Annual, 2025

Financial Disclosure Report (AO Form 10)

Filer's Information
Stein, Sidney H.
U.S. District Court - New York Southern
Senior District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Stein, Sidney H. [electronically signed on Aug 6, 2026 4:18pm by Stein, Sidney H. in JEFS]

I. Positions

I do not have any Positions to report
No entries in this section

II. Agreements

I do not have any Agreements to report
No entries in this section

III A. Filer Non-Investment Income

I do not have any Filer Non-Investment Income to report
No entries in this section

III B. Spouse Non-Investment Income

I do not have any Spouse Non-Investment Income to report
No entries in this section

IV. Reimbursements

	SOURCE OF REIMBURSEMENT	FROM DATE	TO DATE	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York State Bar Association	Oct 15, 2025	Oct 17, 2025	Hamburg Germany	Bar Association Meeting	Transportation, Lodging, Meals

V. Gifts

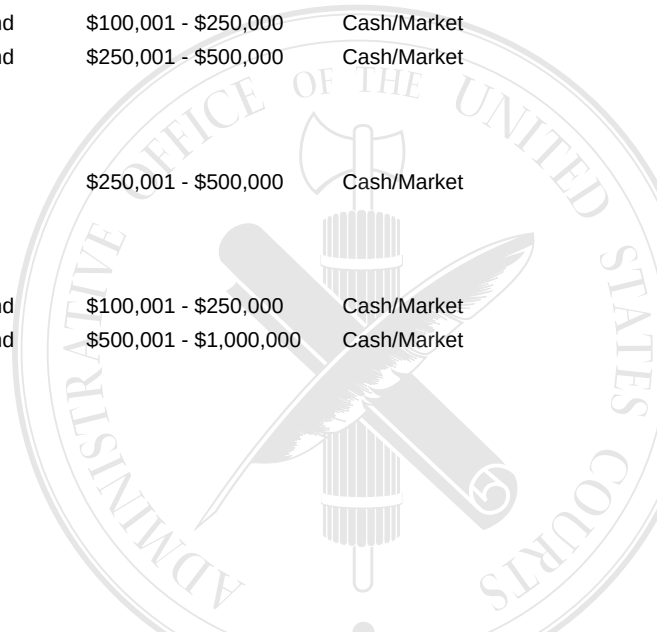
I do not have any Gifts to report
No entries in this section

VI. Liabilities

I do not have any Liabilities to report
No entries in this section

VII. Investments and Trusts

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1.	**(H) Mutual Funds & ETFs**				
1-A.	Vanguard : Inflation Protected Securitiests Bond (Adm)	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
1-B.	Vanguard : Emerging Markets Bond (Adm)	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
1-C.	River Canyon : Total Return Bond Fund (I)	$15,001 - $50,000	Dividend	$100,001 - $250,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Sep 19, 2025 $100,001 - $250,000				
1-D.	Janus Henderson : AAA CLO	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
1-E.	Dodge & Cox : Income Fund	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
1-F.	iShares : S&P 500 Growth (ETF)	$5,001 - $15,000	Dividend	$1,000,001 - $5,000,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy (add'l) Sep 19, 2025 $15,001 - $50,000				
1-G.	iShares : Gold Trust (ETF)		None	$250,001 - $500,000	Cash/Market
1-H.	iShares : Core MSCI EAFE (ETF)	$50,001 - $100,000	Dividend	$1,000,001 - $5,000,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy (add'l) Sep 17, 2025 $15,001 - $50,000				
	(2). Buy (add'l) Sep 19, 2025 $15,001 - $50,000				
1-I.	Vanguard : Total Stock Market (ETF)	$15,001 - $50,000	Dividend	$1,000,001 - $5,000,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Dec 16, 2025 $250,001 - $500,000				
1-J.	Vanguard : High Yield Tax-Exempt (Adm)	$15,001 - $50,000	Dividend	$500,001 - $1,000,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Sep 17, 2025 $50,001 - $100,000				
1-K.	Vanguard : Energy (ETF)	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
1-L.	Vanguard : Dividend Growth	$50,001 - $100,000	Dividend	$250,001 - $500,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy (add'l) Sep 19, 2025 $15,001 - $50,000				
1-M.	GMO-Usonian Japan Value Creation (Inst)		None	$250,001 - $500,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Dec 16, 2025 $250,001 - $500,000				
1-N.	Fidelity Select Utilities	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
1-O.	Eaton Vance : Atlanta Capital SMID-Cap (I)	$15,001 - $50,000	Dividend	$500,001 - $1,000,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Sep 17, 2025 $15,001 - $50,000				
	(2). Sold (part) Sep 19, 2025 $15,001 - $50,000				



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1-P.	DFA : Emerging Markets Social Core	$15,001 - $50,000	Dividend	$500,001 - $1,000,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Sep 19, 2025	$15,000 or less

2. **(H) Municipal Bonds**

2-A.	Western Nassau CNTY NY WTR Auth W Rev BDS 2015a 5.00 % Due Apr 1	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Apr 1, 2025	$15,001 - $50,000

2-B.	Westchester CNTY NY Local Dev CO DTD 4/25/2019 5% 7/1/2028 Ref-M	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
2-C.	Westchester CNTY NY DTD 10/28/2020 2% 10/15/2032 Ser A 2.00 % Du	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
2-D.	Utility Debt Securitization Au DTD 4/7/2016 5% 12/15/2035 Ref-Re	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Dec 15, 2025	$50,001 - $100,000

2-E.	Triborough Bridge & Tunnel Authority DTD 9/30/2021 5% 5/15/2039	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
2-F.	Triborough Bridge & Tunnel Auth NY DTD 11/17/2017 5% 11/15/2026	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Sep 24, 2025	$50,001 - $100,000

2-G.	Texas ST DTD 7/6/2023 5% 8/1/2036 Water Financial Assistance-Ser	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
2-H.	South Plattsburgh NY Fire Dist DTD 9/28/2016 3.75% 4/1/2030 Ref	$1,000 or less	Interest	$15,000 or less	Cash/Market
2-I.	Rockland CNTY NY DTD 8/11/2021 4% 10/15/2026 Ref-Ser B 4.00 % Du	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Sep 24, 2025	$50,001 - $100,000

2-J.	Rhode Island ST HLTH & Eductnl DTD 7/27/2022 5% 9/1/2033 Brown U	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
2-K.	Rhode Island HLTH & Eductnl BL DTD 12/23/2025 5% 5/15/2039 City		None	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 19, 2025	$50,001 - $100,000

2-L.	Oklahoma ST WTR Res BRD ST Loa DTD 8/10/2023 5% 10/1/2037 Ser B	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jun 3, 2025	$50,001 - $100,000

2-M.	Northern Colorado CO WTR Act DTD 8/19/2021 5% 7/15/2036 Windy Ga	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
2-N.	North Castle NY DTD 5/13/2021 2% 5/1/2030 2.00 % Due May 1, 2030	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
2-O.	Newburgh NY Enlarged City SCH DTD 4/20/2021 5% 4/15/2028 5.00 %	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
2-P.	New York ST Envrnmntl Facs Cor DTD 5/18/2023 5% 5/15/2027 Green	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
2-Q.	New York ST Dorm Auth Sales Tax DTD 12/17/2024 5% 3/15/2042 5.00	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
2-R.	New York ST Dorm Auth Sales Ta DTD 8/15/2023 5% 3/15/2035 Ref-Se	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 31, 2025	$50,001 - $100,000

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
2-S.	New York ST Dorm Auth Sales Ta DTD 12/17/2024 5% 3/15/2037 Ref-	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jul 31, 2025	$50,001 - $100,000

2-T.	New York ST Dorm Auth ST Perso DTD 3/21/2024 5% 3/15/2038 5.00 %	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
2-U.	New York ST Dorm Auth ST Perso DTD 12/17/2021 5% 3/15/2034 Unref	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
2-V.	New York ST Dorm Auth Revenues DTD 7/2/2018 5% 10/1/2030 SCH Dis	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
2-W.	New York ST Dorm Auth Revenues DTD 5/17/2018 5% 7/1/2032 Ser A 5	$2,501 - $5,000	Interest	$15,001 - $50,000	Cash/Market
2-X.	New York ST Dorm Auth Revenues DTD 12/20/17 4% 7/1/33 Non ST Sup	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
2-Y.	New York NY DTD 4/11/2024 5% 8/1/2032 Ref-Ser F 5.00 % Due Aug 1		None	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Sep 26, 2025	$15,001 - $50,000
(2).	Buy (add'l)	Sep 29, 2025	$15,000 or less

2-Z.	New York NY DTD 3/13/2018 5.25% 3/1/2034 Ser E-1 5.25 % Due Mar	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
2-AA.	New York NY DTD 10/23/2011 5% 10/1/2028 Ref-Ser D-Subser D-3a-Re		None	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 7, 2025	$15,001 - $50,000

2-AB.	New York NY City Transitional DTD 7/30/2024 5% 11/1/2037 Ref-Sub	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 31, 2025	$15,001 - $50,000
(2).	Sold	Jul 21, 2025	$15,001 - $50,000

2-AC.	New York NY City Transitional DTD 5/29/2025 5% 11/1/2035 Ref-Fut	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Sep 26, 2025	$15,001 - $50,000

2-AD.	New York NY City Transitional DTD 1/3/2024 5% 5/1/2037 Ref-Subor	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 31, 2025	$15,001 - $50,000
(2).	Buy	Jul 21, 2025	$15,001 - $50,000

2-AE.	New York N Y DTD 10/18/2022 5.25% 10/1/2042 5.25 % Due Oct 1, 20	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Sep 29, 2025	$15,001 - $50,000

2-AF.	New York City NY Trust For Cul DTD 9/5/2019 5% 12/1/2030 Ref-Car	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
2-AG.	New York City NY Transitionalf DTD 9/1/2020 5% 11/1/2029 Auth-Re	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Aug 1, 2025	$50,001 - $100,000

2-AH.	New York City NY Transitional DTD 8/17/2017 5% 8/1/2039 Ser A-2-		None	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Nov 12, 2025	$15,001 - $50,000

2-AI.	New York City NY Muni WTR Fin DTD 3/20/2018 5% 6/15/2032 Ref-Ser	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
2-AJ.	Mount Pleasant NY Centrl SCH D DTD 6/23/2021 2% 6/15/2030 2.00 %	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
2-AK.	Met Govt Nashville & Davidson DTD 10/30/2024 5% 1/1/2040 Ser C 5	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	May 2, 2025	$50,001 - $100,000
(2).	Buy	Jun 11, 2025	$50,001 - $100,000

2-AL.	Maryland ST CMNTY Dev Admin DE DTD 6/13/2019 5% 3/1/2028 Ref-Ser	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market
2-AM.	Maine ST Muni Bond Bank DTD 5/22/25 5% 11/1/32 5.00 % Due Nov 1,	$1,000 or less	Interest	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	May 5, 2025	$50,001 - $100,000

2-AN.	LA Porte TX Indep SCH Dist DTD 8/1/23 5% 2/15/37 5.00 % Due Feb		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Apr 4, 2025	$50,001 - $100,000
(2).	Sold	Jun 3, 2025	$50,001 - $100,000

2-AO.	Kingston NY DTD 8/12/2025 5% 8/1/2033 5.00 % Due Aug 1, 2033		None	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Aug 6, 2025	$50,001 - $100,000

2-AP.	Jordan VLY UT WTR Conservancy DTD 1/29/2025 5% 10/1/2040 Ref-Ser		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	May 2, 2025	$50,001 - $100,000
(2).	Sold	Jun 11, 2025	$50,001 - $100,000

2-AQ.	Indiana ST Finance Authority DTD 11/17/2022 5.25% 10/1/2036 5.25	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
2-AR.	Huntington NY DTD 7/29/2021 2% 6/15/2029 Pub Impt 2.00 % Due Jun	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
2-AS.	Hudson Yards NY Infrastructure CO DTD 10/27/2021 5% 2/15/2028 Re	$1,001 - $2,500	Interest	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Sep 26, 2025	$15,001 - $50,000

2-AT.	Gaston CNTY NC DTD 7/11/2023 4% 5/1/2025 Bans 4.00 % Due May 1,	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	May 1, 2025	$15,001 - $50,000

2-AU.	Chappaqua NY Centrl SCH Dist DTD 6/20/2018 3% 6/15/2027 3.00 % D	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
2-AV.	Buffalo NY SWR Auth SWR Envrnm DTD 6/16/2021 4% 6/15/2034 Green	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market
2-AW.	Battery Park City NY Auth DTD 8/3/2023 5% 11/1/2038 5.00 % Due N	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 31, 2025	$50,001 - $100,000

2-AX.	Battery Park City NY Auth DTD 8/3/2023 5% 11/1/2037 5.00 % Due N		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 31, 2025	$50,001 - $100,000

3.	**(H) Other Assets**				
3-A.	Arbor Property Trust		None	$15,001 - $50,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
3-B.	Service Master LP		None	$100,001 - $250,000	Cash/Market
4.	**(H) Money Markets & Cash**				
4-A.	Chase Cash	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
4-B.	BNY Cash Sweep	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
4-C.	Schwab Cash	$1,001 - $2,500	Interest	$100,001 - $250,000	Cash/Market
4-D.	Schwab : US Treasury Money Market	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Feb 5, 2025	$50,001 - $100,000
(2).	Buy (add'l)	Jun 30, 2025	$15,001 - $50,000
(3).	Buy (add'l)	Sep 30, 2025	$50,001 - $100,000
(4).	Sold (part)	Oct 22, 2025	$15,000 or less
(5).	Sold (part)	Nov 18, 2025	$15,000 or less
(6).	Sold (part)	Dec 1, 2025	$50,001 - $100,000

4-E.	Schwab : AMT Tax Free Money Fund (Inv)	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Sep 17, 2025	$50,001 - $100,000
(2).	Buy (add'l)	Sep 30, 2025	$15,001 - $50,000
(3).	Buy (add'l)	Dec 4, 2025	$50,001 - $100,000
(4).	Buy (add'l)	Dec 17, 2025	$100,001 - $250,000
(5).	Buy (add'l)	Dec 22, 2025	$100,001 - $250,000
(6).	Sold (part)	Dec 24, 2025	$50,001 - $100,000

VIII. Additional Information or Explanations

	ADDITIONAL INFORMATION OR EXPLANATION
1.	Judge Stein is only including information on him for year 2025. See Ethics in Government Act of 1978 section 102(e)(2).