AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Staton, Josephine L.	U.S. District Court, Central District of California	09/08/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge-Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
350 West 1st St Suite 8250 Los Angeles, CA 90012		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Judicial Advisory Council	Association of Business and Trial Lawyers
2.	Board Member	Federal Bar Association - Orange County Chapter
3.	Member - Board of Visitors	University of California, Irvine - School of Law
4.	Trustee	[redacted] Trust #1
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	The Art Law Group ("ALG") (self-employed lawyer)
2.	2021	Self-employed writer
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Association of Business and Trial Lawyers	Oct. 20, 2021 through Oct. 24, /2021	Hawaii	Education/Annual Conference	Lodging, Meals, and Transportation
2.					
3.					
4.					
5.					

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Staton, Josephine L.	09/08/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	ALG clients	Client Trust Account	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Staton, Josephine L.	09/08/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. IRA #1 (H)									
2. -Ave Maria Rising Dividend Fund	B	Dividend	M	T					
3. -First Eagle Overseas Fund Cl 1	A	Dividend			Sold	02/11/21	M	E	
4. -First Eagle US Value Fund Cl 1	B	Dividend			Sold	02/11/21	M	E	
5. -Janus Balanced Fund Cl J	C	Dividend	N	T					
6. -Sterling Capital Stratton Small Cap Value Fund	B	Dividend			Sold	02/11/21	M	F	
7. -Janus Flexible Bond Fund CLT	A	Dividend	K	T					
8. -Charles Schwab Bank (Cash Account)		None	J	T					
9. IRA #2 (H)									
10. -Parnassus Core Equity Fund Investor SH	B	Dividend	N	T					
11. -Charles Schwab Bank (Cash Account)		None	J	T					
12. State of California Savings Plus Program (401k/457) (H)									
13. -Large Cap Fund	E	Interest	M	T					
14. -Target Date Fund	D	Interest	M	T					
15. Schwab 529 College Savings Plan Short Term Portfolio		None			Sold	02/16/21	J	A	
16. Schwab 529 College Savings Plan Moderately Conservative Portfolio		None			Sold	02/16/21	J	A	
17. United Health Group	A	Dividend			Sold	07/13/21	L	F	

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Charles Schwab Bank (Cash Account)		None	J	T					
19. Chase Bank (Cash Account) #1		None	J	T					
20. Chase Bank (Cash Account) #2		None	J	T					
21. American Express Bank (Cash Account)	C	Interest	O	T					
22. Rental Property #1 Orange County, CA	E	Rent	N	W					
23. IRA #3 (H)									
24. -Rental Property #2 Orange County CA	E	Rent	N	W					
25. -Custodial Cash (IRA Services Trust Co.)		None	L	T					
26. -Rental Property Phoenix AZ	D	Rent	M	W					
27. First Republic Checking 1	A	Interest	K	T					
28. First Republic Savings 1	A	Interest	K	T					
29. First Republic Checking 2	A	Interest	K	T					
30. First Republic Savings 2	A	Interest	K	T					
31. First Republic Checking 3 (X)		None	K	T	Open	12/28/21	K		
32. First Republic LLC Acct	A	Interest	K	T					
33. First Republic Jt Trust (X)	A	Interest	K	T	Open	04/21/21	J		
34. USAA Checking 1	A	Interest	L	T					

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35. USAA Checking 2	A	Interest	L	T					
36. USAA Checking 3	A	Interest	J	T					
37. USAA Checking 4	A	Interest	M	T					
38. USAA Savings 1	A	Interest	J	T					
39. USAA Savings 2	A	Interest	J	T					
40. USAA 529 College Plan (H)									
41. -Victory Capital 529 Acct - Age-Based Education Savings Plan	A	Int./Div.	M	T	Sold (part)	08/15/21	K	A	
42. Charles Schwab IRA (H)									
43. -Charles Schwab SWVXX Money Market (Cash) Mutual Fund	A	Int./Div.	K	T					
44. Fideltiy Roth IRA (H)									
45. -FUSVX Fidelity Mutual Fund	A	Int./Div.	M	T					
46. Fidelity Rollover IRA (H)									
47. -FUXVX Fidelity Mutual Fund	A	Int./Div.	M	T					
48. Fidelity Indiv. Account (H)									
49. -FDRXX Fidelity Bond Fund	A	Int./Div.	J	T	Sold (part)	07/13/21	N	G	
50. Fidelity Indv. Account (H)									
51. -Wells Fargo QPIQQ Mutual Funds	A	Int./Div.	J	T					

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52. Marcus Bank Savings	B	Interest	N	T					
53. Citizens Access Bank Savings	B	Interest	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I and Part VII. The holdings and income of [redacted] Trust #1, of which the Reporter is the Trustee as disclosed in Part 1, item 4, do not require disclosure because they do not fall within the scope of 5 U.S.C. Appx. 4, section 102(f)(1). The Reporter receives no income from and holds no beneficial interest in the principal or interest of the Trust. The same is true as to the Reporter's [redacted], and the Reporter has no dependent children.

Part 7, line 41. This line was amended in response to a letter of inquiry dated September 6, 2023 to specify the complete name of the plan, which is not self-directed but which is based on the general strategy specified on line 41.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Josephine L. Staton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544