AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Smith, Jr., Charles L. | Northern District of Alabama | 05/02/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge - Senior Status | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2022 **to** 12/31/2022 |
| **7. Chambers or Office Address** | | |
| United States Courthouse<br>101 Holmes Avenue<br>Huntsville, Ala. 35801 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Trustee | Alabama Department of Archives and History |
| 2. | Director | Friends of Alabama Heritage Magazine Foundation |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☐ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | 1981 | Judicial Retirement Fund of Alabama (Retirement fund of former employer, State of Alabama), no control |
| 2. | 1981 | Madison County, Alabama Retirement Fund (Retirement fund of former employer, Madison County), no control |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|
| 1. 2022 | State of Alabama Judicial Retirement (Retirement Systems of Alabama) | $58,725.00 |
| 2. 2022 | Madison County, Alabama Retirement | $19,142.00 |
| 3. | | |
| 4. | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| DATE | SOURCE |
|---|---|
| 1. 2022 | Madison County, Alabama Retirement Fund (Retirement Systems of Alabama) |
| 2. | |
| 3. | |
| 4. | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| 5. | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Smith, Jr., Charles L.** | 05/02/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | U.S. Savings Bonds-Series EE | A | Interest | J | T | | | | | |
| 2. | Morgan Stanley Account (H) | | | | | | | | | |
| 3. | -- Morgan-Stanley Private Bank | A | Interest | J | T | | | | | |
| 4. | -- MSBNA Preferred Savings | A | Interest | K | T | | | | | |
| 5. | -- Federated Prime Cash Obligations WS | A | Dividend | M | T | | | | | |
| 6. | -- Albertsons Companies Inc. | A | Dividend | J | T | | | | | |
| 7. | -- Pfizer Inc. | A | Dividend | K | T | | | | | |
| 8. | Regions Bank Account | A | Interest | K | T | | | | | |
| 9. | Bryant Bank Account | A | Interest | M | T | | | | | |
| 10. | Meadowbrook, Ltd. 4.75% Int. in limited partnership | | None | J | U | | | | | |
| 11. | Farm: Madison County, AL | B | Rent (Crop) | O | W | | | | | |
| 12. | Farm Rental House: Madison County, AL | D | Rent | M | W | | | | | |
| 13. | Rental House: Huntsville, AL | E | Rent | O | W | | | | | |
| 14. | Crown Life Ins. - Cash Value Whole Life Policy | A | Dividend | J | T | | | | | |
| 15. | Nationwide § 457(b) Deferred Comp Account (H): | | | | | | | | | |
| 16. | -- Fidelity Contrafund | B | Dividend | L | T | | | | | |
| 17. | -- Nationwide Fixed Account | C | Interest | K | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Smith, Jr., Charles L.** | 05/02/2023 |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 18. Stone X Traditional IRA (H): | | | | | | | | | |
| 19. -- Sterne Agee Insured Deposit Program | A | Interest | J | T | | | | | |
| 20. -- Valley View Place LLC | | None | N | T | | | | | |
| 21. -- Qual Comm Inc. | A | Dividend | J | T | | | | | |
| 22. -- Leidos Holdings Inc. | A | Dividend | J | T | | | | | |
| 23. Madison Co. AL Retirement Fund | B | Interest | K | T | | | | | |
| 24. Am Family Broadcasting Corp (Retirement) | | None | J | T | | | | | |
| 25. Morgan-Stanley Traditional IRA (H) | | | | | | | | | |
| 26. -- Regions Financial Corp. Common | B | Dividend | K | T | | | | | |
| 27. -- Apple, Inc. Common | D | Dividend | O | T | | | | | |
| 28. -- Clorox Co. Common | B | Dividend | L | T | | | | | |
| 29. -- Meta Platforms Inc. CL A Common | | None | L | T | | | | | |
| 30. -- Walt Disney Common | B | Dividend | K | T | | | | | |
| 31. -- Albertsons Companies, Inc. | A | Dividend | J | T | | | | | |
| 32. -- Ameriwest Lithium Inc. | | None | J | T | | | | | |
| 33. -- ISM Resources Corp. Common (X) | | None | J | T | Spinoff (from line 32) | 09/26/22 | J | | |
| 34. -- CVS Health Corp Common | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
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| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
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| 35. -- Morgan-Stanley Private Bank | A | Interest | J | T | | | | | |
| 36. Roth IRA No. 3 (H) | | | | | | | | | |
| 37. -- Apple Inc. Common | A | Dividend | K | T | | | | | |
| 38. -- Coca-Cola Bottling Co. Common | A | Dividend | J | T | | | | | |
| 39. -- Ford Motor Co. New | A | Dividend | J | T | | | | | |
| 40. PACT (Ala. Prepaid Affordable College Tuition plan) | | None | K | T | | | | | |
| 41. Scholars Choice (Section 529 Plan) (H) | | | | | | | | | |
| 42. -- NUVEEN CO ALL EQ ALLOC PTF A | C | Dividend | K | T | | | | | |
| 43. Vanguard Age-Based Aggressive Growth (Section 529 Plan aka Upromise) | A | Dividend | J | T | | | | | |
| 44. Alabama College Counts 529 Plan (H): | | | | | | | | | |
| 45. -- Vanguard Growth Index Fund | D | Dividend | M | T | | | | | |
| 46. -- Vanguard Total Bond Market Index Fund | B | Dividend | K | T | | | | | |
| 47. -- Vanguard Short Term bond Index Fund | B | Dividend | K | T | | | | | |
| 48. Education IRA No. 1 (H): | | | | | | | | | |
| 49. -- Morgan Stanley Bank NA | A | Interest | J | T | | | | | |
| 50. -- Meta Platforms Inc. CL A Common | | None | K | T | | | | | |
| 51. -- Apple, Inc. Common | A | Dividend | L | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
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| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
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| 52. -- SNAP, Inc. Class A | | None | J | T | | | | | |
| 53. -- CVS Health Corp Common | A | Dividend | J | T | | | | | |
| 54. -- Tanger Factory Outlet Centers | A | Dividend | J | T | | | | | |
| 55. -- Federated Prime Cash Obligations WS | A | Dividend | J | T | | | | | |
| 56. -- Ameriwest Lithium Inc. | | None | J | T | | | | | |
| 57. -- ISM Resources Corp. Common (X) | | None | J | T | Spinoff (from line 56) | 09/26/22 | J | | |
| 58. Trust No. 1 (H): | | | | | | | | | |
| 59. -- Morgan Stanley Bank NA | A | Interest | K | T | | | | | |
| 60. -- Meta Platforms Inc. CL A Common | | None | J | T | | | | | |
| 61. -- Illumina Inc. Common | | None | K | T | | | | | |
| 62. -- Apple Inc. Common | B | Dividend | L | T | | | | | |
| 63. -- CVS Health Corp Common | A | Dividend | J | T | | | | | |
| 64. -- Ford Motor Co New | A | Dividend | J | T | | | | | |
| 65. -- Tanger Factory Outlet Centers | A | Dividend | J | T | | | | | |
| 66. -- Qual Comm Inc. | A | Dividend | J | T | | | | | |
| 67. -- Pfizer Inc. | A | Dividend | K | T | | | | | |
| 68. -- Franklin Federal Tax Free Income FD Class A | A | Interest | K | T | | | | | |

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|---|---|---|---|---|---|---|---|---|---|
| 69. -- Chargepoint Holdings Inc. | | None | J | T | | | | | |
| 70. -- Lithium Americas Corp. | | None | J | T | | | | | |
| 71. -- Ameriwest Lithium Inc. | | None | J | T | | | | | |
| 72. -- ISM Resources Corp. Common (X) | | None | J | T | Spinoff (from line 71) | 09/26/22 | J | | |
| 73. -- Federated Prime Cash Obligations WS | A | Interest | K | T | | | | | |
| 74. Roth IRA No. 2 (H): | | | | | | | | | |
| 75. -- Morgan Stanley Bank NA | A | Interest | J | T | | | | | |
| 76. -- SNAP, Inc. Class A | | None | J | T | | | | | |
| 77. -- Tanger Factory Outlet Centers | A | Dividend | J | T | | | | | |
| 78. -- Federated Prime Cash Obligations WS | A | Dividend | J | T | | | | | |
| 79. -- Albertsons Companies, Inc. | A | Dividend | J | T | | | | | |
| 80. -- Pfizer Inc. CL A | A | Dividend | J | T | | | | | |
| 81. -- Ameriwest Lithium Inc. | | None | J | T | | | | | |
| 82. -- ISM Resources Corp. Common (X) | | None | J | T | Spinoff (from line 81) | 09/26/22 | J | | |
| 83. -- Chargepoint Holdings Inc. | | None | J | T | | | | | |
| 84. Education IRA No. 2 (H): | | | | | | | | | |
| 85. -- Morgan Stanley Bank NA | A | Interest | J | T | | | | | |

| | | | | | |
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|---|---|---|---|---|---|---|---|---|---|
| 86. -- Meta Platforms Inc. CL A Common | | None | J | T | | | | | |
| 87. -- Ford Motor Co New | A | Dividend | J | T | | | | | |
| 88. -- Tanger Facory Outlet Centers | A | Dividend | J | T | | | | | |
| 89. -- Federated Prime Cash Obligations WS | A | Dividend | J | T | | | | | |
| 90. Education IRA No. 4 (H): | | | | | | | | | |
| 91. -- Morgan Stanley Bank NA | A | Interest | J | T | | | | | |
| 92. -- Meta Platforms Inc. CL A Common | | None | J | T | | | | | |
| 93. -- Ford Motor Co New | A | Dividend | J | T | | | | | |
| 94. -- Federated Prime Cash Obligation WS | A | Dividend | J | T | | | | | |
| 95. Education IRA No. 5 (H): | | | | | | | | | |
| 96. -- Morgan Stanley Bank NA | A | Interest | J | T | | | | | |
| 97. -- Meta Platforms Inc. CL A Common | | None | K | T | | | | | |
| 98. -- SNAP, Inc. Class A | | None | J | T | | | | | |
| 99. -- Ford Motor Co New | A | Dividend | J | T | | | | | |
| 100. -- Tanger Factory Outlet Centers | A | Dividend | J | T | | | | | |
| 101. -- Albertsons Companies, Inc. | A | Dividend | J | T | | | | | |
| 102. -- Pfizer Inc. | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =$1,000 or less<br>F =$50,001 - $100,000 | B =$1,001 - $2,500<br>G =$100,001 - $1,000,000 | C =$2,501 - $5,000<br>H1 =$1,000,001 - $5,000,000 | D =$5,001 - $15,000<br>H2 =More than $5,000,000 | E =$15,001 - $50,000 |
| 2 Value Codes<br>(See Columns C1 and D3) | J =$15,000 or less<br>N =$250,001 - $500,000<br>P3 =$25,000,001 - $50,000,000 | K =$15,001 - $50,000<br>O =$500,001 - $1,000,000 | L =$50,001 - $100,000<br>P1 =$1,000,001 - $5,000,000<br>P4 =More than $50,000,000 | M =$100,001 - $250,000<br>P2 =$5,000,001 - $25,000,000 | |
| 3 Value Method Codes<br>(See Column C2) | Q =Appraisal<br>U =Book Value | R =Cost (Real Estate Only)<br>V =Other | S =Assessment<br>W =Estimated | T =Cash Market | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Smith, Jr., Charles L.** | 05/02/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. -- Ameriwest Lithium Inc. | | None | J | T | | | | | |
| 104. -- ISM Resources Corp. Common (X) | | None | J | T | Spinoff (from line 103) | 09/26/22 | J | | |
| 105. -- Chargepoint Holdings, Inc. | | None | J | T | | | | | |
| 106. -- Federated Prime Cash Obligations WS | A | Interest | J | T | | | | | |
| 107. Educational IRA No. 6 (H): | | | | | | | | | |
| 108. -- Morgan Stanley Bank NA | A | Interest | J | T | | | | | |
| 109. -- SNAP, Inc. Class A | | None | J | T | | | | | |
| 110. -- Ford Motor Co New | A | Dividend | J | T | | | | | |
| 111. -- Tanger Factory Outlet Centers | A | Dividend | J | T | | | | | |
| 112. -- Federated Cash Obligations WS | A | Dividend | J | T | | | | | |
| 113. -- Albertsons Companies, Inc. | A | Dividend | J | T | | | | | |
| 114. -- Pfizer Inc. | A | Dividend | J | T | | | | | |
| 115. -- Chargepoint Holdings, Inc. | | None | J | T | | | | | |
| 116. Roth IRA (H): | | | | | | | | | |
| 117. -- Morgan Stanley Bank NA | A | Interest | J | T | | | | | |
| 118. -- Meta Platforms Inc. CL A Common | | None | J | T | Sold (part) | 12/20/22 | J | D | |
| 119. -- Apple Inc. Common | A | Dividend | K | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Smith, Jr., Charles L.** | 05/02/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. -- CVS Health Corp Common | A | Dividend | J | T | | | | | |
| 121. -- Federated Prime Cash Obligations WS | A | Dividend | J | T | | | | | |
| 122. -- Albertsons Companies, Inc. | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
|---|---|
| **Smith, Jr., Charles L.** | 05/02/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All of the following explanations pertain to the Investments and Trusts listed in Part VII:

The rental house listed on line 13 of the current, 2022 FDR was previously listed on line 114 of the 2021 FDR.

The stocks listed on lines 37, 38, and 39 of the current, 2022 FDR were transferred from "Morgan-Stanley Traditional IRA (H)" (lines 25-35) to "Roth IRA No. 3 (H)" (lines 36-39) on 12/26/2022.

"Trust No. 1 (H)," line 58 of the current, 2022 FDR, was labeled "Trust No. 2 (H)" in the 2021 FDR.

The asset named "Franklin Income A" was listed incorrectly on line 85 of the 2021 FDR as "Sold (part)" on "12/16/21." In fact, all of that asset was sold on that date, and that is why it does not reappear on the current, 2022 FDR.

| Name of Person Reporting | Date of Report |
|---|---|
| **Smith, Jr., Charles L.** | 05/02/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Charles L. Smith, Jr.**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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