AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT
## FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Stein, Sidney H. | U.S. District Court | 02/09/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge - Senior Status | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2022<br>**to**<br>12/31/2022 |
| **7. Chambers or Office Address** | | |
| U.S. Courthouse<br>500 Pearl Street<br>New York, New York 10007-1312 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | The Sedona Conference | 09/06/2022 - 09/08/2022 | Reston, VA | Working Group Meeting | Lodging, food and transportation |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Stein, Sidney H.** | 02/09/2024 |

## VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 1. MONEY MARKETS SHOWN BELOW (H) | | | | | | | | | |
| 2. BNY MELLON CASH RESERVE | A | Interest | K | T | | | | | |
| 3. JPMORGAN BANK SWEEP | A | Interest | K | T | | | | | |
| 4. TAX EXEMPT BONDS (H) | | | | | | | | | |
| 5. NEW YORK ST HSG FIN AGY GENHSG SER B | C | Interest | K | T | | | | | |
| 6. NEW YORK ST MTG AGY REV HOMETOWN MTG-29-a-RMKT | C | Interest | M | T | | | | | |
| 7. NY STATE POWER AUTH GEN REV ETMSERA | D | Interest | L | T | | | | | |
| 8. NY STATE POWER AUTH REV & GEN PURP SCR DETM | A | Interest | K | T | | | | | |
| 9. NY STATE POWER AUTH SER G | A | Interest | K | T | | | | | |
| 10. NEW YORK ST HSG FIN AGT GEN SER A | D | Interest | M | T | | | | | |
| 11. NYS REF-SER C | C | Interest | M | T | | | | | |
| 12. NYC, NY TRANSITIONAL SER D | C | Interest | M | T | | | | | |
| 13. NYC SER D | C | Interest | M | T | | | | | |
| 14. NYC NY DTD 10/23/2012 SER B | B | Interest | M | T | | | | | |
| 15. NYC NY TRA WILDLIFE CONSERVATION | C | Interest | M | T | | | | | |
| 16. MONROE CTY NY INDL DEV CORP SER A | D | Interest | M | T | | | | | |
| 17. NYS DORM AUTH SCH DIST-BOND | C | Interest | L | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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|---|---|---|---|---|---|---|---|---|---|
| 18. SUFFOLK CTY NY INTR SER A | C | Interest | | | Sold | 04/28/22 | L | | |
| 19. TRIBOROUGH NY BRIDGE & TUNNEL SER A | C | Interest | L | T | | | | | |
| 20. BAY AREA CA TOLL AUTH | A | Interest | K | T | | | | | |
| 21. SUFFOLK CTY NY WATER AUTH | C | Interest | M | T | | | | | |
| 22. NYS DORM AUTH MEMORIAL SLOAN KETTERING | B | Interest | L | T | | | | | |
| 23. NYC, NY MUNI WTR SER DD | B | Interest | L | T | | | | | |
| 24. NYC, NY WILDLIFE CONSERVATION SOC | C | Interest | M | T | | | | | |
| 25. NY STATE URBAN DEV | B | Interest | L | T | | | | | |
| 26. NEW YORK STATE DORM SER A | B | Interest | L | T | | | | | |
| 27. NY STATE HOUSING SER D GREEN | B | Interest | | | Sold | 04/29/22 | K | | |
| 28. WESTCHESTER CO NY SER A | C | Interest | L | T | | | | | |
| 29. MARYLAND ST CMNTY DEV SER B | B | Interest | | | Sold | 08/18/22 | K | | |
| 30. UTILITY DEBT SEC AU | C | Interest | L | T | | | | | |
| 31. BAY AREA CA TOLL AUTH | A | Interest | | | Sold | 09/29/22 | K | | |
| 32. NYC, NY SER E-1 | C | Interest | L | T | | | | | |
| 33. N COUNTRY NY DEV SOLID WASTE MGT | B | Interest | L | T | | | | | |
| 34. PLATTSBURGH NY FIRE DIST | A | Interest | J | T | | | | | |

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C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 35. HUDSON YARDS INFRASTRUCTURE SER A | A | Interest | | | Sold | 10/20/22 | K | | |
| 36. NYC HSG SER B2 | A | Interest | | | Sold | 10/19/22 | L | | |
| 37. NYC, NY TRA FUTURE TAX SECURED | A | Interest | | | Sold | 06/01/22 | L | | |
| 38. BROOKHAVEN, NY SER C | A | Interest | L | T | | | | | |
| 39. WESTCHESTER CTY, NY MIRIAM OSBORN MEMORIAL | A | Interest | L | T | | | | | |
| 40. DUTCHESS CTY, NY SER B | B | Interest | L | T | | | | | |
| 41. BROOKHAVEN NY SER B | A | Interest | L | T | | | | | |
| 42. BUFFALO NY SEWER AUTH GREEN BOND | B | Interest | L | T | | | | | |
| 43. ROCKLAND CTY NY SER C | B | Interest | L | T | | | | | |
| 44. TRIBOROUGH NY BRIDGE SENIOR LIEN C | B | Interest | L | T | | | | | |
| 45. MET GOVT NASHVILLE & DAVIDSON | B | Interest | | | Sold | 05/20/22 | L | | |
| 46. BABYLON NY SER B | B | Interest | L | T | | | | | |
| 47. NY STATE DORM SER A | B | Interest | | | Sold | 04/28/22 | L | | |
| 48. COLUMBUS OHIO CTY SCHOOL DIST SER A | B | Interest | | | Sold | 11/14/22 | L | | |
| 49. WESTERN NASSAU CTY NY | B | Interest | K | T | Buy | 01/21/22 | K | | |
| 50. NEW YORK ST DORM AUTH | A | Interest | K | T | Buy | 01/26/22 | K | | |
| 51. NYC NY TRA | A | Interest | | | Buy | 06/01/22 | L | | |

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N =$250,001 - $500,000
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|---|---|---|---|---|---|---|---|---|---|
| 52. NYC NY TRA | | | | | Sold | 11/28/22 | L | | |
| 53. NYC NY HSG | A | Interest | L | T | Buy | 06/03/22 | L | | |
| 54. MARYLAND ST CMNTY DE 3/1/29 | A | Interest | | | Buy | 08/18/22 | K | | |
| 55. MARYLAND ST CMNTY DE 3/1/29 | | | | | Sold | 10/11/22 | K | | |
| 56. WINDY GAP FIRMING PR | | None | L | T | Buy | 09/13/22 | L | | |
| 57. BAY AREA CA TOLL AUTH | A | Interest | K | T | Buy | 09/29/22 | K | | |
| 58. MARYLAND ST CMNTY DE 3/1/28 | A | Interest | K | T | Buy | 10/11/22 | K | | |
| 59. HUDSON YARDS INFRAST | B | Interest | K | T | Buy | 10/20/22 | K | | |
| 60. INDIANA FIN AUTH | | None | L | T | Buy | 10/27/22 | L | | |
| 61. HAMILTON OH CITY SCH | A | Interest | L | T | Buy | 11/14/22 | L | | |
| 62. RHODE ISLAND ST HLTH | | None | K | T | Buy | 11/25/22 | K | | |
| 63. NYC NY TRA | A | Interest | L | T | Buy | 11/28/22 | L | | |
| 64. MUTUAL FUNDS (H) | | | | | | | | | |
| 65. GREYCOURT PARTNERS FUND | | None | | | Redeemed (part) | 02/28/22 | L | | |
| 66. GREYCOURT PARTNERS FUND | | | | | Redeemed | 06/30/22 | N | | |
| 67. ISHARES CORE MSCI ETF | D | Dividend | O | T | Sold (part) | 04/12/22 | M | | |
| 68. ISHARES CORE MSCI ETF | | | | | Sold (part) | 05/04/22 | O | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 69. I SHARE CORE MSCI ETF | | | | | Buy | 06/15/22 | O | | |
| 70. I SHARES ESGI AWARE ETF | D | Dividend | N | T | Sold (part) | 04/12/22 | K | | |
| 71. I SHARES ESGI AWARE ETF | | | | | Sold (part) | 05/04/22 | N | | |
| 72. I SHARES GOLD ETF | | None | M | T | Sold (part) | 02/18/22 | L | C | |
| 73. I SHARES GOLD ETF | | | | | Sold (part) | 04/12/22 | L | D | |
| 74. I SHARES RUSSELL MID CAP ETF | D | Dividend | O | T | Sold (part) | 04/12/22 | N | | |
| 75. I SHARES RUSSELL MID CAP ETF | | | | | Buy | 12/30/22 | K | | |
| 76. S&P 500 GROWTH ETF | D | Dividend | O | T | Sold (part) | 04/12/22 | K | | |
| 77. S&P 500 GROWTH ETF | | | | | Buy | 05/04/22 | O | | |
| 78. S&P 500 GROWTH ETF | | | | | Sold (part) | 06/15/22 | N | | |
| 79. VANGUARD INFLATION PROTECTED ADM | D | Dividend | M | T | Sold (part) | 04/12/22 | K | | |
| 80. AKRE FOCUS INSTL | E | Dividend | N | T | Sold (part) | 05/04/22 | N | | |
| 81. AKRE FOCUS INSTL | | | | | Buy | 06/15/22 | N | | |
| 82. AKRE FOCUS INSTL | | | | | Buy | 12/30/22 | L | | |
| 83. KOPERNIK INTL 1 | D | Dividend | M | T | | | | | |
| 84. MATTHEWS PACIFIC TIGER 1 | B | Dividend | L | T | Sold (part) | 05/04/22 | M | | |
| 85. MATTHEWS PACIFIC TIGER 1 | | | | | Buy | 06/15/22 | L | | |

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|---|---|---|---|---|---|---|---|---|---|
| 86. POLEN US SMALL COMPANY GROWTH | | None | M | T | Sold (part) | 05/04/22 | N | | |
| 87. POLEN US SMALL COMPANY GROWTH | | | | | Buy | 06/15/22 | M | | |
| 88. POLEN US SMALL COMPANY GROWTH | | | | | Buy | 12/30/22 | K | | |
| 89. VANGUARD DIVIDEND GROWTH | E | Dividend | O | T | Sold (part) | 05/04/22 | L | | |
| 90. VANGUARD INTERNATIONAL GROWTH | C | Dividend | M | T | Sold (part) | 05/04/22 | N | | |
| 91. VANGUARD ULTRA SHORT TERM BOND (SEE PART VIII) | C | Dividend | M | T | | | | | |
| 92. ISHARES S&P GSCI | | None | L | T | Buy | 05/04/22 | L | | |
| 93. VANGUARD DEVELOPED MARKETS | | None | | | Buy | 05/04/22 | P1 | | |
| 94. VANGUARD DEVEOPED MARKETS | | | | | Sold | 06/15/22 | P1 | | |
| 95. VANGUARD SMALL CAP GROWTH | | None | | | Buy | 05/04/22 | N | | |
| 96. VANGUARD SMALL CAP GROWTH | | | | | Sold | 06/15/22 | N | | |
| 97. I SHARE CORE EMERGING | | None | | | Buy | 05/04/22 | N | | |
| 98. I SHARE CORE EMERGING | | | | | Sold | 06/15/22 | N | | |
| 99. CHINA LARGE CAP ETF | A | Dividend | | | Buy | 05/04/22 | M | | |
| 100. CHINA LARGE CAP ETF | | | | | Sold | 06/15/22 | M | D | |
| 101. HORIZON KINETICS INFLAT BENEF ETF | B | Dividend | M | T | Buy | 06/15/22 | M | | |
| 102. HORIZON KINETICS INFLAT BENEF ETF | | | | | Buy | 12/30/22 | M | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Stein, Sidney H.** | 02/09/2024 |

## VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. VANGUARD INTL CORE STOCK | C | Dividend | M | T | Buy | 06/15/22 | M | | |
| 104. VANGUARD EXTENDED MARKET INDEX | C | Dividend | N | T | Buy | 06/15/22 | N | | |
| 105. VANGUARD EXTENDED MARKET INDEX | | | | | Buy | 12/30/22 | L | | |
| 106. VANGUARD ENERGY ETF | | None | K | T | Buy | 12/27/22 | K | | |
| 107. IRA (H) | | | | | | | | | |
| 108. DFA EMERGING MARKET FUND | B | Dividend | L | T | | | | | |
| 109. PIMCO TOTAL RETURN FUND INSTITUTIONAL | D | Dividend | N | T | | | | | |
| 110. VANGUARD INTL FD FT SE DEVELOPED MKTS | D | Dividend | M | T | | | | | |
| 111. VANGUARD INDEX FDS S&P ETF | C | Dividend | N | T | | | | | |
| 112. I SHARES GOLD | | None | L | T | Sold (part) | 12/27/22 | K | B | |
| 113. I SHARES RUSSELL MID CAP ETF | B | Dividend | M | T | | | | | |
| 114. I SHARES S&P 500 GROWTH ETF | B | Dividend | N | T | | | | | |
| 115. DODGE & COX INCOME | B | Dividend | L | T | | | | | |
| 116. MFS EMERGING MARKETS DEBT | C | Dividend | L | T | | | | | |
| 117. VANGUARD HIGH YIELD LOAN ADM | D | Dividend | M | T | | | | | |
| 118. VANGUARD INTL GROWTH | C | Dividend | K | T | | | | | |
| 119. VANGUARD INFLATION PROTECTED ADM | D | Dividend | L | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Stein, Sidney H.** | 02/09/2024 |

## VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets)<br>Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. KOPERNIK INTL | A | Dividend | K | T | | | | | |
| 121. POLEN US SMALL COMPANY GROWTH | | None | K | T | | | | | |
| 122. VANGUARD DIVIDEND GROWTH | C | Dividend | L | T | | | | | |
| 123. MATTHEWS PACIFIC TIGER | B | Dividend | K | T | | | | | |
| 124. I SHARES S&P GSCI COMMODITY | | None | K | T | Buy | 02/18/22 | K | | |
| 125. SCHWAB US TREASURY | A | Dividend | M | T | Buy | 09/06/22 | M | | |
| 126. VANGUARD ENERGY ETF | | None | J | T | Buy | 12/29/22 | J | | |
| 127. MISCELLANEOUS (H) | | | | | | | | | |
| 128. CASH JPMORGAN CHASE | A | Interest | K | T | | | | | |
| 129. CASH CHARLES SCHWAB | C | Interest | O | T | | | | | |
| 130. ARBOR PPTY TRUST | | None | K | T | | | | | |
| 131. SERVICEMASTER LP | | None | M | T | | | | | |
| 132. US TREASURY BILLS DUE 01/31/22 | A | Interest | | | Redeemed | 01/31/22 | | | |
| 133. US TREASURY BILLS DUE 9/29/22 | A | Interest | | | Buy | 06/29/22 | | | |
| 134. US TREASURY BILLS DUE 9/29/22 | | | | | Redeemed | 09/29/22 | | | |
| 135. Judge Stein is only including informatiom on him for year 2022 | | | | | | | | | |
| 136. See Part VIII for further information re above row 135 | | | | | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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| Name of Person Reporting | Date of Report |
|---|---|
| **Stein, Sidney H.** | 02/09/2024 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII line 91: Vanguard Ultra Short Term Bond Fund was held in Year 2021 and inadvertently not included in Year 2021 Report.
Part VII line 135: Judge Stein is only including information on him for year 2022. See Ethics in Government Act of 1978, section 102(e)(2).

| Name of Person Reporting | Date of Report |
|---|---|
| **Stein, Sidney H.** | 02/09/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature:** **s/ Sidney H. Stein**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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