AO 10
Rev. 1/2021

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Steele, William H. | U.S. District Court, Southern District of Alabama | 04/26/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge - Senior | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2022<br>**to**<br>12/31/2022 |
| **7. Chambers or Office Address** | | |
| 113 St. Joseph Streeet<br>Mobile, AL 36602 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions )*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions )*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions )*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|
| 1. 2022 | First Church of Christ Scientist (reader fees) | $1,180.00 |
| 2. 2022 | Self-employed (musician) | $1,520.00 |
| 3. | | |
| 4. | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| DATE | SOURCE AND TYPE |
|---|---|
| 1. 2022 | Retirement Systems of Alabama - pension |
| 2. 2022 | Nationwide Retirement Solutions- deferred pay & retirement |
| 3. 2022 | Employee Liability Management, Inc. - wages |
| 4. | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☑ NONE *(No reportable reimbursements.)*

| SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| 5. | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | Wells Fargo | Line of Credit | None |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Steele, William H.** | 04/26/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. Individual Assets (H) | | | | | | | | | |
| 2. Regions Bank (cash) | A | Interest | J | T | | | | | |
| 3. American Express High Yield Savings (cash) | A | Interest | L | T | | | | | |
| 4. Nationwide Retirement Solutions (457b) | C | Dividend | M | T | | | | | |
| 5. John Hancock Survivorship Universal Life Insurance (X) | D | Interest | M | T | | | | | |
| 6. Rental property #1, Orange Beach, AL | E | Rent | N | W | | | | | |
| 7. Acccount #1 (H) | | | | | | | | | |
| 8. AB Cap Fd Inc Global REI (AREAX) | A | Dividend | K | T | | | | | |
| 9. Alliance Bernstein Large-Cap Growth Class A (APGAX) | A | Dividend | L | T | | | | | |
| 10. Alliance Bernstein Sustainable Global Thematic Fund (ALTFX) | A | Dividend | K | T | | | | | |
| 11. John Hancock Regional Bank (FRBAX) | C | Dividend | L | T | | | | | |
| 12. PGIM Jennison Blend Fund Class A (PBQAX) | A | Dividend | K | T | | | | | |
| 13. Account #2 (H) | | | | | | | | | |
| 14. Putnam Emerging Mkts Equity Fd Cl A (PEMMX) | | None | J | T | | | | | |
| 15. Touchstone Strategic Tr Large Cap Focused Fund Cl A (SENCX) | A | Dividend | J | T | | | | | |
| 16. Account #3 (H) | | | | | | | | | |
| 17. Baron Invt Funds Trust Small Cap Fund (FSFIX) | A | Dividend | J | T | Buy | 05/02/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Steele, William H.** | 04/26/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| | A | B | | C | | D | | | | |
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| | Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
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| 18. | Dodge & Cox Stock Fund (DODGX) | A | Dividend | J | T | Sold (part) | 04/05/22 | J | | |
| 19. | American Funds Euro Pacific Growth (AEPGX) | A | Dividend | J | T | Buy (add'l) | 02/25/22 | J | | |
| 20. | AMG Timessquare Mid Cap Grwth Fd I (TQMIX) | A | Dividend | J | T | Buy (add'l) | 04/05/22 | J | | |
| 21. | American Funds The Bond Fund of America F2 (ABNFX) | A | Dividend | J | T | | | | | |
| 22. | American Funds Growth Fd of Amer F2 (GFFFX) | A | Dividend | K | T | | | | | |
| 23. | American Funds New World Fd F2 (NFFFX) | A | Dividend | K | T | Buy (add'l) | 02/25/22 | J | | |
| 24. | American Funds Wash Mut Fd F2 (WMFFX) | B | Dividend | K | T | Sold (part) | 02/25/22 | J | A | |
| 25. | Invesco Oppenheimer Dev Mkts Cl Y (ODVYX) | A | Dividend | K | T | Buy (add'l) | 02/25/22 | J | | |
| 26. | | | | | | Sold (part) | 07/27/22 | J | | |
| 27. | Jensen Portfolio Inc Class I SHS (JENIX) | A | Dividend | J | T | Buy | 04/05/22 | J | | |
| 28. | John Hancock Funds III Disc Val Mid Cap Fd (JVMIX) | A | Dividend | K | T | Sold (part) | 02/25/22 | J | A | |
| 29. | | | | | | Sold (part) | 04/05/22 | J | A | |
| 30. | American Funds Europac Grwth Fd F2 (AEPFX) | A | Dividend | K | T | | | | | |
| 31. | Pimco Commodities Plus Strategy Fd (PCLIX) | A | Dividend | J | T | Buy | 07/27/22 | J | | |
| 32. | Pimco Fds Pac Invt Mgmt Ser Emerg Mkts I (PEBIX) | A | Dividend | J | T | | | | | |
| 33. | Pimco Commodity Real Return Strategy Fund Instl Cl (PCRIX) | B | Dividend | J | T | Buy (add'l) | 07/27/22 | J | | |
| 34. | Putnam Growth Opportunities Fund Class A (POGAX) | E | Dividend | M | T | Sold (part) | 11/22/22 | K | D | |

| | | | | | | |
|---|---|---|---|---|---|---|
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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
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| 35. Putnam Fd Emerging Mkts Equity Fd Cl A (PEMMX) | | None | | | Sold | 11/22/22 | K | D | |
| 36. T Rowe Price Intl Overseas Fund (TROSX) | A | Dividend | J | T | Sold (part) | 05/02/22 | J | | |
| 37. Victory Sycamore Small Co Opp Fd I (VSOIX) | B | Dividend | K | T | Sold (part) | 02/25/22 | J | A | |
| 38. Victory Port Rs Sm Cap Growth Fd Y (RSYEX) | | None | | | Buy (add'l) | 02/25/22 | J | | |
| 39. | | | | | Sold | 05/02/22 | J | | |
| 40. Account #4 (H) | | | | | | | | | |
| 41. Wells Fargo Standard Bank Deposit (cash) | A | Interest | J | T | | | | | |
| 42. Abbott Laboratories (ABT) | A | Dividend | J | T | | | | | |
| 43. Accenture PLC (ACN) | A | Dividend | J | T | | | | | |
| 44. AFLAC Inc (AFL) | A | Dividend | J | T | | | | | |
| 45. Air Products & Chemicals Inc (APD) | A | Dividend | J | T | | | | | |
| 46. American Tower Corp (AMT) | A | Dividend | J | T | | | | | |
| 47. Amgen Inc (AMGN) | A | Dividend | J | T | | | | | |
| 48. Analog Devices, Inc. (ADI) | A | Dividend | J | T | | | | | |
| 49. Apple Inc. | A | Dividend | J | T | | | | | |
| 50. Automatic Data Processing (ADP) | A | Dividend | J | T | | | | | |
| 51. Becton Dickinson & Co (BDX) | A | Dividend | J | T | | | | | |

| | | | | | |
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| 52. BlackRock Inc (BLK) | A | Dividend | J | T | | | | | |
| 53. Chevron Corp (CVX) | A | Dividend | J | T | | | | | |
| 54. Chubb LTD (CB) | A | Dividend | J | T | | | | | |
| 55. Cisco Systems Inc (CSCO) | A | Dividend | J | T | | | | | |
| 56. Clorox Co (CLX) | A | Dividend | J | T | | | | | |
| 57. Colgate-Palmolive Co (CL) | A | Dividend | J | T | | | | | |
| 58. Comcast Corp New Cl A (CMCSA) | A | Dividend | J | T | | | | | |
| 59. Costco Whsl Corp (COST) | A | Dividend | J | T | Buy | 07/19/22 | J | | |
| 60. Emerson Electric Co (EMR) | A | Dividend | J | T | | | | | |
| 61. Eversource Energy (ES) | A | Dividend | J | T | | | | | |
| 62. Factset Research Systems (FDS) | A | Dividend | J | T | | | | | |
| 63. General Dynamics (GD) | A | Dividend | J | T | | | | | |
| 64. Grainger W W Inc (GWW) | A | Dividend | J | T | Buy | 07/19/22 | J | | |
| 65. Illinois Tool Works Inc (ITW) | A | Dividend | J | T | | | | | |
| 66. Johnson & Johnson (JNJ) | A | Dividend | J | T | | | | | |
| 67. JP Morgan Chase & Co (JPM) | A | Dividend | J | T | | | | | |
| 68. Linde PLC (LIN) | A | Dividend | J | T | | | | | |

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| 69. Lowe's Companies (LOW) | A | Dividend | J | T | | | | | |
| 70. L3Harris Corp (HRS) | A | Dividend | J | T | | | | | |
| 71. McDonald's Corp (MCD) | A | Dividend | J | T | | | | | |
| 72. Medtronic Inc (MDT) | A | Dividend | J | T | | | | | |
| 73. Microsoft Corp (MSFT) | A | Dividend | K | T | | | | | |
| 74. NextEra Energy Inc (NEE) | A | Dividend | J | T | | | | | |
| 75. Nike Inc (NKE) | A | Dividend | J | T | | | | | |
| 76. Norfolk Southern (NSC) | A | Dividend | J | T | | | | | |
| 77. Novartis AG (NVS) | A | Dividend | | | Sold | 06/22/22 | J | A | |
| 78. P P G Industries (PPG) | A | Dividend | J | T | | | | | |
| 79. Paychex Inc (PAYX) | A | Dividend | J | T | | | | | |
| 80. PepsiCo Inc (PEP) | A | Dividend | J | T | | | | | |
| 81. Phillips 66 (PSX) | A | Dividend | J | T | | | | | |
| 82. Procter & Gamble (PG) | A | Dividend | J | T | | | | | |
| 83. Raytheon Technologies Corp (RTX) | A | Dividend | J | T | | | | | |
| 84. Target Corp (TGT) | A | Dividend | J | T | | | | | |
| 85. Unitedhealth Group Inc (UNH) | A | Dividend | J | T | | | | | |

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| 86. US Bancorp (USB) | A | Dividend | J | T | | | | | |
| 87. VF Corp (VFC) | A | Dividend | J | T | | | | | |
| 88. Walmart Inc (WMT) | A | Dividend | J | T | | | | | |
| 89. WEC Energy Group (WEC) | A | Dividend | J | T | | | | | |
| 90. 3M Co (MMM) | A | Dividend | | | Sold | 07/19/22 | J | | |
| 91. Account #5 (H) | | | | | | | | | |
| 92. Alliance Bernstein Large Capital Growth (APGAX) | A | Dividend | L | T | | | | | |
| 93. Fidelity New Markets Income Fd CL A (FGVMX) | A | Dividend | | | Sold | 10/19/22 | J | | |
| 94. Fidelity Advisors Small Cap Value Fund (FCVAX) | A | Dividend | J | T | Buy | 10/19/22 | J | | |
| 95. American Funds Fundamental Investors Inc. (ANCFX) | D | Dividend | M | T | | | | | |
| 96. American Funds Growth Fund of America (AGTHX) | D | Dividend | M | T | | | | | |
| 97. Franklin Mutual Shares (TESIX) | C | Dividend | K | T | | | | | |
| 98. American Funds New Economy Fund (ANEFX) | | None | L | T | | | | | |
| 99. Putnam Invt Fds Multi-Cap Value Fund (PMVAX) | | None | L | T | | | | | |
| 100. American Funds Washington Mutual (AWSHX) | D | Dividend | M | T | | | | | |
| 101. Account #6 (H) | | | | | | | | | |
| 102. FIDVIP Free 2020 SVC 2 (FFRTT) | | None | L | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Steele, William H.** | 04/26/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 103. NW AMFDS NVIT ASSTALLOC II | | None | M | T | | | | | |
| 104. NW NVIT INV DEST CAPAPP II | | None | L | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 4: Level of risk only; no control over selections or transactions.

Part VII, Account #6 is a Nationwide Destination B Variable Annuity; underlying assets are listed in Part VII.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

| Name of Person Reporting | Date of Report |
|---|---|
| **Steele, William H.** | 04/26/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ William H. Steele**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544