Annual, 2025

Financial Disclosure Report (AO Form 10)

Filer's Information
Smith, D. Brooks
U.S. Court of Appeals - Third Circuit
Senior Circuit Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Smith, D. Brooks [electronically signed on Jul 15, 2026 10:26am by Smith, D. Brooks in JEFS]
/s/ Smith, D. Brooks [electronically signed on Jun 4, 2026 2:03pm by Smith, D. Brooks in JEFS]

I. Positions

I do not have any Positions to report
No entries in this section

II. Agreements

I do not have any Agreements to report
No entries in this section

III A. Filer Non-Investment Income

I do not have any Filer Non-Investment Income to report
No entries in this section

III B. Spouse Non-Investment Income

	SOURCE	DESCRIPTION	HONORARIUM	HONORARIUM AMOUNT	HONORARIUM DATE
1.	ARC Federal Credit Union	Wages		$0.00	

IV. Reimbursements

I do not have any Reimbursements to report
No entries in this section

V. Gifts

I do not have any Gifts to report
No entries in this section

VI. Liabilities

I do not have any Liabilities to report
No entries in this section

VII. Investments and Trusts

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1.	**(H) Fidelity Brokerage Services LLC (H)**				
1-A.	-Fid Flex Municipal Income Fund	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(2).	Buy (add'l)	Sep 17, 2025	$15,000 or less
(3).	Buy (add'l)	Sep 9, 2025	$15,000 or less
(4).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 16, 2025	$15,000 or less
(6).	Sold (part)	Apr 22, 2025	$15,000 or less
(7).	Buy (add'l)	Jan 22, 2025	$15,000 or less
(8).	Buy (add'l)	Jan 21, 2025	$15,000 or less

1-B.	-Fidelity Flex Mid Cap Index Fund	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(2).	Buy (add'l)	Sep 17, 2025	$15,000 or less
(3).	Sold (part)	Aug 14, 2025	$15,000 or less
(4).	Buy (add'l)	Apr 22, 2025	$15,000 or less
(5).	Buy (add'l)	Jan 22, 2025	$15,000 or less
(6).	Buy (add'l)	Jan 21, 2025	$15,000 or less

1-C.	-Fid Flex Consrv Income Muni Fund	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 12, 2025	$15,000 or less
(2).	Buy (add'l)	Sep 17, 2025	$15,000 or less
(3).	Buy (add'l)	Sep 9, 2025	$15,000 or less
(4).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 16, 2025	$15,000 or less
(6).	Sold (part)	Apr 22, 2025	$15,000 or less
(7).	Buy (add'l)	Jan 22, 2025	$15,000 or less

1-D.	-Fidelity Flex Small Cap Index	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(2).	Sold (part)	Aug 14, 2025	$15,000 or less
(3).	Buy (add'l)	May 16, 2025	$15,000 or less
(4).	Buy (add'l)	Apr 22, 2025	$15,000 or less
(5).	Buy (add'l)	Jan 22, 2025	$15,000 or less
(6).	Buy (add'l)	Jan 21, 2025	$15,000 or less



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1-E.	-Fidelity Flex International Index	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(2).	Sold (part)	Sep 17, 2025	$15,000 or less
(3).	Buy (add'l)	Sep 9, 2025	$15,000 or less
(4).	Sold (part)	Aug 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 16, 2025	$15,000 or less
(6).	Sold (part)	Apr 22, 2025	$15,000 or less
(7).	Buy (add'l)	Jan 22, 2025	$15,000 or less
(8).	Buy (add'l)	Jan 21, 2025	$15,000 or less

1-F.	-Fidelity Flex 500 Index Fund	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Dec 12, 2025	$15,000 or less
(2).	Sold (part)	Sep 17, 2025	$15,000 or less
(3).	Buy (add'l)	Sep 9, 2025	$15,000 or less
(4).	Buy (add'l)	Aug 14, 2025	$15,000 or less
(5).	Buy (add'l)	May 16, 2025	$15,000 or less
(6).	Buy (add'l)	Apr 22, 2025	$15,000 or less
(7).	Buy (add'l)	Jan 22, 2025	$15,000 or less
(8).	Buy (add'l)	Jan 21, 2025	$15,000 or less

1-G.	-Fidelity Flex Government Money Mkt	$1,000 or less	Dividend	$15,000 or less	Cash/Market
2.	**(H) Vanguard Brokerage Services (H)**				
2-A.	-Vanguard High Dividend Yield EFT	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
2-B.	-Vanguard Wellesley Income Investor CL	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Dec 10, 2025	$15,000 or less
(2).	Buy (add'l)	Nov 10, 2025	$15,000 or less
(3).	Buy (add'l)	Oct 10, 2025	$15,000 or less
(4).	Buy (add'l)	Sep 10, 2025	$15,000 or less
(5).	Buy (add'l)	Aug 8, 2025	$15,000 or less
(6).	Buy (add'l)	Jul 10, 2025	$15,000 or less
(7).	Buy (add'l)	Jun 10, 2025	$15,000 or less
(8).	Buy (add'l)	May 9, 2025	$15,000 or less
(9).	Buy (add'l)	Apr 10, 2025	$15,000 or less
(10).	Buy (add'l)	Mar 10, 2025	$15,000 or less
(11).	Buy (add'l)	Feb 10, 2025	$15,000 or less
(12).	Buy (add'l)	Jan 10, 2025	$15,000 or less

2-C.	-Vanguard Federal Money Market Fund	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
3.	**(H) Fortitude RE Annuities Services- IRA (H)**				
3-A.	-AST J.P. Morgan Conservative Multi-Asset Portfolio	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 1, 2025	$15,000 or less
(2).	Sold (part)	Nov 3, 2025	$15,000 or less
(3).	Sold (part)	Oct 1, 2025	$15,000 or less
(4).	Sold (part)	Sep 2, 2025	$15,000 or less
(5).	Sold (part)	Aug 7, 2025	$15,000 or less
(6).	Sold (part)	Aug 1, 2025	$15,000 or less
(7).	Sold (part)	Jul 1, 2025	$15,000 or less
(8).	Sold (part)	Jun 2, 2025	$15,000 or less
(9).	Sold (part)	May 1, 2025	$15,000 or less
(10).	Sold (part)	Apr 1, 2025	$15,000 or less
(11).	Sold (part)	Mar 3, 2025	$15,000 or less
(12).	Sold (part)	Feb 3, 2025	$15,000 or less
(13).	Sold (part)	Jan 2, 2025	$15,000 or less

3-B. -AST Multi-Asset Diversified Portfolio (1) | $2,501 - $5,000 | Dividend | $50,001 - $100,000 | Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 1, 2025	$15,000 or less
(2).	Sold (part)	Nov 3, 2025	$15,000 or less
(3).	Sold (part)	Oct 1, 2025	$15,000 or less
(4).	Sold (part)	Sep 2, 2025	$15,000 or less
(5).	Sold (part)	Aug 7, 2025	$15,000 or less
(6).	Sold (part)	Aug 1, 2025	$15,000 or less
(7).	Sold (part)	Jul 1, 2025	$15,000 or less
(8).	Sold (part)	Jun 2, 2025	$15,000 or less
(9).	Sold (part)	May 1, 2025	$15,000 or less
(10).	Sold (part)	Apr 1, 2025	$15,000 or less
(11).	Sold (part)	Mar 3, 2025	$15,000 or less
(12).	Sold (part)	Feb 3, 2025	$15,000 or less
(13).	Sold (part)	Jan 2, 2025	$15,000 or less

3-C. -AST Balanced Asset Allocation | $2,501 - $5,000 | Dividend | $100,001 - $250,000 | Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 1, 2025	$15,000 or less
(2).	Sold (part)	Nov 3, 2025	$15,000 or less
(3).	Sold (part)	Oct 1, 2025	$15,000 or less
(4).	Sold (part)	Sep 2, 2025	$15,000 or less
(5).	Sold (part)	Aug 7, 2025	$15,000 or less
(6).	Sold (part)	Aug 1, 2025	$15,000 or less
(7).	Sold (part)	Jul 1, 2025	$15,000 or less
(8).	Sold (part)	Jun 2, 2025	$15,000 or less
(9).	Sold (part)	May 1, 2025	$15,000 or less
(10).	Sold (part)	Apr 1, 2025	$15,000 or less
(11).	Sold (part)	Mar 3, 2025	$15,000 or less
(12).	Sold (part)	Feb 3, 2025	$15,000 or less
(13).	Sold (part)	Jan 2, 2025	$15,000 or less

4.	**Reliance Bank-Checking Account**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
5.	**Reliance Bank-Savings Account**	$2,501 - $5,000	Interest	$100,001 - $250,000	Cash/Market
6.	**ARC Federal Credit Union- Interest Bearing Checking Account**	$1,000 or less	Dividend,Interest	$15,000 or less	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
7.	**(H) Northwestern Mutual Life (H)**				
7-A.	-Northwestern Mutual Life Ins(Ordinary Life)-dated 03.02.81	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
7-B.	-Northwestern Mutual Life Ins(Ordinary Life)-dated 12.14.84	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
7-C.	-Northwestern Mutual Life Ins(Ordinary Life)-dated 1.27.77	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
7-D.	-Northwestern Mutual Life Ins(Ordinary Life)-dated 12.10.91	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
7-E.	-Northwestern Mutual Life Ins (Ordinary Life)-dated 12.10.77	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
7-F.	-Northwestern Mutual Life Ins (Ordinary Life)-dated 12.16.89	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
8.	**(H) Reliance Bank 401K (H)**				
8-A.	American Fund SMCAP Wld R6 Fd	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy May 20, 2025 $15,001 - $50,000				
8-B.	Principal Stable Value Z Fund	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy May 20, 2025 $100,001 - $250,000				
8-C.	-Capital Research and Mgmt Co Amer Funds SMCAP World R4 Fund	$2,501 - $5,000	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold May 20, 2025 $15,001 - $50,000				
8-D.	-AB/Brown/Emerald SmallCap Growth I	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
8-E.	-Principal Stable Value Fund	$1,000 or less	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold May 20, 2025 $100,001 - $250,000				
8-F.	-LA Capital Mgmt / Victory MidCap Value	$1,000 or less	Dividend	$15,000 or less	Cash/Market
8-G.	-Principal Global Investors Prin LargeCap S&P 500 Inde	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
8-H.	-Principal Real Estate Inv Principal US Property	$1,000 or less	Dividend	$15,000 or less	Cash/Market
8-I.	-Principal Global Investors Core Plus Bond	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
9.	**M&T Bank (common)**	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market

VIII. Additional Information or Explanations

	ADDITIONAL INFORMATION OR EXPLANATION
1.	(1) Line 1-C: Old name: AST Advanced Strategies Portfolio New name: AST Multi-Asset Diversified Portfolio Effective date: May 1, 2025