AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sippel, Rodney W.	United States District Court	05/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Thomas F. Eagleton U.S. Courthouse 111 South 10th Street, Room 26.181 St. Louis, Missouri 63102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Carrollton Bank	Mortgage	M
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sippel, Rodney W.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -Commerce Brokerage Service - Prime Fund Daily Money Class	A	Dividend			Sold	02/28/23	L	A	
3. American Bond Fund of America Class A	A	Dividend			Sold	02/28/23	K	A	
4. -American Growth Fund of America Class A	A	Dividend			Sold	02/28/23	M	A	
5. -American Investment Company of America Class A	A	Dividend			Sold	02/28/23	M	A	
6. - Hartford Multi-Asset Income Growth A	A	Dividend			Sold	02/28/23	L	A	
7. -Hartford Capital Appreciation Class A	A	Dividend			Sold	02/28/23	L	A	
8. -American Capital Income Builder Class A	A	Dividend			Sold	02/28/23	L	A	
9. -Dodge & Cox Stock Fund	B	Dividend	M	T	Buy	02/28/23	L		
10.					Buy (add'l)	04/26/23	L		
11. -Am. Beacon Stephens Small Cap Growth	B	Dividend	K	T	Buy	02/28/23	J		
12. -Dodge & Cox Int'l Stock I	B	Dividend	L	T	Buy	02/28/23	L		
13. -Wm. Blair Int'l Growth I	B	Dividend	L	T	Buy	02/28/23	L		
14. -T. Rowe Price Lg. Cap Gr I	B	Dividend	L	T	Buy	02/28/23	L		
15.					Buy (add'l)	04/26/23	J		
16. -Principal Real Estate Specs Instl	B	Dividend	K	T	Buy	02/28/23	K		
17. -Schwab US Mid Cap	B	Dividend	L	T	Buy	02/28/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Sippel, Rodney W.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -Schwab US Mid Cap					Buy (add'l)	08/24/23	K		
19. -Multi Manager Global Listed Infrastructure	B	Dividend	K	T	Buy	02/28/23	K		
20. -Hotchkis & Wiley Small Cap	B	Dividend	K	T	Buy	02/28/23	K		
21. -Norfolk VA Taxable Go Ref Bond	B	Interest	K	T	Buy	03/01/23	K		
22. -Robertson City TN GD Sch Ret	B	Interest	K	T	Buy	03/03/23	K		
23. -Morgan Stanley CD	B	Interest	K	T	Buy	04/26/23	K		
24. -UBS Bank CD	B	Interest	K	T	Buy	04/27/23	K		
25. IRA #2									
26. -Commerce Brokerage Services - Prime Fund Daily Money Class	A	Dividend			Sold	02/28/23	J	A	
27. -Franklin Income Class A	A	Dividend			Sold	02/28/23	J	A	
28. Exxon Mobil Stock	B	Dividend	K	T					
29. General Electric Common Stock	A	Dividend			Sold	04/05/23	J		
30. West Community Credit Union	A	Interest	K	T					
31. Comcast Corp. Common Stock	A	Dividend	J	T					
32. Disney Common Stock		None	J	T					
33. Wabtec Common Stock		None			Sold	02/28/23	J	A	
34. Commerce Brokerage Services Prime Fund Daily Money Class	A	Dividend			Sold	02/28/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes (See Columns C1 and D3)
3. Value Method Codes (See Column C2)

A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
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Name of Person Reporting	Date of Report
Sippel, Rodney W.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Commerce Bank Savings Account: Y									
36. AT&T Common Stock	A	Dividend			Sold	02/28/23	J	A	
37. Vanguard Equity Income Investor CL	B	Dividend			Sold	02/28/23	L	C	
38. Schwab Value Advantage Money Fund	A	Dividend	J	T					
39. American Beacon Stephens Small Cap Growth Instl	B	Dividend	K	T	Buy	02/28/23	J		
40.					Buy (add'l)	03/16/23	J		
41.					Buy (add'l)	04/17/23	J		
42.					Buy (add'l)	05/16/23	J		
43. Dodge & Cox Int'l Stock I	B	Dividend	L	T	Buy	02/28/23	J		
44.					Buy (add'l)	03/16/23	J		
45.					Buy (add'l)	04/17/23	J		
46.					Buy (add'l)	05/16/23	J		
47. William Blair Int'l Growth I	B	Dividend	L	T	Buy	02/28/23	J		
48.					Buy (add'l)	03/16/23	J		
49.					Buy (add'l)	04/17/23	J		
50.					Buy (add'l)	05/16/23	J		
51. Principal Real Estate Secs Instl	B	Dividend	L	T	Buy	02/28/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.						Buy (add'l)	03/16/23	J		
53.						Buy (add'l)	04/17/23	J		
54.						Buy (add'l)	05/16/23	J		
55.	Goldman Sachs Em Mkts Eq Insights Intl	B	Dividend	K	T	Buy	02/28/23	J		
56.						Buy (add'l)	03/16/23	J		
57.						Buy (add'l)	04/17/23	J		
58.						Buy (add'l)	05/16/23	J		
59.	Harding Loevner Insitutional Emerging Markets	A	Dividend	K	T	Buy	02/28/23	J		
60.						Buy (add'l)	03/16/23	J		
61.						Buy (add'l)	04/17/23	J		
62.						Buy (add'l)	05/16/23	J		
63.	Schwab US Large Cap ETF	C	Dividend	M	T	Buy	02/28/23	K		
64.						Buy (add'l)	03/16/23	K		
65.						Buy (add'l)	04/17/23	K		
66.						Buy (add'l)	05/16/23	K		
67.	Mainstay High Yield Municipal Bond I	A	Dividend	K	T	Buy	02/28/23	K		
68.						Buy (add'l)	03/16/23	J		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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69.						Buy (add'l)	04/17/23	J		
70.						Buy (add'l)	05/16/23	J		
71.	Schwab US Mid Cap	B	Dividend	M	T	Buy	02/28/23	K		
72.						Buy (add'l)	03/16/23	K		
73.						Buy (add'l)	04/17/23	K		
74.						Buy (add'l)	05/16/23	K		
75.	Multi Manager Global Listed Infrastructure	A	Dividend	K	T	Buy	02/28/23	J		
76.						Buy (add'l)	03/16/23	J		
77.						Buy (add'l)	04/17/23	J		
78.						Buy (add'l)	05/16/23	J		
79.	Hotchkis & Wiley Small Cap Diversified Value I	B	Dividend	K	T	Buy	02/28/23	J		
80.						Buy (add'l)	03/16/23	J		
81.						Buy (add'l)	04/17/23	J		
82.						Buy (add'l)	05/16/23	J		
83.	Toledo OH City Sch Dist Bond	A	Interest	K	T	Buy	03/01/23	K		
84.	Truckee Meadows NV Wtr Auth Bond	A	Interest	K	T	Buy	04/05/23	K		
85.	Negaunee MI GO Ltx	A	Interest	K	T	Buy	03/21/23	K		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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86.	Meramec Vy Sch Dist No R-III	A	Interest	K	T	Buy	04/05/23	K		
87.	Charles Schwab Bank	A	Interest	J	T	Buy	02/28/23	J		
88.	Vanguard Ultra-Short-Term Tax-Exempt Admiral	B	Dividend	L	T	Buy	03/01/23	K		
89.						Buy (add'l)	04/06/23	J		
90.						Buy (add'l)	08/24/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Sippel, Rodney W.	05/01/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rodney W. Sippel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544