AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Stearns, Richard G.	District Court of Massachusetts	04/26/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Court Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Moakley Federal Courthouse, Suite 7100 One Courthouse Way Boston, MA 02210		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	Massachusetts Prosecutor's Guide
2.	Trustee (non-compensated)	Vincent Memorial Foundation (Massachusetts General Brigham Hospital)
3.	Counselor (non-compensated)	American Bar Association CEELI Council
4.	Trustee (non-compensated)	Trust #1 ([redacted] Revocable Trust)
5.	Trustee (non-compensated)	Trust #2 (Clymer Estate and Trust)

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	12/31/2022	Massachusetts Prosecutor's Guide (book royalties)	$21,263.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Global Antitrust Institue (Antonin Scalia Law School)	06//26/22 - 07/01/22	Maui, Hawaii	Antitrust Law Seminar	Transportation, tuition (filer), meals and lodging (filer and [redacted])
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Stearns, Richard G.	04/26/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Evergy Common Stock	C	Dividend	M	T					
2.	Bank of America Common Stock	B	Dividend	M	T					
3.	Trust #1 PG&E Common Stock	A	None	K	T					
4.	Massachusetts Prosecutor's Guide Investment Portfolio									
5.	- Fidelity Asset Manager 50 Fund	C	Dividend	L	T					
6.	-Fidelity Equity Income II Fund	C	Dividend	L	T					
7.	-Fidelity Growth & Income Fund	A	Dividend	J	T					
8.	-Fidelity Spartan U.S. Treasury Fund	A	Dividend	J	T					
9.	Bank of America Cash Equivalent Accounts	A	Interest	N	T					
10.	Citizens Bank Keogh Cash and Certificates of Deposit	A	Interest	J	T					
11.	Citizens Securities Keogh Account Mutual Fiund Investments									
12.	-- Fidelity Advisor Intl Cap Apprec	A	Dividend	K	T					
13.	- Putnam Growth Opportunities	A	Dividend	K	T					
14.	-Putnam Large Cap Value Fund	A	Dividend	K	T					
15.	-Vanguard Explorer Investor	A	Dividend	J	T					
16.	-Pimco Income Institutional Fund	A	Dividend	K	T					
17.	-Pimco Intl Bd Dollar-Hedged Inst	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Stearns, Richard G.	04/26/2023

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. -Transamerica ShortTerm Bond	A	Dividend	J	T					
19. -IShares Russell 2000 Value ETF	A	Dividend	J	T					
20. State Street Bank Common Stock (401K)	A	Dividend	N	T					
21. State Street MMF (401K)	A	Interest	L	T					
22. Fidelity Utilities Fund IRA)	B	Dividend	K	T					
23. Fidelity Growth & Income Fund (Filer and IRAs)	E	Dividend	O	T					
24. Fidelity Equity Income II (Filer and IRAs)	E	Dividend	O	T					
25. Fidelity Cash Reserves	A	Dividend	K	T					
26. Fidelity Government Bond IRA (IRA)	A	Dividend	K	T					
27. Bank of America IRA Certificates of Deposit	A	Interest	L	T					
28. Vanguard Brokerage MMF	A	Interest	J	T					
29. Vanguard Self-Managed Mutual Funds									
30. -VDIGX Dividend Grown	A	Dividend	K	T					
31. -VSEQX Strategic Equity Investor	A	Dividend	K	T					
32. -VWENX Wellington Admiral	A	Dividend	K	T					
33. -VWUAX US Growth Admiral	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, line 4. Trust #1 is a revocable [redacted] trust. I acceded as trustee on February 9, 2019. The last remaining asset is inherited shares of stock in PG&E (the company remains in bankruptcy).

Part I, line 5. Trust #2 is a scholarship and hospice trust established by an extended [redacted] member. I was appointed trustee/personal representative on September 1, 1993. I receive no fees and have no beneficial interest in any Trust #2 asset. The beneficiaries are/were the University of Vermont and a terminally ill [redacted] member. Most of the trust assets have been distributed to the University of Vermont for the benefit of the Jane emily Clymer Scholaship Fund. These include the remainder of the fund established for the terminally-ill [redacted] member who died in June of 2022. The final distribution of the trust asseets to the Unversity of Vermont will be made before the end of 2023.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Richard G. Stearns

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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