AO-10T
Rev. 03/2023

FINANCIAL DISCLOSURE
PERIODIC TRANSACTION REPORT

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. §§ 13101-13111)

*1. First Name	*2. Middle Initial	*3. Last Name	4. Suffix
Sidney	H	Stein	
*5. Title	*6. District/Court/Unit		*7. Circuit
U.S. District Judge	NEW YORK SOUTHERN		02
8. Report Type	9. Calendar Year	*10. Date Filed	☐ 11. Check box if amending prior report and enter date of prior submission.
Periodic Transaction Report	2023	10/23/2025	
*12. Date of First Transaction on this Report		*13. Date of Last Transaction on this Report	
01/26/2023		11/09/2023	

TRANSACTIONS

Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children)

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
1. Municipal Bond:05620PNX7	Sold	01/26/2023	01/31/2023	$50,001 - $100,000
2. Municipal Bond:052430VU6	Buy	02/16/2023	02/28/2023	$50,001 - $100,000
3. Municipal Bond:61075TLZ9	Sold	06/16/2023	06/30/2023	$100,001 - $250,000
4. Municipal Bond:64986DMP7	Buy	06/29/2023	06/30/2023	$50,001 - $100,000
5. Municipal Bond:77355PF99	Buy	07/07/2023	07/31/2023	$50,001 - $100,000
6. Municipal Bond:77355PG80	Sold	07/07/2023	07/31/2023	$50,001 - $100,000
7. Municipal Bond:367298ZL3	Buy	07/11/2023	07/31/2023	$50,001 - $100,000
8. Municipal Bond:052430VV4	Buy	07/28/2023	07/31/2023	$50,001 - $100,000
9. Municipal Bond:052430VU6	Sold	07/28/2023	07/31/2023	$50,001 - $100,000
10. Municipal Bond:07133AKC5	Buy	08/03/2023	08/31/2023	$50,001 - $100,000
11. Municipal Bond:64990ASD8	Buy	08/15/2023	08/31/2023	$50,001 - $100,000
12. Municipal Bond:882723TB5	Buy	08/16/2023	08/31/2023	$15,001 - $50,000
13. Municipal Bond:89602HAP2	Buy	09/08/2023	09/30/2023	$50,001 - $100,000
14. Municipal Bond:89602HBA4	Sold	09/08/2023	09/30/2023	$50,001 - $100,000
15. Municipal Bond:89602RCG8	Buy	09/19/2023	09/30/2023	$50,001 - $100,000

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First Name	Middle Initial	Last Name	Suffix
Sidney	H	Stein	

A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
16. Municipal Bond:89602N6U3	Sold	09/19/2023	09/30/2023	$50,001 - $100,000
17. Municipal Bond:838039MZ5	Buy	09/20/2023	09/30/2023	$15,001 - $50,000
18. Municipal Bond:267040EN9	Sold	09/20/2023	09/30/2023	$15,001 - $50,000
19. Municipal Bond:882724N45	Buy	09/25/2023	09/30/2023	$50,001 - $100,000
20. Municipal Bond:052430VV4	Sold	09/25/2023	09/30/2023	$50,001 - $100,000
21. Municipal Bond:89602HBA4	Buy	11/06/2023	11/30/2023	$50,001 - $100,000
22. Municipal Bond:89602HAP2	Sold	11/06/2023	11/30/2023	$50,001 - $100,000
23. Municipal Bond:64985HS65	Buy	11/09/2023	11/30/2023	$50,001 - $100,000
24. Municipal Bond:64986DMP7	Sold	11/09/2023	11/30/2023	$50,001 - $100,000
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Sidney	Middle Initial H	Last Name Stein	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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TRANSACTIONS *Any purchase, sale, or exchange that exceeds $1,000 in stocks, bonds, commodities futures, and other securities (Includes those of spouse and dependent children).*

First Name Sidney	Middle Initial H	Last Name Stein	Suffix

	A. Description of Assets	B. Transaction Type	C. Date of Transaction	D. Date Notified of Transaction	E. Amount of Transaction
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First Name Sidney	Middle Initial H	Last name Stein	Suffix

ADDITIONAL INFORMATION OR EXPLANATIONS

Judge Stein is only including information on him for the period covered by this report. See Ethics in Government Act of 1978 section 13104(e)(2)

CERTIFICATION.
I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. § 13106)

**SIGNATURE:*

s/ Sidney H Stein

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544