut. Fund	E	Dividend	M	T	Sold (part)	02/14/22	J	B	
59.					Sold (part)	05/17/22	J	B	
60.					Buy (add'l)	08/15/22	J		
61.					Sold (part)	11/18/22	J	B	
62.					Buy (add'l)	12/09/22	K		
63. -- Nuveen Ltd. Term Mini Bond Mut. Fund	C	Dividend	M		Sold (part)	02/14/22	J	A	
64.					Sold (part)	05/17/22	J	A	
65.					Buy (add'l)	08/15/22	J		
66.					Sold (part)	11/18/22	J	A	
67. -- Allspring Emerg. Mkts. Equity Mut. Fund (fka Wells Fargo)	B	Dividend	L	T	Buy (add'l)	02/14/22	J		
68.					Buy (add'l)	05/17/22	J		

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69.					Buy (add'l)	08/15/22	J		
70.					Buy (add'l)	11/18/22	J		
71.					Buy (add'l)	12/22/22	J		
72. -- Western Asset Interm. Term Muni. Mut. Fund	B	Dividend	L	T	Sold (part)	02/14/22	J	A	
73.					Sold (part)	05/17/22	J	A	
74.					Buy (add'l)	08/15/22	J		
75.					Sold (part)	11/18/22	J	A	
76. -- Goldman Sachs GQG Int'l. Opptys Mut. Fund	C	Dividend	L	T	Sold (part)	02/14/22	J	B	
77.					Sold (part)	05/17/22	J	A	
78.					Buy (add'l)	08/15/22	J		
79.					Sold (part)	11/18/22	J	A	
80.					Buy (add'l)	12/21/22	J		
81. -- Mainstay Mackay Tax Free Bond Mut. Fund	B	Dividend	L	T	Sold (part)	02/14/22	J	A	
82.					Sold (part)	05/17/22	K	A	
83.					Buy (add'l)	08/15/22	J		
84.					Sold (part)	11/18/22	J	A	
85. -- Franklin Growth Allocation Class A Mut. Fund	C	Dividend	L	T	Buy (add'l)	06/16/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Simon, Michael H.	5/11/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Simon, Michael H.	5/11/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael H. Simon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544