AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Smith, Rebecca B.	Eastern District of Virginia	08/04/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Court 600 Granby Street Norfolk, Virginia 23510		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	General Douglas MacArthur Foundation, Norfolk, VA
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Truist Bank Account, formerly Branch Bank & Trust Co. Bank Account	A	Interest	J	T					
2. Investment Acount #1 directing (line 3) (H)									
3. IRA-Brokerage Account #1 holding (lines 4-35)(H)									
4. Schwab Govt. Money Fund	A	Int./Div.	K	T					
5. Schwab IRA Partial Distribution	E	Distribution	O	T					
6. IShares S & P 400	A	Int./Div.	K	T					
7. Wisdomtree Emrg Mkts	A	Int./Div.	K	T					
8. IShares S & P 500 Value	B	Int./Div.	M	T					
9. Vanguard FTSE ETF	C	Int./Div.	K	T	Buy (add'l)	01/13/21	J		
10. IShares EDG MSCI USA QLTY	A	Int./Div.	L	T					
11. Taxable Bonds: (H)									
12. UST Infl Idx (1/15/21)		None			Matured	01/15/21	J	B	
13. US Treasury Note (2/15/22)	A	Int./Div.	K	T					
14. Procter & Gamble (8/15/23)	A	Int./Div.	K	T					
15. US Treasury NT (5/15/21)	A	Int./Div.			Matured	05/15/21	J		
16. US Treasury NT (11/15/23)	A	Int./Div.	J	T					
17. US Treasury NT (2/15/24)	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. Microsoft Corp. (2/12/22)	A	Int./Div.	J	T					
19. US Treasury Infl IDX (7/15/23)	A	Int./Div.	K	T					
20. Dollar General C (4/15/23)	A	Int./Div.	K	T					
21. Amazon (12/5/24)	A	Int./Div.	K	T					
22. J.P. Morgan U.S. Value Factor	C	Int./Div.	L	T					
23. SPDR S & P 600 Small Cap	A	Int./Div.	J	T					
24. Frisco TX	A	Int./Div.	K	T					
25. J.P. Morgan Ultra Short	A	Int./Div.	K	T					
26. Morgan Stanley (9/8/26)	B	Int./Div.	K	T					
27. Anheuser-Busch I (1/23/29)	A	Int./Div.	K	T					
28. First Trust TCW Secured	A	Int./Div.	J	T	Buy (add'l)	10/20/21	J		
29. Southern Power (12/01/25)	B	Int./Div.	K	T	Buy	07/12/21	K		
30. Hewlett Packard (04/01/26)	A	Int./Div.	K	T	Buy	02/11/21	K		
31. Raytheon Techno (11/16/28)	A	Int./Div.	J	T	Buy	11/16/21	J		
32. Florida St. Board (07/01/27)	A	Int./Div.	K	T	Buy	02/18/21	K		
33. IShares 0-5 Year Tips	A	Int./Div.	J	T	Buy	01/15/21	J		
34.					Buy (add'l)	11/17/21	J		

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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Pimco Enhncd LW Drtn.	A	Int./Div.	J	T	Buy	09/03/21	J		
36. [redacted]		None	N	W					
37. Investment Account #2 directing (line 38) (H)									
38. Brokerage Account #2 holding (lines 39-99) (H)									
39. Schwab Govt Money Fund	A	Int./Div.	L	T					
40. Equities/Stocks: (H)									
41. Chubb Ltd	A	Dividend			Sold	07/12/21	K	D	
42. Auto Data Processing	B	Dividend	L	T					
43. Cisco Systems Inc.	A	Dividend	K	T					
44. Dollar Tree Inc.		None	K	T					
45. Dow Dupont Inc.	A	Dividend	J	T					
46. Dupont DeNemours Inc.	A	Dividend	J	T					
47. Corteva Inc.	A	Dividend	J	T					
48. Duke Energy Corp.	A	Dividend	K	T					
49. Exxon Mobil Corp.	B	Dividend	K	T					
50. Honeywell International	B	Dividend	L	T					
51. Johnson & Johnson	B	Dividend	L	T					

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52. J.P. Morgan Chase & Co.	B	Dividend	L	T					
53. Mondelez	A	Dividend	K	T					
54. Microsoft Corp.	B	Dividend	M	T					
55. Pepsico Inc.	A	Dividend	K	T					
56. Verizon Communications	A	Dividend	K	T					
57. Canadian Natl Ry Co.	A	Dividend	K	T					
58. Home Depot	B	Dividend	M	T					
59. Proctor & Gamble	B	Dividend	K	T					
60. Travelers Companies	B	Dividend	K	T					
61. Vanguard Div Appreciation	A	Dividend	K	T					
62. Chevron Corporation	A	Dividend	K	T					
63. Wal-Mart Stores Inc.	A	Dividend	K	T					
64. Apple Inc.	B	Dividend	M	T					
65. Amgen Incorporated	B	Dividend	K	T					
66. McDonalds Corp	B	Dividend	L	T					
67. Discover Financial SVCS	A	Dividend	K	T					
68. Valero Energy Corp.	A	Dividend			Sold	07/12/21	K	B	

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69. Adobe Systems Inc.		None	L	T					
70. Alphabet Inc.		None	L	T					
71. Stryker Corp.	A	Dividend	K	T					
72. Unitedhealth Grp Inc.	A	Dividend			Sold	04/19/21	K	E	
73. Walt Disney Co.		None	K	T					
74. Vanguard Ftse Developed	B	Dividend	K	T					
75. Comcast Corp.	A	Dividend	K	T					
76. Cummins Inc.	B	Dividend	K	T					
77. Emerson Electric	A	Dividend			Sold	07/12/21	K	D	
78. Bank of America	A	Dividend	K	T					
79. Cbre Group Inc.		None	K	T					
80. F5 Networks Inc.		None			Sold	07/12/21	K	D	
81. HGI Opportunity Fund XIV, LP	D	Distribution	K	T					
82. J.P. Morgan Ultra Short	A	Dividend	L	T					
83. Berkshire Hathaway		None	K	T	Buy	04/19/21	K		
84. Pfizer Inc.	A	Int./Div.	K	T	Buy	08/31/21	K		
85. Pimco Short Term Muni	A	Int./Div.	K	T	Buy	09/03/21	K		

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86. Wisdomtree Emerg Mkts	B	Int./Div.	K	T	Buy	04/22/21	K		
87. Tax Exempt Bonds: (H)									
88. Richmond Va Met (7/15/22)	A	Interest	J	T					
89. Fairfax Co Wtr Au (4/1/21)		None			Matured	04/01/21	J		
90. Charlottesville Va (7/15/27)	A	Interest	J	T					
91. Falls Church VA (7/15/23)	A	Interest	K	T					
92. Poquoson VA (2/15/27)	A	Interest	K	T					
93. Loudoun Cnty VA (12/01/27)	A	Interest	K	T					
94. Va. Commonwealth Trans. Brd. (03/15/26)	A	Interest	K	T					
95. Fairfax Co., VA (10/01/23)	A	Interest	K	T					
96. Virginia State Pub Sch Auth (8/1/24)	A	Interest	K	T					
97. Virginia Beach, VA (07/15/32)	A	Interest	K	T	Buy	12/16/21	K		
98. Loudoun County, VA (12/01/33)	B	Interest	K	T	Buy	02/08/21	K		
99. Richmond, VA (07/15/34)	A	Interest	K	T	Buy	09/21/21	K		
100. Wells Fargo	A	Interest	M	T					
101. Rental Property #1, Norfolk, VA		None			Sold	05/12/21	N	G	
102. C.A. Associates, 2%, Norfolk, VA	D	Dividend	K	W					

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103. Friends of Cedar Point Country Club		None	J	T					
104. IRA-Brokerage Acct #3 directing and holding (lines 105-129) (H)									
105. UBS Financial Services IRA Partial Dist.	D	Distribution	M	T					
106. Washington Real Estate Investment Fund	A	Int./Div.	J	T					
107. IShares Inter Credit Bond	B	Int./Div.	K	T					
108. IShares Trust Russell Midcap Value Index	C	Int./Div.	J	T					
109. IShares Trust Russell Midcap Growth Index	D	Int./Div.	J	T					
110. IShares MSCI EAFE Index Fund	B	Int./Div.	J	T					
111. IShares MSCI Emerging Markets Index	A	Int./Div.	J	T					
112. Vanguard Index Funds Vanguard Value ETF	D	Int./Div.	J	T					
113. Vanguard Index Funds Vanguard Small Cap	D	Int./Div.	J	T					
114. Vanguard Index Funds Vanguard Growth	D	Int./Div.	J	T					
115. Vanguard REIT ETF	A	Int./Div.	J	T					
116. IShares IBoxx $ Invt Gra De Corp Fund	B	Int./Div.	J	T					
117. IShares 7-10 Year Treas Bond ETF	A	Int./Div.	J	T					
118. Blackrock High Yield		None	J	T					
119. Nuveen Symphony Floating		None	J	T					

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120. PGIM Short Term		None	J	T					
121. Virtus Multi-Sector Short Term Bond		None	J	T					
122. AQR Managed Futures Strategy		None			Sold	02/04/21	J		
123. IShares 20+ Year Treas Bond	A	Int./Div.	J	T					
124. SPDR Gold shares ETF	A	Int./Div.	J	T					
125. Invesco Treas. Coll. ETF		None	J	T					
126. IShares Tips Bond ETF	A	Int./Div.	J	T					
127. Invesco Optimum Yield Diversified Commodity Strategy	A	Int./Div.	J	T					
128. Tax Exempt Bonds:(H)									
129. Virginia Small Business Fing Auth (11/01/21)	A	Interest			Matured	11/01/21	J		
130. Brokerage Account #4 directing and holding (lines 131-145) (H)									
131. UBS Bank USA Dep Acct		None	J	T					
132. Equities/Stock: (H)									
133. IShares Trust Russell 1000 Growth Index Fund	B	Dividend	J	T					
134. IShares Trust Russell Midcap Index Fund	D	Dividend	K	T					
135. Vanguard Index Funds Vanguard Value	D	Dividend	J	T					
136. Vanguard Index Funds Vanguard Growth	D	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. IShares Inter CR BD FD (Now IShares Trust IShares 5-10 Year)	A	Dividend	J	T					
138. AQR Managed Futures Strategy Fund		None			Sold	02/04/21	J		
139. IShares MSCI Emerging Markets	A	Dividend	J	T					
140. IShares Natl Amt-Free Muni Bond	A	Dividend	J	T					
141. Vaneck Vectors Municipal	A	Distribution	J	T					
142. Vaneck Vectors Short Municipal	A	Distribution	J	T					
143. Metropolitan West Total	C	Distribution	K	T					
144. SPDR Euro Stoxx 50 ETF	B	Dividend	J	T					
145. Pimco Total Return Active ETF	A	Int./Div.	J	T					
146. 529 Education Account #1 holding (line 147) (H)									
147. American Funds Growth Portfolio-529F1	A	Int./Div.	K	T	Buy (add'l)	07/16/21	J		
148. 529 Education Account #2 holding (line 149) (H)									
149. American Funds Growth Portfolio-529F1	A	Int./Div.	K	T	Buy (add'l)	07/16/21	J		
150. Investment Account #5 directing (line 151) (H)									
151. Brokerage Account #5 holding (lines 152-201) (H)									
152. Tax Exempt Bonds: (H)									
153. Virginia Bch VA PFA (4/15/27)	A	Interest	J	T	Buy	09/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Fairfax Cnty VA (10/01/27)	A	Interest	J	T	Buy	09/29/21	J		
155. Equities/Stocks: (H)									
156. Adobe Inc.		None	J	T	Buy	09/24/21	J		
157. Alphabet Inc.		None	J	T	Buy	10/06/21	J		
158. Amazon.Com Inc.		None	J	T	Buy	12/15/21	J		
159. Apple Inc.	A	Dividend	J	T	Buy	09/24/21	J		
160. Blackrock Inc.	A	Dividend	J	T	Buy	09/24/21	J		
161. Borg Warner Inc.	A	Dividend	J	T	Buy	12/15/21	J		
162. Chevron Corp.	A	Dividend	J	T	Buy	10/07/21	J		
163. Cisco Systems Inc.	A	Dividend	J	T	Buy	12/15/21	J		
164. Comcast Corp.	A	Dividend	J	T	Buy	12/15/21	J		
165. Discover Finl. S.	A	Dividend	J	T	Buy	09/24/21	J		
166. Dominion Energy Inc.	A	Dividend	J	T	Buy	12/27/21	J		
167. Eastman Chemical Co.	A	Dividend	J	T	Buy	12/15/21	J		
168.					Buy (add'l)	12/27/21	J		
169. Emerson Electric Co.	A	Dividend	J	T	Buy	09/24/21	J		
170.					Buy (add'l)	12/27/21	J		

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Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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171. Home Depot Inc.	A	Dividend	J	T	Buy	09/24/21	J		
172. J.P. Morgan Chase & Co.	A	Dividend	J	T	Buy	10/06/21	J		
173. Kimberly Clark Co.	A	Dividend	J	T	Buy	09/24/21	J		
174.					Buy (add'l)	12/27/21	J		
175. Mastercard Inc.	A	Dividend	J	T	Buy	09/24/21	J		
176. McDonalds Corp.	A	Dividend	J	T	Buy	09/24/21	J		
177. Meta Platforms Inc.		None	J	T	Buy	09/24/21	J		
178. Microsoft Corp.	A	Dividend	J	T	Buy	09/24/21	J		
179.					Buy (add'l)	10/07/21	J		
180. Pepsico Inc.	A	Dividend	J	T	Buy	12/15/21	J		
181.					Buy (add'l)	12/27/21	J		
182. Prudential Financial	A	Dividend	J	T	Buy	12/27/21	J		
183. TJX Companies Inc.	A	Dividend	J	T	Buy	12/15/21	J		
184. Union Pacific Corp.	A	Dividend	J	T	Buy	10/06/21	J		
185. United Parcel Srvc.	A	Dividend	J	T	Buy	09/24/21	J		
186. Verizon Communication	A	Dividend	J	T	Buy	12/15/21	J		
187.					Buy (add'l)	12/27/21	J		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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188. VMware Inc.		None	J	T	Buy	12/27/21	J		
189. Walmart Inc.	A	Dividend	J	T	Buy	09/24/21	J		
190.					Buy (add'l)	12/27/21	J		
191. IShares S&P Mid Cap 400	A	Dividend	J	T	Buy	12/15/21	J		
192. ISHS MSCI Intl Quality	A	Dividend	J	T	Buy	12/15/21	J		
193. J.P. Morgan Ultra Short	A	Dividend	J	T	Buy	11/10/21	J		
194. Pimco Short Term Muni	A	Dividend	K	T	Buy	10/20/21	J		
195.					Buy (add'l)	10/21/21	J		
196.					Buy (add'l)	12/10/21	J		
197.					Buy (add'l)	12/16/21	J		
198. SPDR S&P 600 Small Cap.	A	Dividend	J	T	Buy	12/15/21	J		
199. Vanguard FTSE Developed	A	Dividend	J	T	Buy	12/15/21	J		
200. Wisdomtree Emrg Mkts	A	Dividend	J	T	Buy	12/15/21	J		
201. Xtrackers Mun Infra Rev	A	Dividend	J	T	Buy	12/10/21	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Investments and Trusts

Line 129, Virginia Small Business Fing Auth (11/01/21) matured on 11/01/2021, Value Code J.
Inadvertently listed sold on 11/01/2020 on 2020 Financial Disclosure Report.

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/04/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rebecca B. Smith

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544