AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Steele, William H.	U.S. District Court, Southern District of Alabama	05/16/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
113 St Joseph Streeet Mobile, AL 36602		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2021	Self-employed (musician)	$531.00
2. 2021	First Church of Christ Scientist (reader fees)	$1,050.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2021	Retirement Systems of Alabama - pension
2. 2021	Nationwide Retirement Solutions- deferred pay & retirement
3. 2021	Employee Liability Management, Inc. - wages
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Steele, William H.	05/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Regions Bank (cash)	A	Interest	K	T					
3. American Express High Yield Savings (cash)	A	Interest	K	T					
4. Nationwide Retirement Solutions (457b)	E	Distribution	M	T					
5. Rental property #1, Orange Beach, AL	E	Rent	N	W					
6. Acccount #1 (H)									
7. AB Cap Fd Inc Global REI (AREAX)	A	Dividend	K	T					
8. Alliance Bernstein Large-Cap Growth Class A (APGAX)	C	Dividend	M	T					
9. Alliance Bernstein Sustainable Global Thematic Fund (ALTFX)	B	Dividend	K	T					
10. John Hancock Regional Bank (FRBAX)	C	Dividend	L	T					
11. PGIM Jennison Blend Fund Class A (PBQAX)	D	Dividend	K	T					
12. Account #2 (H)									
13. Putnam Emerging Mkts Equity Fd Cl A (PEMMX)	A	Dividend	J	T					
14. Touchstone Strategic Tr Large Cap Focused Fund Cl A (SENCX)	B	Dividend	K	T					
15. Account #3 (H)									
16. Dodge & Cox Stock Fund (DODGX)	A	Dividend	J	T	Buy	08/11/21	J		
17. American Funds Euro Pacific Growth (AEPGX)	B	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Steele, William H.	05/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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	A	B		C		D				
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18.	AMG Timessquare Mid Cap Grwth Fd I (TQMIX)	C	Dividend	J	T	Buy (add'l)	08/11/21	J		
19.	American Funds The Bond Fund of America F2 (ABNFX)	A	Dividend	J	T					
20.	American Funds Growth Fund of America (AGTHX)		None			Sold	01/28/21	K		
21.	American Funds Growth Fd of Amer F2 (GFFFX)	B	Dividend	K	T	Buy (add'l)	08/11/21	J		
22.	American Funds New World Fd F2 (NFFFX)	B	Dividend	K	T					
23.	American Funds Wash Mut Fd F2 (WMFFX)	B	Dividend	K	T	Sold (part)	08/11/21	J		
24.	Principal Fds Inc Midcap Fd I (PCBIX)		None			Sold	08/11/21	J		
25.	Invesco Oppenheimer Dev Mkts Cl Y (ODVYX)	B	Dividend	K	T	Buy (add'l)	08/11/21	J		
26.	Jensen Portfolio Inc Cl I Shs (JENIX)		None			Sold	08/11/21	J		
27.	John Hancock Funds III Disc Val Mid Cap Fd (JVMIX)	B	Dividend	K	T	Sold (part)	08/11/21	J		
28.	American Funds Europac Grwth Fd F2 (AEPFX)	B	Dividend	K	T					
29.	PGIM High Yld Cl Z (PHYZX)	A	Dividend			Sold	08/11/21	J		
30.	Pimco Fds Pac Invt Mgmt Ser Emerg Mkts I (PEBIX)	A	Dividend	J	T					
31.	Pimco Commodity Real Return Strategy Fund Instl Cl (PCRIX)	A	Dividend	J	T	Buy	08/11/21	J		
32.	Putnam Growth Opportunities Fund Class A (POGAX)	E	Dividend	N	T	Sold (part)	01/28/21	J		
33.	Putnam Fd Emerging Mkts Equity Fd Cl A (PEMMX)	B	Dividend	K	T					
34.	American Funds SmallCap World Fd Inc Cl F2 (SMCFX)		None			Sold	08/11/21	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.	T Rowe Price Intl Overseas Fund (TROSX)	A	Dividend	K	T	Buy	08/11/21	K		
36.	Victory Sycamore Small Co Opp Fd I (VSOIX)	C	Dividend	K	T	Sold (part)	08/11/21	J		
37.	Victory Port Rs Sm Cap Growth Fd Y (RSYEX)	B	Dividend	J	T					
38.	Account #4 (H)									
39.	Wells Fargo Standard Bank Deposit (cash)	A	Interest	J	T					
40.	Abbott Laboratories (ABT)	A	Dividend	J	T					
41.	Accenture PLC (ACN)	A	Dividend	J	T					
42.	AFLAC Inc (AFL)	A	Dividend	J	T					
43.	Air Products & Chemicals Inc (APD)	A	Dividend	J	T					
44.	American Tower Corp (AMT)	A	Dividend	J	T	Buy	06/17/21	J		
45.	Amgen Inc (AMGN)	A	Dividend	J	T					
46.	Analog Devices, Inc. (ADI)	A	Dividend	J	T					
47.	Apple Inc.	A	Dividend	J	T	Buy (add'l)	11/18/21	J		
48.	AT&T (T)	A	Dividend			Sold	11/18/21	J		
49.	Automatic Data Processing (ADP)	A	Dividend	J	T					
50.	Becton Dickinson & Co (BDX)	A	Dividend	J	T					
51.	BlackRock Inc (BLK)	A	Dividend	J	T					

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52. Chevron Corp (CVX)	A	Dividend	J	T					
53. Chubb LTD (CB)	A	Dividend	J	T					
54. Cisco Systems Inc (CSCO)	A	Dividend	J	T					
55. Clorox Co (CLX)	A	Dividend	J	T					
56. Colgate-Palmolive Co (CL)	A	Dividend	J	T					
57. Comcast Corp New Cl A (CMCSA)	A	Dividend	J	T					
58. Emerson Electric Co (EMR)	A	Dividend	J	T					
59. Eversource Energy (ES)	A	Dividend	J	T					
60. Exxon Mobil (XOM)		None			Sold	05/17/21	J		
61. Factset Research Systems (FDS)	A	Dividend	J	T					
62. General Dynamics (GD)	A	Dividend	J	T					
63. Illinois Tool Works Inc (ITW)	A	Dividend	J	T					
64. Johnson & Johnson (JNJ)	A	Dividend	J	T					
65. JP Morgan Chase & Co (JPM)	A	Dividend	J	T	Buy (add'l)	11/18/21	J		
66. Linde PLC (LIN)	A	Dividend	J	T					
67. Lowe's Companies (LOW)	A	Dividend	J	T					
68. L3Harris Corp (HRS)	A	Dividend	J	T					

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69. McDonald's Corp (MCD)	A	Dividend	J	T					
70. Medtronic Inc (MDT)	A	Dividend	J	T					
71. Microsoft Corp (MSFT)	A	Dividend	K	T					
72. Morgan Stanley (MS)	A	Dividend			Sold	05/17/21	J		
73. NextEra Energy Inc (NEE)	A	Dividend	J	T					
74. Nike Inc (NKE)	A	Dividend	J	T	Sold (part)	11/18/21	J		
75. Norfolk Southern (NSC)	A	Dividend	J	T					
76. Novartis AG (NVS)		None	J	T					
77. P P G Industries (PPG)		None	J	T	Buy	11/18/21	J		
78. Paychex Inc (PAYX)	A	Dividend	J	T					
79. PepsiCo Inc (PEP)		None	J	T					
80. Phillips 66 (PSX)	A	Dividend	J	T	Buy (add'l)	05/17/21	J		
81. Procter & Gamble (PG)	A	Dividend	J	T					
82. Raytheon Technologies Corp (RTX)	A	Dividend	J	T					
83. Sysco Corp (SYY)		None			Sold	05/17/21	J		
84. Target Corp (TGT)	A	Dividend	J	T					
85. Unitedhealth Group Inc (UNH)	A	Dividend	J	T	Buy (add'l)	05/17/21	J		

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86. US Bancorp (USB)	A	Dividend	J	T	Buy (add'l)	11/18/21	J		
87. VF Corp (VFC)	A	Dividend	J	T					
88. Walmart Inc (WMT)		None	J	T					
89. WEC Energy Group (WEC)	A	Dividend	J	T					
90. 3M Co (MMM)	A	Dividend	J	T					
91. Account #5 (H)									
92. Alliance Bernstein Large Capital Growth (APGAX)	C	Dividend	M	T					
93. Fidelity New Markets Income Fd CL A (FGVMX)	A	Dividend	K	T					
94. American Funds Fundamental Investors Inc. (ANCFX)	D	Dividend	M	T					
95. American Funds Growth Fund of America (AGTHX)	E	Dividend	M	T					
96. Franklin Mutual Shares (TESIX)	C	Dividend	K	T					
97. American Funds New Economy Fund (ANEFX)	D	Dividend	L	T					
98. Putnam Invt Fds Multi-Cap Value Fund (PMVAX)	D	Dividend	L	T					
99. American Funds Washington Mutual (AWSHX)	C	Dividend	M	T					
100. Account #6 (H)									
101. FIDVIP Free 2020 SVC 2 (FFRTT)		None	M	T					
102. NW AMFDS NVIT ASSTALLOC II		None	M	T					

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103. NW NVIT INV DEST CAPAPP II		None	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 4: Level of risk only; no control over selections or transactions.

Part VII, Account #6 is a Nationwide Destination B Variable Annuity; underlying assets are listed in Part VII.

Touchstone Strategic Tr Large Cap Focused Fund Cl A (SENCX) was AIG SunAmerica Focused Alpha Large Cap (SFLAX).

Morgan Stanley (MS) was Eaton Vance (EV).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Steele, William H.	05/16/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William H. Steele

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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