AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Slaughter, Fred W.	U.S. District Court, Central District of California	05/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Court Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2022 **to** 12/31/2022
	5b. ☐ Amended Report	
7. Chambers or Office Address		
411 West Fourth Street Chambers 10-160 Santa Ana, California 92701		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Superior Court Judge	State of California
2.	U.S. District Court Judge	U.S. District Court
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2014	State of California: Judges' Retirement System-CalPERS
2.		
3.		

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Slaughter, Fred W.	05/12/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2022	State of California, Judicial Salary	$82,792.60
2. 2022	U.S. District Court, Judicial Salary	$131,755.93
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2022	Self-Employed Endodontist, Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Nelnet	[redacted] Student Loans	L
2.			
3.			
4.			
5.			

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Slaughter, Fred W.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. ABT	A	Dividend			Sold	02/07/22	J	A	
2. AMLI (FKA LIACF)		None	J	T					
3. ARXRF		None	J	T					
4. BIIB		None			Sold (part)	02/07/22	J		
5.					Sold	02/28/22	J	A	
6. CORE - Fidelity FDIC - Insured Deposit Sweep	A	Dividend	K	T	Buy (add'l)	01/31/22	J		
7. Discover Bank Cash Account	A	Interest	L	T					
8. FBALX	C	Dividend	L	T					
9. FBGRX	A	Dividend	K	T					
10. FDRV		None			Buy	06/02/22	J		
11.					Sold	06/13/22	J		
12. FDVV	A	Dividend	K	T	Buy (add'l)	06/01/22	J		
13.					Sold (part)	06/13/22	J	C	
14. FENY	A	Dividend	J	T					
15. FSDIX		None			Buy	06/02/22	J		
16.					Sold	06/13/22	J		
17. FVAL	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Slaughter, Fred W.	05/12/2023

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. FZDXX	D	Dividend	O	T	Buy (add'l)	06/02/22	N		
19.					Buy (add'l)	06/13/22	J		
20. HYSR		None	J	T					
21. IAU		None			Sold	04/01/22	J		
22. OSG		None			Sold	04/08/22	J	A	
23. PEP	A	Dividend			Sold	02/24/22	K	E	
24. SHMP		None			Sold	02/24/22	J	A	
25. SPAXX - Fidelity Core Account	A	Dividend	L	T	Buy	02/09/22	J		
26.					Buy (add'l)	02/15/22	J		
27.					Buy (add'l)	02/28/22	K		
28.					Buy (add'l)	04/05/22	J		
29.					Buy (add'l)	04/08/22	J		
30.					Buy (add'l)	04/12/22	J		
31.					Buy (add'l)	04/18/22	J		
32.					Buy (add'l)	04/21/22	J		
33.					Buy (add'l)	06/14/22	J		
34.					Buy (add'l)	06/15/22	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Buy (add'l)	09/12/22	J		
36. SSSS	A	Dividend			Buy (add'l)	02/08/22	J		
37.					Sold	04/13/22	J	A	
38. State Farm Whole Life Insurance	A	Interest	L	T					
39. Wells Fargo Bank Cash Accounts	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Under Part I-Positions it lists two positions: (1) 2022 Superior Court Judge, State of California; and (2) U.S District Court Judge, U.S. District Court. I was employed as a Superior Court Judge for the State of California until the effective date of my resignation on April 19, 2022. I began my employment as a U.S. District Judge on April 20, 2022.

Under Part II-Agreements, an entry is listed as 2014 State of California: Judges' Retirement System-CalPERS. This entry represents my state retirement account funded by me during my employment as a Superior Court Judge. The agreement ended when I resigned as a Superior Court Judge, this retirement account was then converted to an IRA Rollover check, and the proceeds of the retirement account were rolled over into and deposited into an IRA account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Fred W. Slaughter

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544