AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Smith, Rebecca B.	Eastern District of Virginia	08/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States District Court 600 Granby Street Norfolk, Virginia 23510		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director Emeritus	General Douglas MacArthur Foundation, Norfolk, VA
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Truist Bank Account	A	Interest	M	T					
2.	Truist Bank Certificate of Deposit	A	Interest	M	T	Open	09/15/23	M		
3.	Investment Acount #1 directing (line 4) (H)									
4.	IRA-Brokerage Account #1 holding (lines 5-40)(H)									
5.	Schwab Govt. Money Fund	A	Int./Div.	J	T					
6.	Schwab Treasury Obligations Money Funds, formerly Schwab Value Advant.	A	Int./Div.	K	T					
7.	Schwab IRA Partial Distribution	E	Distribution	O	T					
8.	IShares S & P 400	A	Int./Div.	K	T					
9.	Wisdomtree Emrg Mkts	A	Int./Div.	K	T					
10.	IShares S & P 500 Value	B	Int./Div.	M	T					
11.	Vanguard FTSE ETF	B	Int./Div.	K	T					
12.	IShares EDG MSCI USA QLTY	A	Int./Div.	L	T					
13.	Taxable Bonds: (H)									
14.	Procter & Gamble (8/15/23)	A	Int./Div.			Redeemed	08/15/23	K		
15.	US Treasury NT (11/15/23)	A	Int./Div.			Redeemed	11/15/23	J		
16.	U.S. Treasury Securities, formerly U.S. Treasury NT (2/15/24)	A	Int./Div.	K	T					
17.	US Treasury Infl IDX (7/15/23)	A	Int./Div.			Redeemed	07/15/23	K	C	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Amazon (12/5/24)	A	Int./Div.	K	T					
19. J.P. Morgan U.S. Value Factor	C	Int./Div.	L	T					
20. SPDR S & P 600 Small Cap	A	Int./Div.			Sold	08/04/23	J	B	
21. Frisco TX	A	Int./Div.	K	T					
22. J.P. Morgan Ultra Short	A	Int./Div.	J	T					
23. Morgan Stanley (9/8/26)	B	Int./Div.	K	T					
24. Anheuser-Busch I (1/23/29)	A	Int./Div.	K	T					
25. First Trust TCW Secured	A	Int./Div.	J	T					
26. Southern Power (12/01/25)	B	Int./Div.	K	T					
27. Hewlett Packard (04/01/26)	A	Int./Div.	K	T					
28. RTX Corporation, formerly Raytheon Techno (11/16/28)	A	Int./Div.	J	T					
29. Florida St. Board (07/01/27)	A	Int./Div.	K	T					
30. Pimco Enhncd LW Drtn.	A	Int./Div.	J	T					
31. U.S. Treasury Securites, formerly UST INFL IDX (1/15/26)	A	Int./Div.			Merged (with line 16)	12/29/23	K		
32. Capital One Finl. (10/30/24)	A	Int./Div.	J	T					
33. Intercontinental (9/15/27)	A	Int./Div.	J	T					
34. Comcast Corp. (2/15/28)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Georgia Pacific (11/15/29)	A	Int./Div.	J	T					
36. IShares MSCI Intl. Quality	A	Int./Div.	J	T	Buy	08/04/23	J		
37. RenaissanceRe FI	A	Int./Div.	J	T	Buy	08/31/23	J		
38. Stanley Black & Decker	A	Int./Div.	J	T	Buy	09/07/23	J		
39. Ryder System, Inc.	A	Interest	J	T	Buy	08/07/23	J		
40. Duke Energy Corp.	A	Int./Div.	J	T	Buy	11/07/23	J		
41. Farm, [redacted]		None	N	W					
42. Investment Account #2 directing (line 43) (H)									
43. Brokerage Account #2 holding (lines 44-104)(H)									
44. Schwab Govt Money Fund	A	Int./Div.	K	T					
45. Schwab Treasury Obligations Money Funds, formerly Schwab Value Advant.	A	Int./Div.	L	T					
46. Equities/Stocks: (H)									
47. Auto Data Processing	B	Dividend	L	T					
48. Cisco Systems Inc.	A	Dividend	K	T					
49. Dollar Tree Inc.		None	K	T					
50. Dow Dupont Inc.	A	Dividend	J	T					
51. Corteva Inc.	A	Dividend			Sold	12/15/23	J	B	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
52. Exxon Mobil Corp.	B	Dividend	K	T					
53. Honeywell International	B	Dividend	L	T					
54. Johnson & Johnson	B	Dividend	L	T					
55. J.P. Morgan Chase & Co.	B	Dividend	L	T					
56. Mondelez	A	Dividend	K	T					
57. Microsoft Corp.	B	Dividend	M	T					
58. Pepsico Inc.	A	Dividend	K	T					
59. Canadian Natl Ry Co.	A	Dividend	L	T					
60. Home Depot	B	Dividend	L	T					
61. Proctor & Gamble	B	Dividend	K	T					
62. Travelers Companies	B	Dividend	L	T					
63. Vanguard Div Appreciation	B	Dividend	L	T					
64. Chevron Corporation	A	Dividend	K	T					
65. Wal-Mart Stores Inc.	A	Dividend	K	T					
66. Apple Inc.	B	Dividend	N	T					
67. Amgen Incorporated	B	Dividend	K	T					
68. McDonalds Corp	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
69.	Discover Financial SVCS	A	Dividend	J	T	Buy (add'l)	12/15/23	K		
70.	Adobe Systems Inc.		None	L	T					
71.	Alphabet Inc.		None	L	T					
72.	Stryker Corp.	A	Dividend	K	T					
73.	Walt Disney Co.		None			Sold	12/15/23	K		
74.	Vanguard Ftse Developed	B	Dividend	K	T					
75.	Comcast Corp.	A	Dividend			Sold	12/15/23	K	D	
76.	Cummins Inc.	B	Dividend	K	T					
77.	Bank of America	A	Dividend			Sold	12/15/23	K	C	
78.	Cbre Group Inc.		None	K	T					
79.	HGI Opportunity Fund XIV, LP	D	Distribution	K	T					
80.	J.P. Morgan Ultra Short	B	Dividend	L	T	Buy	12/04/23	J		
81.						Buy (add'l)	12/21/23	L		
82.	Berkshire Hathaway		None	L	T					
83.	Pfizer Inc.	A	Int./Div.			Sold	12/19/23	J		
84.	Pimco Short Term Muni	A	Int./Div.	J	T					
85.	IShares MSCI Emerging	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
86. TJX Companies Inc.	A	Int./Div.	K	T	Buy	12/15/23	K		
87. Tax Exempt Municipal Bonds: (H)									
88. Charlottesville VA General Obligation Bond (7/15/27)	A	Interest	J	T					
89. Falls Church VA General Obligation Bond (7/15/23)	A	Interest			Matured	07/15/23	J		
90. Poquoson VA General Obligation Bond (2/15/27)	A	Interest	K	T					
91. Loudoun Cnty VA General Obligation Bond (12/01/27)	A	Interest	K	T					
92. Fairfax Co., VA General Obligation Bond (10/01/23)	A	Interest			Matured	10/01/23	K		
93. Virginia State Pub Sch Auth. Revenue Bond (8/1/24)	A	Interest			Redeemed	08/01/23	K		
94. Virginia Beach, VA General Obligation Bond (07/15/32)	A	Interest	K	T					
95. Loudoun County, VA General Obligation Bond (12/01/33)	B	Interest	K	T					
96. Richmond, VA General Obligation Bond (07/15/34)	A	Interest	K	T					
97. Virginia St. Hsg. Revenue Bond (3/1/28)	A	Int./Div.	K	T					
98. Virginia Polytechnic Revenue Bond (6/1/29)	B	Int./Div.	K	T					
99. Hampton, VA General Obligation Bond (9/1/35)	A	Int./Div.			Sold	11/10/23	K		
100. Virginia St. Res. Aut. Revenue Bond (11/1/32)	A	Int./Div.	K	T					
101. VA Small Bus. FI HLT Revenue Bond (11/1/38)	B	Int./Div.	K	T					
102. Fairfax Cnty VA Eco Revenue Bond	A	Int./Div.	K	T	Buy	11/21/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103. Upper Occuquan Sewage Authority (Virginia) Revenue Bond		None	K	T	Buy	12/21/23	K		
104. Virginia College Bldg. Authority Revenue Bond	A	Int./Div.	K	T	Buy	03/31/23	K		
105. Wells Fargo	A	Interest	M	T					
106. C.A. Associates, 2%, Norfolk, VA	E	Distribution	L	W					
107. Friends of Cedar Point Country Club	A	Interest	J	T					
108. IRA-Brokerage Acct #3 directing and holding (lines 109-138) (H)									
109. UBS Financial Services IRA Partial Dist.	D	Int./Div.	M	T					
110. Washington Real Estate Investment Fund (now Elme Communities SBI)	A	Dividend			Sold	06/26/23	J	D	
111. IShares Inter Credit Bond (Now IShares Trust IShares 5-10 Year)	A	Int./Div.	J	T					
112. IShares Trust Russell Midcap Value Index	C	Int./Div.	J	T					
113. IShares Trust Russell Midcap Growth Index	C	Int./Div.	J	T					
114. IShares MSCI EAFE Index Fund	B	Int./Div.	J	T					
115. IShares MSCI Emerging Markets Index	A	Int./Div.	J	T					
116. Vanguard Index Funds Vanguard Value ETF	D	Int./Div.	J	T					
117. Vanguard Index Funds Vanguard Small Cap	D	Int./Div.	J	T					
118. Vanguard Index Funds Vanguard Growth	D	Int./Div.	J	T					
119. IShares IBoxx $ Invt Gra De Corp Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. IShares 7-10 Year Treas Bond ETF	A	Int./Div.	J	T					
121. Blackrock High Yield	A	Int./Div.			Sold	12/19/23	J	C	
122. Nuveen Symphony Floating	A	Int./Div.	K	T					
123. Virtus Multi-Sector Short Term Bond	A	Int./Div.	J	T					
124. IShares 20+ Year Treas Bond	A	Int./Div.			Sold	12/20/23	J	B	
125. SPDR Gold shares ETF	A	Int./Div.	J	T					
126. Invesco Treas. Coll. ETF	A	Int./Div.	J	T					
127. IShares Tips Bond ETF	A	Int./Div.	J	T					
128. Invesco Optimum Yield Diversified Commodity Strategy	A	Int./Div.	J	T					
129. UBS Bank USA Dep Acct	A	Interest	J	T					
130. Equities/Stock: (H)									
131. Vanguard Index Funds Vanguard Value	C	Int./Div.			Sold	01/11/23	J	C	
132. Vanguard Index Funds Vanguard Growth	C	Int./Div.			Sold	01/11/23	J	D	
133. IShares Natl Amt-Free Muni Bond	A	Int./Div.			Sold	04/20/23	J		
134. Vaneck Vectors Municipal	A	Distribution			Sold	02/23/23	J	A	
135. Metropolitan West Total	A	Dividend	K	T					
136. SPDR Euro Stoxx 50 ETF	A	Dividend			Sold	08/16/23	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. Pimco Total Return Active ETF		None			Sold	10/03/23	J		
138. Invesco S&P 500 Equal Weight		None			Sold	01/11/23	J	A	
139. Brokerage Account #4 , now IRA-Brokerage Account #3, Line 108 holding	D	Int./Div.			Merged (with line 108)	07/28/23	J		
140. (Lines 129 to 138) (H)									
141. 529 Education Account #1 holding (line 142) (H)									
142. American Funds Growth Portfolio-529F1	A	Int./Div.	L	T	Buy (add'l)	12/20/23	J		
143. 529 Education Account #2 holding (line 144) (H)									
144. American Funds Growth Portfolio-529F1	A	Int./Div.	K	T	Buy (add'l)	12/20/23	J		
145. 529 Education Account #3 holding (line 146) (H)									
146. American Funds Growth Portfolio-529-1	A	Int./Div.	J	T	Buy	12/20/23	J		
147. Investment Account #5 directing (line 148) (H)									
148. Brokerage Account #5 holding (lines 149-193) (H)									
149. Schwab Treasury Obligations Money Fund, formerly Schwab Value Advant.	A	Int./Div.	K	T					
150. Tax Exempt Municipal Bonds: (H)									
151. Virginia Bch VA PFA Revenue Bond (4/15/27)	A	Interest	J	T					
152. Fairfax Cnty VA General Obligation Bond (10/01/27)	A	Interest	J	T					
153. Fairfax CN VA EC FA Revenue Bond (10/1/26)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154. Loudon County General Obligation Bond (12/1/28)	A	Int./Div.	J	T					
155. Fredericksburg VA EC Revenue Bond (6/15/29)	A	Int./Div.	J	T					
156. Norfolk VA General Obligation Bond (3/1/33)	A	Int./Div.	J	T					
157. VA Small Bus FI HLT Revenue Bond (11/1/36)	A	Int./Div.	J	T					
158. Equities/Stocks: (H)									
159. Adobe Inc.		None	J	T					
160. Alphabet Inc.		None	J	T					
161. Amazon.Com Inc.	A	Dividend	J	T					
162. Apple Inc.	A	Dividend	J	T					
163. Blackrock Inc.	A	Dividend	J	T					
164. Borg Warner Inc.	A	Dividend	J	T					
165. Chevron Corp.	A	Dividend	J	T					
166. Cisco Systems Inc.	A	Dividend	J	T					
167. Comcast Corp.	A	Dividend	J	T					
168. Discover Finl. S.	A	Dividend	J	T					
169. Dominion Energy Inc.	A	Dividend	J	T					
170. Eastman Chemical Co.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
171. Emerson Electric Co.	A	Dividend	J	T					
172. Home Depot Inc.	A	Dividend	J	T					
173. J.P. Morgan Chase & Co.	A	Dividend	J	T					
174. Kimberly Clark Co.	A	Dividend	J	T					
175. Mastercard Inc.	A	Dividend	J	T					
176. McDonalds Corp.	A	Dividend	J	T					
177. Meta Platforms Inc.		None	J	T					
178. Microsoft Corp.	A	Dividend	J	T					
179. Pepsico Inc.	A	Dividend	J	T					
180. Prudential Financial	A	Dividend	J	T					
181. TJX Companies Inc.	A	Dividend	J	T					
182. Union Pacific Corp.	A	Dividend	J	T					
183. United Parcel Srvc.	A	Dividend	J	T					
184. Verizon Communication	A	Dividend	J	T					
185. VMware Inc.		None			Sold	08/04/23	J	B	
186. Walmart Inc.	A	Dividend	J	T					
187. IShares S&P Mid Cap 400	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
188. ISHS MSCI Intl Quality	A	Dividend	J	T					
189. J.P. Morgan Ultra Short	A	Dividend			Sold	04/06/23	J	A	
190. Pimco Short Term Muni	A	Dividend	K	T					
191. SPDR S&P 600 Small Cap.	A	Dividend	J	T					
192. Vanguard FTSE Developed	A	Dividend	J	T					
193. Wisdomtree Emrg Mkts	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 15, U.S. Treasury Securities. Combines income and gross value of all current U.S. Treasury Notes and Bills that did not incur any transactions during the 2023 reporting period.

Line 30, U.S. Treasury Securities. Merged with Line 15, to combine income and gross value of all U.S. Treasury Notes and Bills that did not incur any transactions during the 2023 reporting period.

Line 87, Brokerage Account #2. Tax Exempt Municipal Bonds holding lines 88-104. Bond type and category included.

Line 108, IRA-Brokerage Account #3. Account #3 was with the same brokerage company as Account #4 on Line 139. The accounts were merged into one IRA-Brokerage Account #3, on July 28, 2023, to simplify bookkeeping and financial statements. Brokerage Account #4 was thereby closed on July 28, 2023.

Lines 139-140, Brokerage Account #4. Account #4 was with the same brokerage company as Account #3 on Line 108. The accounts were merged into one IRA-Brokerage Account #3 on July 28, 2023. Account #4 investments are reflected in Lines 129 to 138, under IRA-Brokerage Account #3 beginning on Line 108. See note above for Line 108, IRA-Brokerage Account #3.

Line 150, Brokerage Account #5. Tax Exempt Municipal Bonds holding lines 151-157. Bond type and category included.

Name of Person Reporting	Date of Report
Smith, Rebecca B.	08/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rebecca B. Smith

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544